

Muhlenberg College

Faculty Handbook

February 2025 Revised Edition

All verbiage in red italics Approved by the Board of Trustees, May 2025

Muhlenberg College does not discriminate against any person based on age, ancestry, color, disability, gender, gender identity, national or ethnic origin, race, religion, sex, sexual orientation, veteran status or any other basis protected by applicable federal, state or local laws, in its education programs and activities, including admissions and in employment. Muhlenberg College does not discriminate on the basis of sex as required by Title IX. Inquiries about Title IX or this nondiscrimination statement may be directed to Jennifer Storm, Director of Equity & Title IX Coordinator, 2400 Chew Street, Allentown, PA 18104, jenniferstorm@muhlenberg.edu, 484-664-3562. Inquiries about Title IX may also be made to the U.S. Department of Education's Assistant Secretary for Civil Rights, 400 Maryland Avenue, SW, Washington, DC 20202, OCR@ed.gov, 800-421-3481.

1.	INTRODUCTION.....	6
2.	COLLEGE AND FACULTY GOVERNANCE.....	6
2.1	Statement on Governance	6
2.2	Board of Trustees.....	6
2.2.1	Composition	6
2.2.2	Responsibilities	7
2.3	Senior Administration	7
2.3.1	President of the College	8
2.3.2	Provost	8
2.3.2.1	Vice President and Executive Director of the Division of G and CE	9
2.3.3	Chief Financial Officer and Treasurer	9
2.3.3.1	Vice President for Human Resources.....	9
2.3.4	Vice President for Advancement	10
2.3.5	Vice President of Communications and Marketing	10
2.3.6	Vice President for College Life and Dean of Students	10
2.3.7	Vice President for Enrollment Management	10
2.3.8	Chief of Staff and Executive Assistant to the Board of Trustees	11
2.4	Academic Staff (Faculty).....	11
2.4.1	Voting Faculty.....	11
2.4.1.1	Tenured or Tenure-Eligible Faculty	11
2.4.1.2	Non-Tenure Eligible Faculty	12
2.4.2	Non-Voting Faculty	12
2.4.2.1	Visiting Faculty.....	12
2.4.2.2	Adjunct Faculty	12
2.4.2.3	Faculty Emeritus	12
2.4.3	Special Appointments.....	12
2.4.4	Summaries of College Faculty Organization.....	12
2.5	Faculty Meetings	14
2.5.1	Schedule of Regular Meetings of the Faculty.....	14
2.5.2	Attendance, Voice and Vote	14
2.5.3	General Regulations	14
2.5.4	Faculty Executive Sessions.....	16
2.5.5	Special Faculty Meetings.....	16
2.5.6	Presiding Officer	16
2.5.7	Secretary of the Faculty	16
2.5.8	Parliamentarian.....	16
2.5.9	Faculty Forum	16
2.6	Faculty Divisions and Departments.....	16
2.6.1	Humanities Division	16
2.6.2	Natural Sciences and Mathematics	16
2.6.3	Social Sciences	17
2.6.4	General Regulations	17
2.7	Appointment and Review of Academic Department Chairs.....	17
2.7.1	Responsibilities of Department Chairs.....	17
2.7.2	Remuneration.....	18
2.8	Committees.....	18
2.8.1	Faculty Committees.....	18
2.8.1.1	Academic Policy Committee	19
2.8.1.2	Appeals Committee for Tenure and Promotion Issues	19
2.8.1.3	Curriculum Committee	20
2.8.1.4	Faculty Development and Scholarship Committee	20
2.8.1.5	Faculty Evaluation Committee.....	20
2.8.1.6	Faculty Personnel and Policies Committee	21

2.8.1.7	Graduate & Continuing Education Academic Policy and Curriculum Committee	21
2.8.1.8	Nominating Committee.....	22
2.8.1.9	Writing Program Committee.....	22
2.8.2	College Committees	23
2.8.2.1	College Committee on the Student Experience	23
2.8.2.2	College Committee on Technology and Digital Learning	24
2.8.2.3	Institutional Review Board.....	25
2.8.2.4	Lectures and Forums Committee.....	26
2.8.3	Judicial Panels and Boards	26
2.8.3.1	Academic Judicial Board.....	26
2.8.3.2	Student Conduct Hearing Board.....	26
2.8.4	Advisory Committees and Boards	26
2.8.4.1	Budget Advisory Committee	26
2.8.5	Ad Hoc Committees	27
2.8.6	Faculty Constituent Representatives to the Board of Trustees.....	27
3.	FACULTY APPOINTMENT AND PERSONNEL MATTERS	27
3.1	Appointment to the Faculty	27
3.1.1	Recruitment Procedures	28
3.1.1.1	Equal Opportunity	28
3.1.2	Terms of Appointment or Reappointment.....	28
3.1.3	Joint Appointments	28
3.2	Personnel Records	29
3.3	Reappointment	29
3.3.1	Notice of Non-Reappointment.....	29
3.4	Tenure	30
3.4.1	Basic Policy Considerations for Granting Tenure.....	30
3.4.2	Terminal Degrees.....	31
3.4.3	Timing of the Review for Considering the Granting of Tenure.....	31
3.5	Evaluation Standards for Faculty	32
3.5.1	Teaching.....	32
3.5.2	Professional Activity.....	33
3.5.2.1	Scholarship	34
3.5.2.2	Evaluation of Scholarship	34
3.5.2.3	Professional Service.....	35
3.5.2.4	Work in Progress	35
3.5.3	College Service.....	36
3.6	Evaluation Procedures for Faculty.....	37
3.6.1	Annual Evaluation Guidelines for Full-Time Faculty	37
3.6.2	Evaluation Guidelines for Adjunct Faculty.....	38
3.7	Development and Evaluation Procedures for Tenure-Eligible Faculty	38
3.7.1	Second-Year Development Review.....	39
	Record of Second-year Development Review	41
3.7.2	Third-Year Evaluation	42
3.7.3	Evaluation for Considering the Granting of Tenure	43
	Classroom Observation Record	47
3.8	Evaluation Procedures for Promotion	48
3.8.1	Promotion to Assistant Professor	48
3.8.2	Promotion to Associate Professor	48
3.8.3	Promotion to Professor.....	48
3.8.3.1	Candidacy After Initial Eligibility	49
3.8.3.2	Candidacy After Denial of Promotion	49
3.9	Evaluation of Non-Tenure Eligible Voting Faculty	49
3.9.1	Promotion of Non-Tenure Eligible Voting Faculty	49

3.9.1.1	Candidacy After Initial Eligibility	50
3.9.1.2	Candidacy After Denial of Promotion	50
3.10	Suspension or Termination of Faculty	51
3.10.1	Suspension or Termination for Cause	51
3.10.2	Removal of Faculty by Reason of Board Action to Reduce or Eliminate a Department or Program Due to Anticipated Financial Exigency or Curricular Change	51
3.10.3	Decreases in Compensation	52
3.11	Resignation.....	53
3.12	Retirement	53
3.13	Phased Retirement	53
3.14	Emeritus Status	53
4.	ACADEMIC STAFF RESPONSIBILITIES.....	53
4.1	Ethics	53
4.1.1	Code of Ethics	54
4.1.2	Conflicts of Interest	55
4.1.2.1	Definitions.....	55
4.1.2.2	Reporting Conflicts of Interest.....	55
4.1.3	Ethical Violations	56
4.1.4	Professionalism in Relationships with Students	56
4.2	Academic Freedom	56
4.3	Faculty Problem Resolution: General	56
4.3.1	Definitions, Policies and General Procedures	57
4.3.1.1	Alternative Dispute Resolution Procedures (“ADR”).....	57
4.3.1.2	Formal Problem Resolution Procedures (For Disputes Other Than Tenure and Promotion	58
4.3.1.3	Employment: Special Considerations in Tenure and Promotion Cases	60
4.3.2	Appeals of Termination: Special Provisions	64
4.3.2.1	Employment: Special Provisions in Termination for Just Cause	64
4.3.2.2	Employment: Special Provisions in Termination Not for Cause.....	64
4.3.2.3	Employment: Special Provisions in Cases of Academic Freedom.....	65
4.4	Equal Opportunity and Nondiscrimination Policy	65
4.5	Alcoholic Beverages Policy.....	65
4.6	Policy on Safety and Security	66
4.7	Animal Care and Use Policy	66
4.8	Intellectual Property.....	66
4.8.1	Definitions.....	66
4.8.2	Policy	67
4.8.2.1	Applicability	67
4.8.2.2	Ownership and Determination of Rights.....	67
4.8.2.3	Disclosure of Intellectual Property	68
4.8.2.4	Use of Certain Intellectual Property	68
4.8.2.5	Income Sharing	69
4.8.3	Administration / Grievance Procedure	69
4.9	Academic Year Defined	70
4.10	Attendance at College Ceremonies	70
4.11	Work Load. 74	
4.11.1	Teaching Loads and Assignments	70
4.11.1.1	Courses and Units	70
4.11.1.2	Location and Time of Classes	70
4.11.1.3	Class Schedules.....	71
4.11.2	Other Responsibilities	71
4.12	Faculty Absences	71
4.12.1	Emergency College Closings	71

4.13	Supplemental Employment	71
4.14	Responsibilities to Students	71
4.14.1	Assessment	71
4.14.1.1	Final Examinations and Other Culminating Experiences	71
4.14.2	Adherence to the Policies of the Academic Integrity Code	73
4.14.3	Recognition of Student Rights	73
4.14.3.1	Student Records (Privacy Act)	73
4.14.3.1.1	Directory Information	73
4.14.3.1.2	Educational Records	73
4.14.3.1.3	Confidential Records.....	74
4.14.3.1.4	Waiver of Rights	74
4.14.3.1.5	Students' Social Security Numbers.....	74
5.	SALARIES AND BENEFITS	74
5.1	Policies and Procedures	74
5.2	Appointment Year	74
5.3	Salary Payments	75
5.3.1	Full-Time Academic Staff	75
5.3.2	Salary Payments – Adjunct Faculty.....	75
5.4	Salary Deductions	75
5.5	Remuneration for the Division of Graduate and Continuing Education.....	75
5.6	Leaves	76
5.6.1	Sabbatical Leave	76
5.6.2	Class of 1932 Research Professorship.....	78
5.6.3	Donald B. Hoffman Research Fellowship.....	78
5.6.4	Leave of Absence	78
5.6.5	Disability	79
5.6.6	Sick Leave	79
5.6.7	Short-Term Disability.....	79
5.6.7.1	Pregnancy and Childbirth Leave.....	80
5.6.8	Long-Term Total Disability	80
5.6.9	Family Medical Leave Act (FMLA)	80
5.6.10	Paid Parental Leave.....	80
5.7	Social Security Payments	81
5.8	TIAA-CREF Retirement Annuity Plan	81
5.8.1	TIAA-CREF Supplemental Retirement Annuity Program	81
5.9	Group Life Insurance Plan	81
5.10	Group Health Insurance	81
5.11	Dental Insurance.....	81
5.12	Worker's Compensation.....	82
5.13	College Tuition Support	82
5.14	Travel Accident Insurance.....	82
5.15	Relocation Allowance	82
5.16	Death Benefit.....	82
5.17	Post-Retirement Benefits	82
5.17.1	Group Life Insurance	83
5.17.2	Retiree Health Insurance Premium Reimbursement Plan	83
5.17.3	Tuition Scholarships for Dependents	83
6.	ACADEMIC AND RESEARCH RESOURCES.....	83
6.1	Computer Services	83
6.2	Cultural Events Program	83
6.3	Faculty Research and Professional Growth Grants	83
6.4	Departmental Enrichment Grants.....	84
6.5	Student Research Grants	84
6.6	Pre-Doctoral Loans.....	84

6.7	Procurement of Grants	84
6.8	Professional Travel	84
6.9	The College Library	85
7.	CAMPUS SERVICES.....	85
7.1	Administrative Offices.....	85
7.2	Athletic Facilities	85
7.3	Blood Bank.....	85
7.4	Bookstore	85
7.5	Classroom Supplies.....	86
7.6	Classroom and Office Equipment.....	86
7.7	Public Relations Office	86
7.8	Dining Facilities	86
7.9	Duplicating Services	86
7.10	Photocopying	86
7.11	Emergency Medical Services	87
7.12	Identification Cards	87
7.13	Mail Service.....	87
7.13.1	Intra-campus Mail	87
7.14	Media Services	87
7.15	Parking	87
7.16	Seegers Union	88
7.17	Student Employees.....	88
7.18	Student Services	88
7.19	Telephone Service.....	88
7.19.1	Toll Calls	88
7.20	Facsimile (FAX) Service	88
7.21	Use of College Facilities.....	89
7.22	Use of College Vehicles	89
7.23	College Keys.....	89
7.24	Campus Safety	89
8.	ORGANIZATIONS AND ACTIVITIES	89
8.1	American Association of University Professors (AAUP).....	89
8.2	Amdur Faculty Club.....	89
8.3	Muhlenberg College Alumni Association	89
8.4	Phi Beta Kappa.....	89
9.	REVISION OF THE HANDBOOK	89
10.	LIST OF ACRONYMS	90

MUHLENBERG COLLEGE FACULTY HANDBOOK

1. INTRODUCTION

The Muhlenberg College Faculty Handbook is intended to be a useful source of information for faculty members concerning matters pertaining to professional responsibilities and privileges. It addresses governance issues related to the faculty, as well as the board of trustees and senior administration and provides a framework for how changes are implemented via faculty committees and faculty meetings. The handbook also outlines evaluation procedures for annual reviews, tenure and promotion and defines academic staff responsibilities. An overview of human resources' services and various academic, campus and research resources is provided as well.

The information in the handbook is provided by several offices and departments of the College. Ordinarily, specific changes are drafted by the elected faculty members of the Faculty Personnel and Policies Committee, in consultation with the faculty, provost and president, and then presented to the faculty for a vote. Changes approved by faculty vote are subject to review and approval by the Educational Policies and Faculty Affairs Committee of the board of trustees with ultimate approval by vote of the board of trustees before such changes are incorporated into the handbook. Final authority is vested by charter within the corporation of Muhlenberg College. Accordingly, the policies of the College codified in this handbook derive from the charter and the bylaws, policies and procedures adopted by the board of trustees.

Every effort has been made to ensure accuracy in the policy summaries, statements and conditions herein described as of the date of this handbook. The College will endeavor to keep the information contained herein current; however, current revisions may supersede those found in this handbook. Copies of the full policy statements used to prepare this handbook, as well as new additional policy statements not included or covered in this handbook, may be obtained from the Office of the Provost and the Office of Human Resources. It should be noted, however, that this handbook is a source of guidance and is not a contract of employment.

The Web version of the faculty handbook posted on the provost's website is considered to be the definitive version of the handbook. The College reserves the right to change policies, procedures and benefits from time to time as needs dictate. Prior to any change, the appropriate faculty committee will be consulted. In case of any disparity or conflict, the policies and procedures instituted by the board of trustees shall prevail.

2. COLLEGE AND FACULTY GOVERNANCE

2.1 Statement on Governance

Muhlenberg College is a private liberal arts college incorporated under the laws of the Commonwealth of Pennsylvania. It is affiliated with the Northeastern Pennsylvania Synod, the Southeastern Pennsylvania Synod and the Slovak Zion Synod of the Evangelical Lutheran Church in America (ELCA). Ultimate responsibility for operation of the College rests in the board of trustees. Reporting to the vice president for college life and dean of students, the college chaplain is a rostered ELCA pastor who oversees the office of religious and spiritual life. The College operates under a charter granted it by the Commonwealth of Pennsylvania and under bylaws adopted by the board of trustees as amended from time to time. Copies of the Muhlenberg College charter and bylaws are available in the Office of the President.

2.2 Board of Trustees

2.2.1 Composition

The board of trustees consists of a maximum of 43 elected trustees in addition to the president of the College, who is a member of the board ex officio. Of the trustees:

- a. Three are the bishops of the supporting synods: The Northeastern Pennsylvania Synod of the Evangelical Lutheran Church in America (ELCA), the Southeastern Pennsylvania Synod of the ELCA and Slovak Zion Synod of the ELCA.
- b. Two shall be nominated by the Church Nominating Committee and ratified by the authorized committee or council of the Northeastern Pennsylvania Synod of the ELCA, with the advice and consent of the Nominations and Honorary Degrees Committee of the College.
- c. Two shall be nominated by the Church Nominating Committee and ratified by the authorized committee or council of the Southeastern Pennsylvania Synod of the ELCA, with the advice and consent of the Nominations and Honorary Degrees Committee of the College.
- d. One shall be nominated by the Church Nominating Committee and ratified by the authorized committee or council of the Slovak Zion Synod of the ELCA, with the advice and consent of the Nominations and Honorary Degrees Committee of the College.
- e. Two shall be nominated by the College through its Nominations and Honorary Degrees Committee after consultation with, and ratification by, the Executive Director of the Division of Higher Education and Schools of the ELCA.
- f. 28 shall be elected by the board of trustees of the College.
- g. Five shall be nominated by the Executive Council of the Alumni Association, with the advice and consent of the Nominations and Honorary Degrees Committee of the College and elected by the Alumni Association.

Upon such nomination and ratification of each trustee, the secretary of the board casts a ballot for the trustee's election for a term of three years.

In addition, the board of trustees also has elected as life trustees, certain former trustees who have served the College with distinction. These life trustees may attend board meetings and have voice but no vote.

Further, the board shall have the power to appoint associate trustees who shall have such qualifications as the board may, from time to time, determine. These associate trustees may attend all meetings of the board and have voice but no vote.

The board of trustees permits the faculty to elect two constituent representatives to attend meetings of the board. Two student constituent representatives are also permitted to attend board meetings: the student body president and a second student constituent representative elected by the Student Government Association. These four constituent representatives attend meetings without the privilege of voice or vote.

2.2.2 Responsibilities

The board of trustees represents and has full power to act for the College in the exercise of all its rights, duties, privileges and powers.

2.3 Senior Administration

In addition to the president, the senior administration consists of the following positions:

Provost

Vice President and Executive Director Division of Graduate and Continuing Education

Chief Financial Officer and Treasurer

Vice President for Human Resources
Chief Information Officer
Vice President for Advancement
Vice President for Communications and Marketing
Vice President for College Life and Dean of Students
Vice President for Enrollment Management
Chief of Staff and Executive Assistant to the Board of Trustees

The Muhlenberg Source Book contains an organizational chart outlining the responsibilities of these administrative officers. The chart is updated annually. With the exception of the chief financial officer and treasurer, each officer is appointed by, is responsible to and serves at the pleasure of the president. In the process of selecting an officer, the president may consult with others, including appropriate faculty.

2.3.1 President of the College

The president is the chief executive officer of the College and the official advisor to the board of trustees and the Executive Committee. The president is the direct representative of the board of trustees in implementing the policies of the board and is responsible for reporting to the board on the general welfare and progress of the College.

The president is responsible for all aspects of the life of the College including, but not limited to, academic, curricular and extra-curricular affairs; the religious life of the College; faculty; administrative managers; fundraising and College relations. To meet these responsibilities, the president, with the approval of the board of trustees, appoints administrative managers who are responsible for duties as assigned by the president and serve at the pleasure of the president. The board of trustees may appoint an interim president if the president is unable to fulfill their duties due to absence or disability.

The president is elected by and responsible only to the board of trustees for the discharge of their duties and serves on continuing appointment at the pleasure of the board subject to existing contract commitments.

2.3.2 Provost

The provost administers, through the chairs of the various academic departments, the degree and curricular programs of the College and oversees the Division of Graduate and Continuing Education, the Office of the Registrar, Trexler Library, the Office of Global Education and the Martin Art Gallery. In addition, the directors of the Center for Ethics, Institute for Public Opinion and Institute for Religious and Cultural Understanding also report to the provost, and the provost is an ex officio member of the board of the Institute for Public Opinion. As assigned by the president, the provost also has senior operating responsibilities related to the College's continuing education programs. In consultation with academic department chairs, the provost determines faculty needs, reappointments and grants and oversees the ongoing evaluation of the faculty. The provost is responsible for formal annual evaluations of department chairs. In cases of promotions in rank and tenure, the provost is a facilitator and procedural arbitrator. As such they manage the process and helps to ensure that all parties follow established procedure. The provost advises the president on procedural matters but does not perform an independent evaluation of the candidate or make a formal written recommendation in matters of tenure and promotion. The provost has administrative responsibility for strengthening academic departments, programs and divisions and for the following faculty committees: Academic Policy Committee, Curriculum Committee, Faculty Evaluation Committee, Faculty Development and Scholarship Committee, Faculty Personnel and Policies Committee and Nominating Committee.

In addition, the provost is responsible for the evaluation of the curricular and academic programs of the College, the projection of long-range curricula plans, the preparation and control of the

academic budgets of the College and fulfilling other goals and tasks assigned by the president. The provost has responsibilities for the use and allocation of educational technologies. The provost is an ex officio member of all College standing committees with voice but not vote.

The provost serves as staff liaison to the Educational Policies and Faculty Affairs Committee of the board of trustees.

2.3.2.1 Vice President and Executive Director of the Division of Graduate and Continuing Education

The vice president and executive director's primary function and responsibility is the administration of the graduate and continuing education programs and any affiliated certificate or non-credit programs including, but not limited to, summer study, corporate partnership programs, professional development seminars, career enhancement programs and summer conferences. Reporting to the vice president and executive director are the dean of graduate studies and dean of continuing studies. The vice president and executive director and the deans shall be responsible for other matters assigned by the president and/or the provost.

The vice president and executive director of the division of graduate and continuing education has a dual reporting relationship with the president and provost.

2.3.3 Chief Financial Officer and Treasurer

The chief financial officer and treasurer is elected by the board of trustees and is responsible to the president and the board of trustees. The chief financial officer and treasurer has primary responsibility for all funds and securities of the College, keeps accurate accounts of receipts and disbursements and performs such other duties as the president, the chairperson of the board or the board may prescribe.

The chief financial officer and treasurer is responsible, within board policy, for management of assets and debt and financial long-range planning and presents for board consideration a budget that has been approved by the Budget and Finance Committee of the board.

The chief financial officer and treasurer presents to the board an annual financial statement audited by an independent certified public accountant and oversees all benefit programs required by the Employee Retirement Income Security Act (ERISA) and other applicable legislation. This officer is also responsible for purchasing computing and communication services, educational technologies, computer networking and user-support functions for the College.

The vice president for human resources, chief budget and accounting officer, purchasing director, capital projects manager and director of plant operations report to the chief financial officer, who is responsible for the College's business affairs, investments, risk management, financial aid, building and grounds, printing and payroll. The chief financial officer also is responsible for the Budget Advisory Committee and, following consultation with this college committee, works with the president to develop a budget. In addition, the chief financial officer and treasurer is responsible for the facilities and grounds and oversight of capital projects.

The chief financial officer and treasurer serves as staff liaison to the Budget and Finance Committee; the Buildings, Infrastructure and Grounds Committee; and the Audit and Compliance Committee of the board of trustees.

2.3.3.1 Vice President for Human Resources

The vice president for human resources is responsible for the personnel functions of the College with a particular focus on administration, staff associates and service personnel. The vice president for Human Resources performs such other duties as may be assigned by the president

and the chief financial officer and treasurer. The vice president for Human Resources reports to the chief financial officer and treasurer.

2.3.4 Vice President for Advancement

The vice president for advancement has the administrative responsibility for fundraising activities of the College including gift processing and prospect research, leadership and planned giving, donor relations, annual giving, advancement communications, alumni affairs, career services, corporate, foundation and government relations and special projects.

In addition, the vice president for advancement coordinates programs and activities of the Alumni Association and serves as staff liaison to both the Nominating Committee and the Advancement Committee of the board of trustees. The vice president for advancement reports to the president.

2.3.5 Vice President for Communications and Marketing

The vice president for communications and marketing is responsible for overseeing the strategic communications and marketing of the College including digital marketing, media relations, print publications, social media, news and content creation, advertising, videography, photography and athletics-related communications programs. The vice president for communications and marketing also supervises the College radio station, WMUH, and strategic community relations efforts on behalf of the College. The senior director of communications, director of news and media relations, director of athletics communications, marketing and development manager for theatre and dance and the WMUH general manager all report to the vice president for communications and marketing. The vice president of communications and marketing reports to the president.

2.3.6 Vice President for College Life and Dean of Students

The vice president for college life and dean of students has administrative responsibility for resident life and student housing, student health services and counseling, athletics and fitness, student government, student activities, campus safety and security, fraternities and sororities, community service programs and summer conference housing. Reporting and responsible to the vice president for college life and dean of students are the assistant dean and director of Greek affairs, area coordinators and the director of residence life and housing, athletics, safety and security, counseling and the health center and director of Seegers Union.

The vice president for college life and dean of students is also responsible for the College Committee on Student Life, the Social Judicial Panel and Boards, enforcement of the social code and performance of such other duties as may be assigned by the president.

The vice president for college life and dean of students is a staff liaison to the Student Affairs Committee of the board of trustees and performs such other duties as may be assigned by the president. The vice president for College life and dean of students reports to the president.

2.3.7 Vice President for Enrollment Management

The vice president for enrollment management is responsible for enrollment management, the recruitment and admission of new students including individuals transferring from another institution of higher education, as well as financial aid. In addition, the vice president for enrollment management is responsible for admissions publications, campus visitation programs for prospective students and campus tour guides. The admissions staff, the dean of admissions and the director of financial aid report to the vice president for enrollment management.

Following consultation with the chief financial officer and treasurer and the vice president for college life and dean of students, the vice president for enrollment management evaluates and then recommends to the president plans (both short-term and long-range) for financial aid and enrollment management. The vice president for enrollment management reports to the president.

2.3.8 Chief of Staff and Executive Assistant to the Board of Trustees

The chief of staff and executive assistant to the board of trustees is a member of the president's executive leadership team and serves as an advisor to the president assisting with the implementation of college initiatives and the president's strategic vision. A key point person for college operations, the chief of staff is responsible for coordinating high-priority projects and signature college events that require facilitation and collaboration with stakeholders external to, and across, the college. The chief of staff plays a central role in crisis management, oversees all presidential communications and performs other duties as assigned by the President.

Serving as the primary administrator supporting the college's board of trustees, the chief of staff coordinates the communications and operations for board meetings and related duties. The chief of staff is a staff liaison to the Nominations and Governance Committee of the board of trustees.

2.4 Academic Staff (*Faculty*)

The academic staff of Muhlenberg College consists of voting faculty and non-voting faculty.

2.4.1 Voting Faculty

The voting faculty consists of college employees with on-going (non-visiting), salaried (non-adjunct) teaching appointments, including tenured and tenure-eligible faculty as well as those in non-tenure track lines with anticipated regular contract renewal and those with reduced teaching loads due to administrative responsibilities. Voting faculty typically have full-time appointments and retain faculty status if on leave or teaching a reduced course load. The president of the College, the provost, all academic deans reporting to the provost and the director of the library also have voting faculty status. Voting-faculty status may be conferred on other individuals by decision of the board of trustees or a vote of the faculty. (Article V, Section 2, Bylaws).

All voting faculty members are eligible to teach in all aspects of the academic program, including both introductory and advanced components of major and/or program requirements, graduate and continuing studies and all areas of the general education curriculum, regardless of rank or tenure status. All voting faculty engage in professional activity that informs their classroom instruction (professional development). They also advise students and, commensurate with individual rank and experience, participate in the shared governance of the College community, typically through committee service. Voting faculty members regularly attend department and faculty meetings, where they have voice and vote.

2.4.1.1 Tenured or Tenure-Eligible Faculty

Tenured or tenure-eligible faculty include professors, associate professors, assistant professors and lecturers who have received tenure or who have tenure-eligible appointments. Faculty hired into tenure-eligible lines who do not have, but are expected to receive, the terminal degree (as listed in 3.4.2) typically hold the rank of lecturer. These faculty must obtain the required terminal degree by the conclusion of the third year of full-time academic service to the College in order to retain their appointment. A full description of tenure eligibility is listed in section 3.4.

In addition to teaching, professional development and the routine College service responsibilities listed in Section 2.4.1, tenured and tenure-track faculty engage in professional activity with implications beyond their pedagogical work, regularly making contributions in their respective professional fields. Appropriate to the nature of their appointments, they also regularly take on broad service responsibilities and/or leadership roles that advance the College and its mission.

2.4.1.2 Non-Tenure-Eligible Faculty

Individuals appointed to non-tenure eligible voting-faculty positions may hold any of the ranks available to tenured or tenure-eligible faculty although they are normally designated as lecturers.

Non-tenure eligible voting faculty have teaching, professional development and routine college service responsibilities common to all voting faculty (section 2.4.1).

The term of non-tenure track-contract appointments shall normally not exceed three years, with the possibility of renewal.

2.4.2 Non-Voting Faculty

Non-voting faculty are non-regular members of the teaching staff employed on an as-needed basis to assist the voting faculty in carrying out the instructional program of the College.

2.4.2.1 Visiting Faculty

Full-time faculty with anticipated terminal appointments (typically one or two years, without expectation of renewal) have “visiting” status. Visiting appointments are often made to replace voting faculty who have sabbaticals or leaves of absence or to fill spots left open while a search is being conducted; letters of appointment for such appointments will not exceed two years. If the need for a visiting faculty member is found to extend beyond two years, a voting faculty appointment (tenure track or non-tenure track) will normally be made.

Like voting faculty, visiting faculty are eligible to teach at all levels of the academic program, including first-year seminars. Visiting faculty often engage in substantial professional activity during their time at the College and the College works to support these efforts as best as it is able to given the limited nature of the visiting appointment. Visiting faculty are not expected to advise students or serve on committees. They are expected to attend department and division meetings, where they have voice but not vote. They may attend faculty meetings, where they have voice but not vote.

2.4.2.2 Adjunct Faculty

Part-time faculty who are non-salaried (remunerated on a per-course basis) have “adjunct” status. Adjunct faculty do not serve on committees or as formal academic advisors. They are welcome to attend department and division meetings, where they have voice but not vote. They may also attend full faculty meetings, where they have voice but not vote.

2.4.2.3 Faculty Emeritus

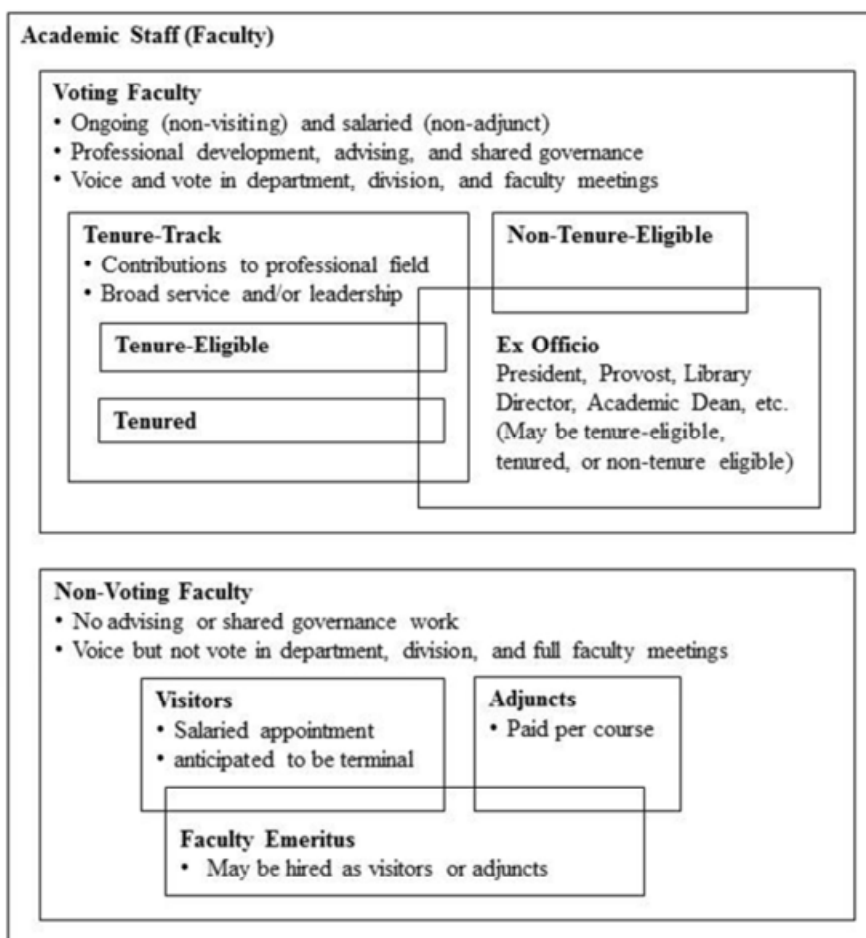
Faculty with emeritus status (per Section 3.14) are welcome to attend department, division and full faculty meetings, where they have voice but not vote.

2.4.3 Special Appointments

In certain instances, some faculty have extraordinary appointments involving extra or specific teaching, professional activity and/or service responsibilities detailed in appointment letters, the latter two often involving commensurate reductions in teaching load. Except as specifically stipulated, such responsibilities do not supplant or eliminate typical teaching, professional activity or service responsibilities.

2.4.4 Summaries of College Faculty Organization

The organization of the College faculty is summarized in the following graphics.



			Status			Title				Responsibilities					Meetings						
Faculty Category			Property	ongoing	salaried	tenure-track	Lecturer	Senior Lecturer	Assistant	Associate	Professor	teaching	prof development	prof contributions	advising & shared governance	broad service / leadership	voice at department/division	vote at department/division	voice at full faculty	vote at full faculty	
Y = Yes, required N = No, not possible M = Maybe or possible , but not required																					
Voting	Tenure-Eligible		Y	Y	Y	M	N	M	M	M	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	
	Tenured		Y	Y	Y	N	N	N	M	M	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	
	Non-Tenure-Eligible		Y	Y	N	M	M	M	M	N	Y	Y	M	Y	M	Y	Y	Y	Y	Y	
	Ex Officio		Y	Y	M	M	M	M	M	M	M	M	M	M	Y	Y	Y	Y	Y	Y	
Non-voting	Visitor		N	Y	N	M	M	M	M	M	Y	M	M	N	N	Y	N	Y	N	N	
	Adjunct		M	N	N	N	N	N	N	N	Y	M	M	N	N	Y	N	Y	N	N	
	Emeritus		N	M	N	M	M	M	M	M	M	M	M	N	N	Y	N	Y	N	N	

At the start of each academic year, the provost will report to the Faculty Personnel and Policy Committee the total number of non-tenure eligible faculty employed at the College, together with the number of new non-tenure eligible appointments made in the previous year and the departments they represent.

2.5 Faculty Meetings

2.5.1 Schedule of Regular Meetings of the Faculty

There shall be at least four regular faculty meetings each semester. The dates shall be determined by the provost.

2.5.2 Attendance, Voice and Vote

All regularly appointed members of the faculty (defined in section 2.4.1) are accorded voice and vote in faculty meetings. All voting members are expected to attend.

Admission to faculty meetings, with voice but without vote, is also accorded to the following:

- a. Those members of the senior administration not included in the above, including: vice president for college life and dean of students, chief financial officer and treasurer, chief information officer, vice president for enrollment management, vice president for advancement, chief of staff and executive assistant to the board of trustees, vice president of communications and marketing and vice president and executive director, division of graduate and continuing education.
- b. Administrators whose responsibilities are related to the academic program including: director of multicultural life, director of academic support services, director of athletics, registrar and director of media services.
- c. Four students elected by the Student Government Association from among those students serving on the following standing committees of the faculty: Academic Policy Committee and Curriculum Committee.
- d. Members of the board of trustees who wish to attend.
- e. Coaches who are full-time administrative members of the Department of Physical Education and Athletics whose duties include both coaching and teaching.
- f. Faculty emeriti.
- g. Visiting and adjunct faculty.
- h. A representative of the *Muhlenberg College Weekly* is also allowed to attend faculty meetings, but without voice or vote.

2.5.3 General Regulations

The following general regulations apply to faculty meetings:

- a. The provost, in consultation with the chair of the Academic Policy Committee, will formulate an agenda for faculty meetings. Information relative to agenda items that require action shall be sent in writing by the Office of the Provost at least five days in advance of the meeting to those persons entitled to attend such meetings.
- b. When possible and appropriate, significant motions requiring action by the faculty should be published for presentation to the faculty at the previous faculty meeting or, if appropriate, at a

faculty forum. When such motions are presented at an earlier faculty meeting, brief debate may be permitted in order to elucidate the issues.

- c. A published proposal should, when appropriate, have a rationale appended to clarify its purpose and other relevant circumstances of its presentation.
- d. Oral reports of committees and administrative officers not requiring action should be no longer than needed to provide understanding to the faculty. If information is to be provided to the faculty in writing, whenever possible it should be distributed to the faculty at least 48 hours in advance of the meeting at which it will be reported.
- e. Unless otherwise agreed upon by the faculty, Robert's Rules of Order shall govern procedures. The Academic Policy Committee, provost and parliamentarian may develop modified rules of order for online and/or hybrid faculty meetings, so long as those modified rules are published and shared with the faculty.
- f. One-half plus one of the voting faculty shall constitute a quorum and the acts of a majority of such quorum shall be the acts of the faculty except as provided in 2.5.3g. If there is no quorum, no vote shall be taken except to adjourn the meeting to a future date and time. Faculty members shall be given at least 48 hours' notice of that date and time.
- g. Except as specified in Robert's Rules for votes requiring more than a majority, a majority vote of those present and voting shall be binding in all actions.
- h. Voting on major issues outside of regularly scheduled faculty meetings is permitted only for significant matters for which the full vote of the faculty is desirable; the procedure for a special vote is as follows:
 - Faculty and College committees can seek approval for a special vote, to be held outside of regularly scheduled faculty meetings, on a primary motion that requires the full vote of the faculty.
 - A motion to approve the special vote will be brought before the faculty prior to discussion of the primary motion.
 - A majority vote of the faculty present is required to authorize a special vote on the primary motion.
 - Discussion of the primary motion and any amendments will take place during the faculty meeting under normal parliamentary procedure.
 - The Nominating Committee will post the final version of the primary motion and ballot online as soon as possible after the faculty meeting and notify faculty that voting is open.
 - The special vote will conclude seven calendar days after the voting is opened.
 - The number of votes cast must meet or exceed the faculty quorum number in order for the special vote to be valid.
 - If a sufficient number of votes is not cast within the seven-day voting period, the motion will be put on the agenda for the next general faculty meeting for a regular vote at that time.
 -

Proxy voting and absentee balloting are not permitted.

Minutes of regular and special faculty meetings are sent by the Office of the Provost at least five days in advance of the next faculty meeting to all persons who are authorized to regularly attend faculty meetings.

2.5.4 Faculty Executive Sessions

The faculty, by majority vote of those present and voting, may go into executive session during any regular faculty meeting. Only voting members of the faculty are entitled to attend, but the faculty reserves the right, by majority vote of those present and voting, to invite guests who can supply vital information pertinent to the meeting. In addition, executive sessions may be held for consideration of matters concerning individual students and faculty personnel matters and such other matters to be brought by the president, including but not limited to pending litigation. Minutes of the executive sessions of the faculty are sent at least five days in advance of the next faculty meeting by the Office of the provost only to persons entitled to sit in executive sessions.

2.5.5 Special Faculty Meetings

Special faculty meetings may be called by the president or the provost at any time and must be called upon receipt of a written request to the president by 10 percent of the faculty.

2.5.6 Presiding Officer

The president has the responsibility of chairing faculty meetings but can designate the provost or other member of the faculty as the presiding officer.

2.5.7 Secretary of the Faculty

The faculty secretary is elected by the faculty for a one-year term and is eligible for re-election. The secretary takes minutes of the faculty meetings.

2.5.8 Parliamentarian

The presiding officer will appoint a parliamentarian at the beginning of the academic year. Consistent with Robert's Rules of Order, the parliamentarian provides, upon request, advice on procedural matters.

2.5.9 Faculty Forum

The president or provost may arrange, at their discretion, meetings at which one or more members of the faculty or administration present matters of particular or general relevance to the College for the consideration of interested colleagues. Such faculty forum sessions are open to all members of the faculty and the administration. Recommendations from faculty forums may be transmitted to appropriate faculty committees.

2.6 Faculty Divisions and Departments

The bylaws of the College (Article V, Section 4) provide that the faculty shall be organized into departments or divisions by the board of trustees following due consideration of recommendations made by the faculty and the president. The faculty in each department or division, subject to the approval of the president and the board, shall be primarily responsible for the conduct of teaching and methods of instruction of courses in each such department or division. Presently, the faculty is organized into three divisions, each consisting of the appropriate academic departments, as follows:

2.6.1 Humanities Division

- a. Art
- b. English Literatures and Writing
- c. Languages, Literatures and Cultures
- d. Music
- e. Philosophy
- f. Religion Studies
- g. Theatre and Dance

2.6.2 Natural Sciences and Mathematics

- a. Biology
- b. Chemistry

- c. Mathematics and Computer Science
- d. Neuroscience
- e. Physics

2.6.3 Social Sciences

- a. Accounting, Business, Economics and Finance
- b. Education
- c. History
- d. Media and Communication
- e. Political Science
- f. Psychology
- g. Sociology and Anthropology

2.6.4 General Regulations

The divisional organization provides the means by which departments cooperate in the development of policies and programs relevant to their common purposes.

Each division, subject to the general regulations of the faculty, makes its own rules of procedure and operation. Normally, the chair of each of the three divisions is the head of each department in the division in succession according to seniority and serves for a two-year term. The division chair is to act as convener, calling meetings as needed or requested.

2.7 Appointment and Review of Academic Department Chairs

Chairs of academic departments are appointed by the president of the College upon recommendation from the provost after all members of the department are given the opportunity to consult with the provost about the goals of the department and its leadership needs. department chairs serve at the pleasure of the president, usually for a four-year term.

Annual reviews of department chairs are conducted by the provost per the guidelines outlined in 3.6.1 with the following differences. In the annual self-assessment, special attention is paid to the service responsibilities described in 2.7.1 and departmental goals for the following year are addressed. department chairs submit their CV and self-assessment to the provost, who provides a written response. At the request of either the provost or the department chair, a meeting is held to discuss the outcome of the annual review.

Prior to the conclusion of the four-year term, all members of the department are given the opportunity to consult with the provost concerning departmental needs, opportunities and goals. Upon a recommendation from the provost, based on the annual reviews and the provost's consultation with department members, the chair may be reappointed by the president for another two-year term. In unusual cases, the department chair may be asked to serve for more than one two-year renewal.

2.7.1 Responsibilities of Department Chairs

The primary responsibility of the department chair is to exercise professional leadership in developing and maintaining programs of study, which present the academic discipline served in ways most effective and most appropriate to undergraduate higher education and as appropriate, graduate education.

department chairs, in consultation with members of their department when appropriate, have responsibilities that include but are not limited to the following:

- The recruitment and ongoing evaluation of faculty and faculty development for their respective departments.
- The maintenance of advising programs for majors and minors.
- Calling and presiding over regularly scheduled department meetings.

- Preparing and submitting requests for departmental budget allocations and, subsequently, supervising budget expenditures.
- The scheduling of departmental courses and registration procedures in cooperation with the Registrar.
- Overseeing the academic standards of the department and ensuring that the general regulations established by the faculty are duly followed.
- Coordinating longer-term plans and goals of the department.
- Representing the interests of the department to the administration.

At least once each year and prior to the submission of annual self-assessments (section 3.6.1), department chairs will observe each of the full-time faculty members in their departments who have been teaching at the College for fewer than six full academic years. Chairs may coordinate additional observations of these faculty or any other members of their department. Adjunct faculty members will be observed as outlined in section 3.6.2. All observations must conform to the procedures outlined in section 3.7.

2.7.2 Remuneration

At the discretion of the president and with the concurrence of the provost, the chair of a department is given a reduced teaching load. A department chair does not receive additional remuneration.

2.8 Committees

Members of the following college and faculty committees are the elected representatives of the faculty for matters designated in the description of each committee. When there is a question regarding the appropriate committee to address an issue, the chair of the committee (s) seeking to address the issue will consult with the Academic Policy Committee to determine the proper committee designation.

General procedures:

- Unless otherwise specified below, each committee elects a chairperson from among the elected faculty members at the beginning of the academic year.
- Any member may request a secret ballot and the result of that ballot is to be reported to the faculty.
- Faculty members may serve on the Appeals Committee for Tenure and Promotion Issues and/or the Nominating Committee while serving on another regularly constituted faculty committee. Apart from these exceptions, no elected faculty member may serve concurrently on more than one regularly constituted faculty committee.
- All committees may seek advice and counsel from resource personnel.

Faculty and College committees are required to make a written report to the faculty at least once each year. As part of its report, each committee will inform the faculty of the recommendations made by the committee and whether or not these recommendations were acted upon. Names of individual applicants for awards, tenure and/or promotion will be omitted from such reports.

The term of service on all committees for elected personnel is a period of three *years unless otherwise noted*. Should a vacancy occur before the expiration of any stated term, the Nominating Committee nominates and the faculty elects a member for the balance of the unexpired term.

Unless otherwise indicated, ex officio committee members have voice but not vote.

2.8.1 Faculty Committees

Regularly constituted faculty committees are:

- a. Academic Policy Committee
- b. Appeals Committee for Tenure and Promotion Issues
- c. Curriculum Committee

- d. Faculty Development and Scholarship Committee
- e. Faculty Evaluation Committee
- f. Faculty Personnel and Policies Committee
- g. Graduate and Continuing Education Academic Policy and Curriculum Committee
- h. Nominating Committee
- i. Writing Program Committee

The provost is an ex officio member of each faculty committee with voice, but without vote. The provost may designate a representative to attend any faculty committee in their place.

2.8.1.1 Academic Policy Committee

Membership consists of seven voting members: five elected by the faculty, at least one from each division and two students elected by the Student Government Association. In addition to the provost, ex-officio members with voice but no vote include the registrar and dean of academic life.

Functions

- a. To make recommendations to the faculty about all academic requirements and to exercise primary responsibility for long-range planning in academic areas. The committee has ultimate responsibility to recommend modifications to academic policies based upon the results of assessments.
- b. To establish guidelines for and consult with the provost upon the construction of the academic calendar.
- c. To make recommendations on the structure, formation and organization of faculty divisions, departments, academic programs, majors and minors and committees for the eventual recommendation by the faculty concerning such matters to the president and the board of trustees.
- d. To review and approve faculty rules and regulations, except those relating to tenure evaluation and faculty compensation, prior to adoption by the faculty and publication.
- e. To recommend faculty action on matters pertaining to teaching and study, except concerning matters of curriculum.
- f. To explore possibilities for inter-institutional cooperation in academic areas and to recommend to the faculty programs involving inter-institutional cooperation.
- g. To act in an advisory capacity to the president and provost in all matters related directly to the responsibilities of this committee.

2.8.1.2 Appeals Committee for Tenure and Promotion Issues

Membership consists of eight tenured members of the faculty, each with previous experience on FPPC or FEC. Members will serve staggered three-year terms and include at least one faculty colleague from each division. The committee shall annually elect, from among its eight elected members, a chair and a vice chair and shall select one member to serve as an alternate. All members of the committee, including the chair and vice chair, may serve concurrently on any faculty committee except FPPC or FEC.

Functions:

Acts as the appellate body to consider individual faculty appeals concerning tenure and promotion matters directed to it and to make recommendations to the president (see Section

4.3.1.3 Employment: Special Provisions in Tenure and Promotion Cases for Problem Resolution Procedures).

2.8.1.3 Curriculum Committee

Membership consists of seven voting members; five elected by the faculty, at least one from each division and two students elected by the Student Government Association. In addition to the provost, ex-officio members with voice but not vote are the registrar, the library director, the dean of academic life.

Functions

- a. To exercise jurisdiction over the curriculum, including, but not limited to, approval of College Catalog courses, approval of designations used to satisfy General Academic Requirements and, in cooperation with Academic Policy Committee, oversight of the process for assessing the effectiveness of the General Academic Requirements.
- b. To receive suggestions for course changes and significant changes in the requirements or structure of any major or minor and make appropriate recommendations for faculty action.
- c. To act in an advisory capacity and make recommendations to the president and provost, especially on student appeals for waivers of academic regulations pertaining to curriculum requirements.

2.8.1.4 Faculty Development and Scholarship Committee

Membership consists of four voting members, at least one from each division, elected by the faculty for three-year terms.

Functions

- a. To identify the interests and needs of the faculty concerning their professional growth and development.
- b. To help develop and implement an ongoing program of faculty development that includes the areas of teaching, advising and scholarship.
- c. To recommend to the president for appropriate action guidelines governing grants for faculty development, scholarship and travel.
- d. To consider requests and review applications for sabbatical leaves and leaves of absence and to make recommendations to the president concerning such requests.
- e. To consider and review applications for faculty summer grants and make recommendations to the provost regarding these grants.
- f. To review applications for the Class of 1932 Research Professor, the Donald B. Hoffman Research Fellowship, the Robert C. Williams Award for Junior Faculty and the Rising Scholar Award and to make recommendations to the president concerning these faculty awards.

2.8.1.5 Faculty Evaluation Committee

Membership consists of seven voting faculty members, tenured or approved for tenure, at least one from each division of the College.

Functions

- a. To evaluate members of the faculty in individual cases of promotion and tenure and to conduct all of the prescribed reviews of the service of faculty members and of the qualifications of prospective faculty members to be hired above the rank of Assistant Professor.

- b. To present their reasoned recommendations for tenure and promotion and the appointment of faculty above the rank of Assistant Professor to the provost and the president.

2.8.1.6 Faculty Personnel and Policies Committee

Membership consists of five voting members elected by the faculty, at least one from each division.

Functions

- a. To consider questions, recommend policy to the president for appropriate action and represent the faculty in the following areas: general conditions of employment, including questions of contracts (letters of appointment), retirement, compensation, teaching loads and non-teaching assignments.
- b. To act as an appellate body (without the ex officio members of the committee) to consider individual faculty personnel problems other than tenure and promotion appeals directed to it by any faculty member and to make recommendations to the president (see Section 4.3 for Faculty Problem Resolution: General).
- c. To review and recommend the regular publication and revision of the faculty handbook.
- d. To serve as a liaison group between the faculty and the administrative officers on pertinent matters.

2.8.1.7 Graduate and Continuing Education Academic Policy and Curriculum Committee

Membership includes four faculty elected by the faculty, one department chair appointed by the provost and two students enrolled in the Division of Graduate and Continuing Education (one of whom must be from an undergraduate degree completion program and the other from a graduate program) who are appointed by the vice president and executive director of graduate and continuing education at the recommendation of the dean of graduate studies and dean of continuing education. The provost, vice president and executive director, registrar, dean of graduate studies and dean of continuing studies are ex officio members of the committee with voice but not vote. department chairs must be chosen from departments that contribute to degrees offered through the Division of Graduate and Continuing Education and elected faculty must have taught a course through the Division of Graduate and Continuing Education within the last two years. Elected faculty will serve staggered three-year terms.

Functions relevant to GCE Academic Policy:

- a. To make recommendations to the faculty about all GCE academic requirements and to exercise primary responsibility for long-range planning in GCE academic areas.
- b. To establish guidelines for and consult with the provost upon the construction of the GCE academic calendar.
- c. To make recommendations to the faculty for the structure, formation, and organization of GCE faculty, GCE academic programs, and majors and minors available to GCE students.
- d. To recommend faculty action on such matters as pertain to teaching and study in the GCE programs, including matters related to GCE curriculum.
- e. To act in an advisory capacity to the president and provost in all matters related directly to the responsibilities of this committee.

Functions relevant to GCE Curriculum:

- a. To exercise jurisdiction over the GCE curriculum, including review of the entire GCE curriculum, as well as the addition or elimination of GCE courses.
- b. To receive suggestions for GCE course changes and significant changes in the requirements or structure of any GCE major, GCE minor or GCE certificate program, and make appropriate recommendations for faculty action.
- c. To receive proposals for new GCE courses (or significant revisions) as well as proposed changes to or additions of GCE major, GCE minor, and GCE certificate programs. With the general recommendation by GCE APCC, the proposals go to the faculty for a vote.
- d. To act in an advisory capacity and make recommendations to the president and provost, especially on student appeals for waivers of academic regulations pertaining to GCE curriculum requirements.

2.8.1.8 Nominating Committee

Membership consists of three faculty members elected by the faculty, one from each division.

Functions

- a. To provide nominations for all vacancies on faculty committees and for all officers elected by the faculty, including but not limited to, nominations for the Social Judicial Panel, the Academic Judicial Board, Faculty Constituent Representatives to the board of trustees and any ad hoc committees for which elected faculty representation is requested by the board of trustees, the president or the provost.

The committee should provide a mechanism whereby faculty members may nominate themselves for election to committees and should consider divisional representation when making its nominations for committees.

- b. To present its annual slate of nominees for the following academic year no later than the next to last regular faculty meeting of an academic year.
- c. In all elections, the committee will create an official ballot for faculty members to cast their votes. Normally, the committee will distribute an electronic ballot via an on-line voting system that will confidentially record each faculty member's vote before results are made available to the committee chair and provost. Alternatively, the committee may distribute through campus mail a paper ballot on which faculty members will cast their votes before returning them in a sealed envelope to the provost.
- d. To provide to the Nominations and Honorary Degrees Committee of the board of trustees the faculty's nominee(s) for the award of an honorary degree.

2.8.1.9 Writing Program Committee

Membership consists of four elected faculty members, at least one from each division, plus the director(s) of the Writing Program and Writing Center and the assistant director of the Writing Center. In order to be elected to the committee, each faculty member must have taught at least one writing-intensive (W) course in the previous two years. In addition, there will be one student member, to be selected by the Student Government Association, who has been trained as a tutor and served at least once as a writing assistant to a first-year seminar. The director(s) of the Writing Program, the Writing Center and the assistant director of the Writing Center are ex officio members of the committee. The director(s) of the Writing Program shall call the first meeting of the committee for the academic year. The chair of the committee, in collaboration

with the director(s) of the Writing Program and Writing Center, shall develop the agenda for the academic year.

Functions

- a. To review and approve new first-year seminars and writing intensive course proposals and special requests for W and FYS credit.
- b. To make recommendations to faculty regarding writing program policy.
- c. To consult with other committees and administrators on writing standards and initiatives.
- d. To facilitate the cross-campus discussion of issues pertaining to writing and writing instruction as an integral facet of campus culture.
- e. To periodically review existing W courses in consultation with faculty instructors and department chairs.

2.8.2 College Committees

Regularly constituted College committees are:

- a. College Committee on the Student Experience
- b. College Committee on Technology and Digital Learning
- c. Institutional Review Board
- d. Lectures and Forums Committee

Section 2.3 indicates which member of the senior administration has administrative responsibility for a particular College committee.

2.8.2.1 College Committee on the Student Experience

The College Committee on the Student Experience (CCSE) is dedicated to considering the broad set of issues that impact the current and evolving student experience. The committee is a center for deeply exploring those initiatives, programs, services and policies that directly impact the health and wellbeing and the academic life and co-curricular experience of students, as well as how those impact overall student personal and professional outcomes. In addition, the committee considers issues of equity, justice and inclusion in our community that directly impacts students' lives at the College and offers input, support and guidance on student life policies and new initiatives as needed.

Membership consists of thirteen members: two students chosen by the Student Government Association; a representative from the Division of Graduate and Continuing Studies; three faculty members elected by the faculty for three-year terms; the vice president for college life and dean of students (VPCL); the dean of academic life (DOAL); the chief diversity officer and associate provost for equity and inclusion; three additional student affairs staff members (appointed by the VPCL); and the executive director of career services.

The vice president for college life and dean of students shall call the first meeting of the committee for the academic year, at which meeting the committee will select one faculty member and one staff member from the group to serve as co-chairs. Regularly scheduled meetings of the committee shall be open to all members of the College community and the committee will have the administrative support of the VPCL.

Functions

- a. To serve as a space where the campus community, the Student Government and administrative and academic departments can go when they desire feedback, input and/or

advice or broad support on programmatic initiatives and student services to be offered as part of the full student experience at the College.

- b. To serve as a space for the discussion of the overall student experience and to facilitate communication between faculty, staff and students on critical issues that impact student well-being, sense of belonging, student engagement and/or student outcomes.
- c. When requested, to review and offer input on student life policy development and changes. This may include providing feedback and consultation, a request for a direct recommendation or vote or assistance with facilitating dialog about critical policy issues across campus. In the performance of this function, the committee will consult broadly and enlist the assistance of members of the College community as needed.
- d. To assist the broad campus community in better understanding the student experience and its many facets more deeply. The committee, in conjunction with the DOAL, the chief diversity officer and associate provost for equity and inclusion and the vice president for college life and dean of students will offer support to educating the campus community about issues of concern and will serve as a resource to the campus about the student experience as needed.
- e. To make timely reports on committee activities to the president, the provost's senior staff and academic life staff, the faculty and the Student Government Association as needed. The faculty co-chair will be responsible for providing regular reports to the faculty and/or bringing forward any necessary recommendations, information and policies discussed to the full faculty and to other faculty committees if needed. The VPCL will regularly share updates and progress with the president and senior staff of the College as needed. The VPCL, DOAL, chief diversity officer and associate provost for equity and inclusion and executive director of career services will ensure that reports on activity are shared with their departments regularly and the SGA representatives will be responsible for reporting back to SGA and/or working with the VPCL to share information more broadly with the student body as needed.

2.8.2.2 College Committee on Technology and Digital Learning

Membership consists of twelve voting members: one student employee of the Office of Information Technology; one student elected by the Student Government Association; three faculty members, one from each division, elected by the faculty for three-year terms; one staff associate who serves on another information technology committee; and as ex officio members, the chief financial officer & treasurer, the chief information officer, the provost, the registrar, the vice president and executive director of graduate and continuing education and the library director.

The committee's policies and recommendations will reflect and serve the educational mission of the College.

Primary Responsibilities

- a. To develop policies for the use of information technology and advises the president and administration about the deployment of information technology resources.
- b. To advise the president and administration about the research, implementation, shared governance and communication implications of the "Future of Digital Teaching and Learning at Muhlenberg" (2013) and all subsequent technology and digital learning reports.

- c. To confer with the Digital Learning Librarian and other campus constituencies about the curricular and pedagogical needs of faculty and students.
- d. To serve as a liaison among campus constituencies with interests in campus-wide information and technology policy (e.g., web space allocation, use and exchange of identification numbers, access to faculty lists, outside access to campus web space etc.).
- e. To seek input from the campus community on information technology needs and confers with and receives input from constituencies including but not limited to Curriculum Committee, the Administrative Systems Committee and the Workday Users Group.
- f. To help evaluate campus-wide information technology needs and advises the president and administration in setting priorities for acquisitions, implementation, access and support.
- g. To track and evaluate new digital learning initiatives relevant to liberal arts education, including new developments in curricular content, digital platforms for teaching and digital learning consortia.
- h. On the recommendation of the relevant department chair, the three elected faculty members will review and respond to proposals to develop courses into blended or fully online instruction formats to ensure best practices in digital learning.
- i. To receive reports from the Digital Learning Team (the provost office, OIT and Trexler Library) on actions that have resulted from the “Future of Digital Teaching and Learning at Muhlenberg” (2013) and all subsequent technology and learning.
- j. To collaborate with campus entities such as the Muhlenberg Center for Teaching and Learning, Trexler Library, the Dean of Institutional Assessment and Academic Planning, the Digital Learning Librarian and OIT to sponsor faculty, staff and student development programs related to digital teaching and learning.
- k. To make policy and implement recommendations to the president and administration regarding campus-wide information technology-related issues, including but not limited to intellectual property, data acquisition/trading, privacy and access (e.g. FERPA compliance, ADA, risk management, misuse of information systems, cloud computing, etc.).

2.8.2.3 Institutional Review Board

Membership consists of five standing members: three representatives from the College (two faculty members and one representative who may be a faculty or staff member), one student member and one community member. Each College member is elected by the faculty for a three-year term and may be re-elected for an additional term. The student member is appointed by the president of the Student Government Association. The community member is appointed by the provost after consulting with the IRB. This person is not otherwise affiliated with the institution and is not part of the immediate family of a person who is affiliated with the institution. Associate members may be drawn from the faculty, staff, students or community on an as-needed basis to review those projects which fall within their areas of expertise and/or interest. There will always be at least one member whose primary concerns are in the scientific areas and one member whose primary interests are in nonscientific areas.

Functions

In accordance with the Muhlenberg College Policy on Research with Human Subjects, the IRB will review proposals for research and experimental activities in which human beings participate as subjects.

2.8.2.4 Lectures and Forums Committee

The Lectures and Forums Committee consists of five voting members: two faculty members elected for three-year terms, one student appointed and confirmed by the Student Government Association, the dean of academic life and the vice president for communications and marketing. The committee shall be chaired by a faculty member elected at its first meeting of each academic year.

The committee has responsibility for reviewing proposals and disbursing funds for two categories: Lectures, which supports a single, small-scale, faculty-initiated event related to the academic program and Forums, a series of programs, thematically linked, that will distinguish the College regionally or nationally. The committee is also responsible for requiring a post-event report including information such as attendance.

2.8.3 Judicial Panels and Boards

2.8.3.1 Academic Judicial Board

The Academic Judicial Board serves as an appeals board for students for alleged violations of the Academic Integrity Code and is overseen by the Dean of Academic Life.

Membership consists of six students selected by the Student Government Association at its first meeting of the academic year and four faculty members elected by the faculty for three-year terms.

The Academic Judicial Board, at its first meeting of each academic year, shall select from its members a secretary.

Procedures to be followed by the Academic Judicial Board for alleged violations of the Academic Integrity Code may be found in the Muhlenberg College Student Handbook.

2.8.3.2 Student Conduct Hearing Board

The Student Conduct Hearing Board hears cases of alleged violations of the Student Code of Conduct. Members of a Hearing Board are selected from a group of students, faculty members and staff administrators who have voluntarily applied and been trained to hear cases. Each Hearing Board will consist of three students, one staff administrator and one faculty member. The associate dean of students, in selecting the five members for each Hearing Board, adopts a process that ensures a fair and timely hearing for the student(s) or student group and considers workload equity, conflicts of interest, etc.

The Office of Student Conduct oversees the selection process of students, faculty and staff. Student members are selected based on an application and interview process. Faculty members self-elect by responding to a call from the provost which occurs prior to the start of the fall semester. Of those, a minimum of five faculty are appointed by the provost, in conjunction with the associate dean of students. The administrative staff members are selected by the associate dean of students in conjunction with the vice president for college life/dean of students.

Additional information concerning the Student Code of Conduct for alleged violations may be found on the Student Policy and Resource Guide site.

2.8.4 Advisory Committees and Boards

2.8.4.1 Budget Advisory Committee

Membership consists of seven voting members: three faculty members, one elected by the faculty for a three-year term, one appointed by the provost for a three-year term; and one elected annually by FPPC from among its members; the provost; the vice president for college life and dean of students; the chief financial officer and treasurer; and the assistant treasurer.

Functions

- a. Review budget material provided by the Treasurer's Office, including the current budget, student charge comparisons with competitor institutions, faculty salary benchmarking data and financial aid trends.
- b. Participate in discussions with the vice president for enrollment management, vice president and executive director of graduate and continuing education and vice president for advancement and use what is learned in these discussions to assist in forming a budget recommendation.
- c. Develop for the president a budget recommendation for the following fiscal year, covering student charges, operating budgets, salary pools and other revenue and expenditure lines as necessary.

The president reviews the recommendation, which is normally in the form of ranges, makes a decision and then instructs the chief financial officer to create budget material for the Trustee Budget & Finance Committee (B&F). B&F reviews and makes a recommendation to the full board of trustees at their winter meeting. Student charges are set at this meeting. Expenditure budgets are considered tentative until information is known about the incoming class later in May.

2.8.5 Ad Hoc Committees

Members of ad hoc groups (including task forces, ad hoc committees and planning and working groups) may be elected by the faculty or appointed by the president or the provost to consider matters of special and/or short-term concern. When such a group is created, its creator will see that the group, its membership and its charge are posted on the president's website in a section designated for this purpose.

On matters requiring faculty action, all recommendations of ad hoc groups (including task forces, ad hoc committees and planning and working groups) requiring a faculty vote are brought to the faculty by the relevant standing committee(s).

When ad hoc groups determine that they are working on matters requiring a faculty vote, they will then provide periodic updates to the faculty or college committee(s) that would normally bring the resulting proposal to the faculty for action. APC, in conjunction with the ad hoc group, will determine which committee or committees are normally responsible for the matter under consideration.

2.8.6 Faculty Constituent Representatives to the board of trustees

The faculty will elect two Faculty Constituent Representatives to the board of trustees, who will serve for staggered two-year terms. These constituent representatives will attend selected board meetings at the invitation of the board and report their observations to the faculty.

3. FACULTY APPOINTMENT AND PERSONNEL MATTERS

3.1 Appointment to the Faculty

All appointments to the academic staff are made by the president of the College. Appointments are made in consideration of departmental and institutional requirements which include, but are not restricted to enrollment trends, the need for a desirable mix of specialties and the rank pattern in a department. Furthermore, appointments take into account the views of the members of the department where appointment is to be made and, in the case of appointments to the rank of Associate and Professor, the views of the Faculty Evaluation Committee.

3.1.1 Recruitment Procedures

The responsibility for recruiting new academic staff members lies with the provost, who consults with the head and other members of the department involved.

Openings are advertised and dossiers of prospective candidates are received by the department chair and evaluated by appropriate faculty. Preliminary interviews are conducted by phone or at a professional meeting. The most promising candidates are then brought to campus to engage in an intensive interview process, meeting with faculty, students and administrators. Candidates are also expected to deliver a lecture, conduct a seminar or perform another appropriate teaching assignment.

Following the on-campus visits, the department makes its recommendation to the provost. In consultation with the provost, the president decides what action to take on the recommendation.

3.1.1.1 Equal Opportunity

Muhlenberg College does not discriminate against any person based on age, color, disability, gender, gender identity, national or ethnic origin, race, religion, sexual orientation, veteran status or any other basis protected by applicable federal, state or local laws. This policy applies to employment, personnel decisions and all other activities and programs of the College. Any faculty member who believes a violation of this policy has occurred should bring the matter to the immediate attention of the vice president for human resources (Third Floor, Haas College Center, 484-664-3166) and may proceed under the Problem Resolution and Complaint Procedures. In addition, inquiries concerning the application of Title IX of the Education Amendments of 1972 (prohibiting discrimination on the basis of sex) may be directed [as described in the problem resolution procedure below or to the Assistant Secretary for Civil Rights, U.S. Department of Education.]

3.1.2 Terms of Appointment or Reappointment

Each faculty member is appointed to a specific department.

The following terms of appointment or reappointment are indicated in a letter of appointment from the president, which letter constitutes the offer of employment:

- a. academic rank;
- b. compensation;
- c. months of service per academic year (see Section 4.9);
- d. duration of appointment and employment;
- e. whether or not the employment is for a position where the appointee will be considered for appointment with tenure after the required number of years of service, that is a "Tenure-Eligible" position;
- f. if the appointee is tenure eligible, a statement as to when tenure consideration will take place (see Section 3.4.3) taking into account prior service, if any;
- g. additional assigned responsibilities; and
- h. other pertinent matters, such as special equipment, moving expenses and office space.

3.1.3 Joint Appointments

When faculty members, as part of their contractual responsibilities, devote a significant portion of their teaching and professional activity responsibilities to either multiple departments or an interdisciplinary program in fields in which the college currently offers a major or minor, the faculty members may receive a joint appointment to a department and a specified program or to two departments. When faculty members are granted joint appointments to two departments, one of the departments will be designated as the lead department. The chair of that department will be responsible for overseeing evaluation processes for faculty members receiving the joint appointment. Faculty members not on an initial joint appointment may petition the provost for a joint appointment after at least two years of full-time employment at the College. Following consultation with the relevant department chair(s) and/or program director, the provost will determine whether to grant the joint appointment.

Faculty receiving joint appointments will have a title reflecting their contractual appointment status within a department and a program or two departments.

3.2 Personnel Records

Because of the importance to the individual and to the College of adequate and documented information to support decisions involving each academic staff member, especially personnel actions such as those with regard to reappointment, promotion, tenure, compensation, termination and dismissal, a complete personnel file is maintained in the Office of the Provost for each academic staff member. All materials used in making decisions regarding appointment, reappointment, tenure, promotion and compensation are placed in the academic staff member's personnel file.

In addition, the file also contains pre-employment information such as curriculum vitae, academic transcripts, letters of recommendation, information accumulated following employment such as copies of letters of appointment and reappointment, promotion and tenure actions, salary notification, appointments to special assignments, student evaluation summaries, evaluation reports, records of professional activities such as conferences attended and copies of papers presented, copies of published scholarly work, commendations, reprimands and records of any steps taken toward termination or dismissal.

Information in these files is available only to the academic staff member, the member's department chair, the president and appropriate senior administrators as defined by the president.

For purposes of review in consideration of promotion and/or tenure, portions of these files are made available on a confidential level to members of the Faculty Evaluation Committee at the discretion of the provost. Normally, the information made available to the Evaluation Committee on a confidential level includes copies of letters of initial appointment, all nominations, applications and background information appropriate for consideration for promotion and tenure. Compensation information is deleted.

A faculty member may at any time place a written statement or other materials in his or her file.

The College may permit access to and copying from these files pursuant to lawful requests of federal and state agencies relevant to any investigation, hearing or court action. In such cases, the individual whose file is requested will be notified in writing by the provost prior to the College's complying with the request.

3.3 Reappointment

On an annual basis, the president provides each voting faculty member who has met the expectations of the College as set forward in this handbook for the three criteria for evaluation consistent with the staffing needs of the College, a written letter of reappointment, consistent with the College's bylaws, as amended from time to time. The letter is to state academic rank, terms of the reappointment and

employment, including tenure status of tenured faculty, any additional responsibilities and compensation (see Section 3.1.2). Such letters of reappointment for an academic year are normally sent in late June, following establishment of final enrollment numbers for the fall term.

3.3.1 Notice of Non-Reappointment

If a voting faculty member is not offered an annual reappointment, notice of non-reappointment is given in writing by the president in accordance with the following:

1. If an appointment expires at the end of the first academic year of service to the College, notice is given on or before March 1 of that year; or, if the first year of service appointment is terminated during that year, at least three months in advance of the termination date.
2. If an appointment expires at the end of the second academic year of service to the College, notice is given on or before December 15 of that year.
3. If the decision is made to terminate the appointment after a second year of service, but prior to the completion of the third year of service, notice of non-reappointment must be given at least six months in advance of the termination date.
4. If an appointment expires at the end of three or more academic years of service to the College, notice is given prior to May 30 of that year and, in addition, the faculty member receives a final letter of appointment for the next academic year.
5. Items (1) and (2) and (3) above apply to, but are not limited to, a tenure-eligible Lecturer who has not made satisfactory progress toward the appropriate terminal degree (see Section 3.4.2). Item (4) applies to, but is not limited to, a tenure-eligible Lecturer or Assistant Professor who has not received the appropriate terminal degree by the end of the third academic year of service to the College and any tenure-eligible faculty member.

3.4 Tenure

A faculty member who has received tenure has a continuous appointment that extends to retirement unless terminated sooner by resignation, early retirement, death or by action of the Trustees under the provisions for Removal for Just Cause or by Reason of Anticipated Financial Exigency.

The evaluation for tenure is part of the College's continuing search for excellence and the awarding of tenure is the recognition of an individual's proven positive worth to the institution and the expression of faith in the long-term continuation of that worth. Every addition to the tenure holding faculty must be calculated to increase the strength of the department concerned and of the institution as a whole. Therefore, tenure is not a status which is automatically attained nor is evaluation for tenure simply a matter of determining whether certain minimum requirements have been met.

In other words, tenure is granted because very positive reasons exist for doing so rather than lack of reasons for not doing so. Tenure is a status that signifies that the candidate has clearly presented evidence that they have met the high standards established by the College and that evidence strongly suggests continued contributions to the College in the long term.

3.4.1 Basic Policy Considerations for Granting Tenure

The College affirms the concept of tenure as an important structure for supporting academic freedom within the academic community and for insuring continuity of the purposes and traditions of the institution. At the same time, however, considerable flexibility in staffing is essential to accommodate the rapid changes that may occur through curriculum revision or through shifts or declines in student populations and interests and the financial status of the College.

The College's tenure policy, as stated herein, was adopted by the board of trustees in 1974 and amended in 1979, 1983 and 1988. The board of trustees has also set forth its endorsement of tenure in Article V, Section 3 of the College bylaws.

To be considered for tenure, a candidate must hold the appropriate terminal degree (see Section 3.4.2) and have received a highly favorable evaluation based on the criteria stated below in Section 3.5.

Tenure is granted only by action of the board of trustees upon the recommendation of the president and board of trustees' Educational Policies and Faculty Affairs Committee. If tenure is granted, it becomes effective at the start of the next academic year.

3.4.2 Terminal Degrees

The terminal degree in all disciplines shall be the appropriate doctorate, except for the fields listed below. This terminal degree requirement may be replaced by the demonstration of exceptional qualifications.

ART:	Art History, Ph.D.; Studio Art, M.F.A.
THEATRE AND DANCE:	Drama History, Ph.D.; Performing Arts, M.F.A.
MUSIC:	Ph.D., D.M., D.A. or A.D.M.: for performance, an appropriate master's degree
BUSINESS:	Ph.D. or D.B.A.
ACCOUNTING:	Ph.D., D.B.A., M.B.A. with C.P.A. or M.S. in Accounting with C.P.A.
PHYSICAL EDUCATION:	M.S., M.A. or M.Ed.

3.4.3 Timing of the Review for Considering the Granting of Tenure

The timing for tenure consideration of tenure-eligible faculty is based on the following guidelines:

- a. An individual hired as an Associate or Professor will usually be considered for tenure within the first three years of full-time academic service to the College.
- b. An Assistant Professor will usually be considered for tenure during the sixth year of full-time academic service to the College at that rank unless they had prior service at that rank at another institution. The faculty member and the College may agree to count up to three years of prior service toward the total of six.
- c. An individual hired as a tenure-eligible Lecturer must achieve the rank of Assistant Professor by the conclusion of the third year of full-time academic service to the College in order to remain tenure eligible.
- d. Normally, a tenure-eligible faculty member may not be on leave during the fall semester of the year in which they stand for promotion. Under special circumstances, such as cases involving FMLA leave, the provost may grant exceptions to this requirement and, if needed, in consultation with FEC modify the review calendar within the academic year in which a candidate is eligible to stand for tenure.
- e. A tenure-eligible faculty member who takes a leave of absence for advanced study, research or other scholarly activity will have that time count toward the probationary period leading up to tenure review.
- f. A tenure-eligible faculty member who is forced due to illness, maternity/paternity or family emergency to be absent or operate at a greatly reduced level for a significant period of time may request a one-year extension to the pre-tenure period. The request should be made in writing to the provost. The provost will provide a written response to the request after consulting with FPPC and the faculty member's department chair. The letter granting the request must state the new timetable for tenure review.

- g. In very special cases, an individual may be appointed to the faculty at the rank of Professor with tenure by the board of trustees. The president of the College may recommend such an appointment to the board following a careful review of the individual's credentials by the relevant academic department, the Faculty Evaluation Committee and appropriate administrators.

3.5 Evaluation Standards for Faculty

Muhlenberg College is committed to the concept of academic freedom and to a policy that will contribute to the dignity, productivity and well-being of the academic community. Its appointments and promotions will be guided by the teaching performance and scholarship of faculty members, their willingness to share in service responsibilities and their commitment to the College and its purposes.

Per its Mission Statement, "Muhlenberg College aims to develop independent critical thinkers who are intellectually agile, characterized by a zest for reasoned and civil debate, committed to understanding the diversity of the human experience, able to express ideas with clarity and grace, committed to life-long learning, equipped with ethical and civic values and prepared for lives of leadership and service. The College is committed to providing an intellectually rigorous undergraduate education within the context of an inclusive and diverse campus; we strongly believe that diversity is essential to learning and to our success as a pluralistic community."

Consistent with these objectives, excellence in teaching is foremost among the criteria used to evaluate members of the faculty. Other criteria are, in part, evaluated by examining the influence they have on teaching performance. Certainly the quality of teaching is often enhanced by faculty scholarship and research. Likewise, a framework and climate for excellent teaching requires that faculty members maintain effective contact with students beyond that occurring in the classroom and active participation in the governance of the College and their academic departments.

The three criteria for evaluation, teaching, scholarship and service to the college, will be considered within the broader context of the mission of the College. Each faculty member is expected to exhibit values consistent with the traditions of Muhlenberg College as a church-related, liberal arts institution. This does not mean a uniform subscription to any particular belief, pattern of worship or lifestyle. It does mean a respect for persons who differ, a readiness to engage open-mindedly in a corporate search for truth and attentiveness to the role of values in the educational task. The professional behavior of each faculty member should evidence a demonstrated concern for the growth of students as whole persons, as moral and spiritual as well as intellectual beings.

The following criteria are used in evaluating the abilities of faculty members, particularly for the purpose of making decisions concerning reappointment, tenure, promotion and compensation.

3.5.1 Teaching

Excellent teaching is foremost among the criteria used to evaluate members of the faculty for employment and advancement. At Muhlenberg the purpose of teaching is not just to facilitate student learning in the context of individual courses, but to contribute to the development of intellectually skilled, curious, knowledgeable and socially engaged human beings. While high-quality teaching practices vary considerably among disciplines, courses and instructors, the following provides a framework for the development and evaluation of teaching.

All instructors are expected to present course syllabi that include clear learning goals and grading standards; meet classes regularly; organize, communicate and routinely update course content and material; provide a variety and quantity of assessments consistent with course goals; give timely feedback; foster an environment of open engagement and respect for students; make time outside of scheduled class meetings available to students; maintain high academic standards; and demonstrate a general commitment to the College mission and academic program goals. Beyond

these expectations, evaluating a faculty member's performance also requires consideration of evidence for the following interrelated criteria: commitment, expertise and effectiveness.

Commitment

Excellent teachers are committed to teaching. Expressions of commitment may include, but are not limited to, exhibiting enthusiasm, illustrating connections between course material and other fields of knowledge, addressing social concerns and questions of ethics, modeling intellectual engagement and forming intellectual relationships with students that transcend narrow pedagogical roles. Instructors committed to teaching consistently work to improve instructional quality. Efforts to this end often include experimenting with different teaching techniques, adopting superior methods and making effective use of feedback from students and peers.

Expertise

Excellent teachers show both an impressive depth and an impressive breadth of knowledge, bringing relevant and current information from their respective disciplines into their courses and mentoring. They strive to grow in their fields and as educators, demonstrations of which may include participating in conferences, workshops and other professional activities on or off campus that inform their instruction.

Effectiveness

Excellent teachers are effective. Effective teaching challenges students and is best evidenced through its impact on students. In addition to skill development, evidence of effective teaching may include, but is not limited to, curiosity aroused at the introductory level, intellectual growth, inspiration to produce creative work at the advance level and recognition of achievement by agencies outside of the college.

Finally, evaluation of teaching relies on information derived from several sources, which include, but are not limited to, classroom observations by colleagues, examinations of course materials, such as syllabi, assignments, exams and student work, results of formal student evaluations and communications from former students.

3.5.2 Professional Activity

All voting faculty (see 2.4.1) are expected to engage in professional activity that informs their classroom instruction (professional development). Tenured and tenure-eligible faculty are expected, in addition, to engage in professional activity with implications beyond their pedagogical work, regularly making contributions in their respective professional fields (see 2.4.1.1).

Faculty members should be able to provide evidence that they are continually and effectively engaged in professional activity as appropriate to their appointment status (tenure track or non-tenure eligible see 2.4.1). This professional activity must be relevant to their discipline and congruent with the professional expectations of the college and the discipline. In addition, candidates for tenure and/or promotion must demonstrate a pattern of consistent activity that suggests a trajectory toward continued productivity post tenure or promotion.

The pattern of professional activity for all faculty will be evaluated in light of reasonable expectations for such activity at a liberal arts college where the primary mission is teaching and in light of the opportunities and resources actually available to carry on scholarship, research and/or artistic presentation in the faculty member's academic field. All types of professional activity should be assessed wherever possible in terms of the type or quality of professional activity normally expected in the faculty member's field.

Most forms of professional activity are subject to some form of external validation (though potentially of varying degrees of rigor that may be addressed as noted under evaluation (see 3.5.2.2 a-g below).

Those evaluating a faculty member should comment upon the quality of the professional activity, citing evidence that the faculty member is continually and effectively engaged in professional activity such as scholarship and contributions to professional organizations as appropriate to their appointment status (tenure track or non-tenure eligible, see 2.4.1).

3.5.2.1 Scholarship

The primary type of professional activity to be considered is scholarship. Scholarship fits into a variety of categories. These categories, presented below in alphabetical order, include:

- a. The Scholarship of Application responsibly applies knowledge from the profession to address consequential problems facing society and its institutions;
- b. The Scholarship of Artistic Production whereby scholars in the performing, fine and literary arts present their work in public venues;
- c. The Scholarship of Discovery involving disciplined, investigative efforts within the academy targeted toward the accumulation and dissemination of new knowledge to practitioners within the profession;
- d. The Scholarship of Integration whereby scholars give meaning to isolated facts, put them in perspective, make connections across the disciplines, place the specialties in larger context, illuminate data in a revealing way or often educate non-specialists; and,
- e. The Scholarship of Teaching whereby scholars engage in research and publication regarding the analysis and application of innovative pedagogies within their discipline.

Normally, this activity should culminate in publications or presentations of works appropriate to the standards of the discipline.

3.5.2.2 Evaluation of Scholarship

Evaluators must also consider the following criteria:

- a. Books should be published by reputable academic or trade presses. Reviews of the book in appropriate journals should be considered. Textbooks ought to be evaluated as to the quality of the content and their contribution to the teaching of the discipline.
- b. Articles, essays and creative writings should be published in appropriate scholarly journals, which, consistent with discipline and topic, may include open-access and/or online-only journals. For all publications, some assessment should be made as to the quality of the journal in which the piece appears; in particular, whether the journal is refereed and its scholarly reputation.
- c. Reports for governmental bodies or private entities should be evaluated in terms of both the quality of the research as well as the prestige of the entity for which the research was conducted and the extent to which the research influenced the policies or activities of the contracting entity in a significant way. If the faculty member is unable to provide copies of the contracted study due to the confidential or proprietary nature of the material the research/scholarly activity of application must be documented with letters from appropriate authorities (for example the Executive Director of the contracting organization, Lead Investigator for the contracted research) within the organization attesting to the value of the scholarly activity and the way that the organization made use of this form of scholarship of application.
- d. Conference presentations should be evaluated on the quality of their content and on the prestige of the meeting to which they were delivered. National and regional meetings should rank higher than local meetings. Scholarly presentations should be ranked more highly than popular ones.

- e. Artistic production in the performing, fine and literary arts should be assessed by considering the quality of the work and the reputation of the presenting or publishing organization. Appropriate reviews of the presentation, if available, should be consulted.
- f. The pursuit of grants should be assessed by considering the quality of the work associated with the grant application. In the case of grants, the faculty member should have available a copy of the grant application and a letter acknowledging receipt of the grant application. In the case of a successful grant application, the faculty member should have available a copy of the notification of the award of the grant.
- g. Professional activity with student participation is particularly valued and should be evaluated on the quality of the experience for the students as well as the quality of the work itself. Supervision of student scholarship (e.g. non-collaborative student independent study that does not contribute to the faculty member's professional activity) should be described under Teaching.
- h. Digital scholarship outside of the traditional venues of peer-reviewed academic journals should be considered and evaluated to determine its quality, innovation and impact on the field.

3.5.2.3 Professional Service

A second type of professional activity that faculty might undertake is service that reflects professional expertise, such as leadership within one of the professional organizations relevant to the faculty member's discipline, advising an organization, serving on a board that requires the faculty member's professional skills or providing a professional service to a community organization. Normally, such activity must be documented. In the case of other professional services, candidates for tenure and promotion must solicit and include in their files letters from appropriate authorities (for example a letter from the Executive Director of the organization receiving the service) within the organization attesting to the value of the professional services and the way that the organization made use of these services.

3.5.2.4 Work in Progress

For faculty members standing for tenure and/or promotion, in addition to projects already completed, work in progress represents a third and less significant, area of scholarship that faculty members may include in their files for consideration by evaluators in their assessment of qualifications for tenure or promotion. Candidates should provide external corroboration for their contentions regarding the merit of work in progress especially if work in progress constitutes the bulk of the candidate's professional activity. Unlike the types of professional activity that have been subject to external validation (though potentially of varying degrees of rigor that the candidate and those evaluating the candidate will have commented upon), this type of professional activity by its nature will not have been subject to external validation. External review may be particularly important when departmental colleagues are less familiar with the subject matter of the scholarly work in progress. If the candidate chooses to solicit external review of their work in progress, those reviews and the work in progress will become part of that candidate's file. The candidate may comment on the solicited reviews once they become part of the file. The process of soliciting such external review will be:

- The candidate will identify four external reviewers from the candidate's sub-discipline who may speak meaningfully about their work in progress.
- For each name, the candidate should provide a brief statement explaining the rationale for choosing that individual as an external reviewer as well as their professional relationship, if any, with the suggested reviewers.
- The names and contact information for the four external reviewers should be submitted to the provost at least 60 days before the file closing date for the academic year in which the candidate will be reviewed for tenure or promotion.

- By 50 days prior to the file closing date, the provost will contact two of these individuals and secure their agreement to be an external reviewer.
- The provost will provide each reviewer with copies of the candidate's vitae and copies of the work in progress to be assessed. The materials may also include brief text explanations of the context and development of that work.
- The reviewers will be asked to write a letter focusing their comments upon:
 - the quality of the candidate's work;
 - the timeliness and/or importance of the topic of scholarship; and
 - their assessment regarding the potential of publication.

Assessment of work in progress shall consider progress made, likelihood of completion and potential for publication or presentation.

3.5.3 College Service

Faculty contributions to the College community that fall outside of teaching and professional activity responsibilities are vital to the success of the College. Muhlenberg is a residential liberal arts college that values shared governance and the faculty plays an essential role in both the formulation and execution of college policy. Working ethically and collegially, faculty members commit substantial time and effort to college service. Service to the college therefore constitutes an important category for evaluation. Consideration is given to the significance of responsibilities undertaken, achievements, dependability and effectiveness in promoting collegiality by working collaboratively with an appropriate sense of courtesy and respect. The broad service responsibilities of the faculty and therefore the appropriate share of a given faculty member, must reflect both the needs of the College community and the availability of faculty time in light of concurrent teaching and professional activity responsibilities.

Attendance and Communication

All voting faculty members are expected to participate regularly in departmental, divisional and full-faculty meetings; attend college ceremonies; stay abreast of college communications; and maintain a physical presence on campus, being available to students and colleagues for collaborative service work. Non-voting faculty members may have similar responsibilities as outlined in the terms of their appointments.

Academic Advising and Shared Governance

Except as noted under Progression and Leadership below, all voting faculty members are expected to regularly advise students and serve on faculty, college and/or ad hoc committees, thereby taking on an equitable portion of shared governance responsibilities. Advising should be equitably distributed within departments and programs.

Campus Citizenship

In addition to the above activities, faculty members serve the College in a variety of important ways, with each applying a unique set of talents to the diverse and complex needs of the College community. A given faculty member's service typically reflects multiple engagement points and some find it useful to describe service activities under headings of service to students, to departments/programs and/or to the broader College community. Faculty members are not expected to engage in every type of college service and a given faculty member's service workload is likely to vary from semester to semester. The following examples illustrate the wide range of service work that has been historically recognized. They do not comprise an exhaustive list of appropriate service activities.

- Mentoring students, whether academic advisees or otherwise and writing recommendation letters as professionally appropriate
- Advising or serving as a liaison for student organizations or athletic teams

- Maintaining department websites or equipment
- Representing a department as a liaison or hosting departmental events
- Serving on a standing or ad hoc group formed to accomplish the goals of a center, department, initiative, institute or program
- Assisting with recruiting, on-campus or alumni events
- Undertaking activities with external groups in the Lehigh Valley or beyond that increase the visibility of the College or otherwise advance the College's mission.

Progression and Leadership

The service responsibilities of faculty members new to the College should be minimal during the first year and increase commensurately during the second and third years. Faculty members do not typically serve as formal academic advisors during the first year and prior to the third-year evaluation many choose to limit their shared governance work to college committees and/or judicial panels and boards. Faculty at the ranks of associate and professor are expected to serve regularly in campus leadership positions appropriate to their talents and experience. Such leadership positions include, but are not limited to, chairs and directors of boards, centers, committees, departments, initiatives, institutes, programs and working groups. Campus leadership roles comprise some of the most important examples of college service work and, in rare instances, extraordinary leadership responsibilities may necessitate a faculty member's extended removal from regular academic advising and/or shared governance work. Such an absence should only happen following a documented consultation with the provost.

3.6 Evaluation Procedures for Faculty

All faculty, voting and non-voting, full-time and adjunct, will be evaluated regularly as appropriate to their rank and terms of employment, as described below.

3.6.1 Annual Evaluation Guidelines for Full-Time Faculty

Evaluations for all full-time (voting and non-voting) faculty are conducted annually for the purpose of salary review and personnel decisions.

Ongoing assessment of a faculty member's performance typically includes, but is not limited to, informal discussions and conversations with colleagues and students, course content and objectives, teaching methods, teacher/student relationships, classroom visits by peers, which may include the provost, reviews of results of the student evaluations conducted toward the end of each semester and periodic reviews of professional activity and College service as appropriate for appointment status and rank).

Consistent with 2.7.1, Responsibilities of department chairs, at least once each academic year and prior to the submission of annual self-assessments, department chairs will observe each of the full-time faculty members in their departments who has been teaching at the college for fewer than six full academic years. The chairs may coordinate additional observations of these faculty or of any other members of their department. All observations must conform to the procedures outlined in section 3.7. department chair evaluations and any warranted observations are conducted by the provost as outlined in 2.7.

1. In the spring, at the request of the provost, faculty members update their CVs, complete annual self-assessments and provide a copy to their department chairs. The self-assessments should address each of the three criteria referred to in Sections 3.5.1 through 3.5.3. Each faculty member also makes sure the department chair has access, upon request, to: all written materials from any previous evaluation, global data from formal student evaluations as approved by the faculty (i.e., prior to Fall 2019 question #40 on SIR; Fall 2019 forward, the IDEA raw average of the combined summative questions), examples of written course syllabi, exams and other written assignments.

2. The department chair writes an evaluation of the faculty member which addresses each of the three criteria, with consideration given to the expectations of the faculty member's rank and appointment status.
3. A copy of the department chair's evaluation is given to the faculty member. The department chair and faculty member subsequently discuss the evaluation and may agree to make changes. Both individuals sign the final evaluation indicating that a discussion has taken place.
4. The faculty member may prepare a written response to the department chair's evaluation. Copies of the evaluation and any response are submitted to the provost and placed in the personnel file.
5. The provost meets with the department chair to discuss each faculty member's performance, with consideration given to the expectations of the faculty member's rank and appointment status and any recommendations concerning salary and/or employment status.
6. The provost prepares salary and re-appointment recommendations and submits them to the president.
7. The president determines salary increases and issues letters of salary and employment status if under review.

3.6.2 Evaluation Guidelines for Adjunct Faculty

New adjunct instructors in traditional College programs will be observed by the department chair or designee no later than the second semester of teaching. In the Accelerated Program, new adjunct instructors will be observed by the Director of Accelerated Programs or a designee while teaching their second or third module.

Adjunct instructors will be observed at least once every three years. Observations may be conducted by the department chair, the program director, the vice president and executive director or deans of the Division of Graduate and Continuing Education or a college faculty member designated by one of the above. All classroom observations must conform to the procedures outlined in section 3.7. The adjunct faculty member will receive written feedback from the observer and will process the feedback, including any remediation necessary, with the department chair, the vice president and executive director or deans of the Division of Graduate and Continuing Education.

Ongoing assessment of an adjunct faculty member's teaching should also include some of the following: informal discussions and conversations with colleagues and students, reviews of results of the student evaluations conducted toward the end of each semester and consideration of course content and objectives, teaching methods and teacher/student relationships.

3.7 Development and Evaluation Procedures for Tenure-Eligible Faculty

Muhlenberg College values peer observation as an important component of the development process and a significant aspect of the evaluation process. At various points in a faculty member's career, peer observation is used as one of the integral components of assessment. It is expected that senior colleagues be acquainted with best practices in peer observation and employ these practices in the course of their observations of junior colleagues. Key components of best practices include: a pre-visit, the observation and a post-observation conversation between the observer and the faculty member being observed.

Best practices require:

- A pre-visit meeting with the candidate to discuss the pedagogical goals of the courses to be observed, what material will be addressed in each session and any other issues that the candidate

or the observer deem appropriate in order to give the observer some contextual framework for observation.

- That in making a classroom observation, the senior colleague should be professional and as unobtrusive as possible. The senior colleague is NOT a participant in the class, but rather an observer. The classroom observation is centered upon an evaluation of the effectiveness of the instructor's: a) presentation of content; b) interpersonal dynamic with their students; and c) teaching methodology. The observer should take into consideration that there are many different effective teaching styles and these procedures do not mean to suggest the superiority of one over another. Senior colleagues should document their observations but do not need to share these notes with the candidate.
- A post-visit meeting with the candidate at the conclusion of the observer's visitations giving the faculty member being observed the opportunity to contextualize the class(es) observed and the observer the opportunity to raise issues that they believe are germane to their eventual assessment resulting from the observation(s). With the mutual consent of the observer and the faculty member being observed, the post-observation meeting need not be a lengthy or formal meeting.

Peer observations at Muhlenberg College incorporate the following additional procedures:

- At the conclusion of the post-visit, the senior colleague and the candidate will both sign the Classroom Observation Record Form (see the end of 3.7.3) indicating the dates that they have completed the required pre-meeting, classroom observation(s) and post-visit meeting.
- The Classroom Observation Record Form will be given to the department chair who will deliver the form to the provost's office where it will be placed in the candidate's file.

The provost is the final arbiter of handbook procedures, including those governing the selection of observers for evaluative purposes and is available to confer with candidates, chairs and senior colleagues about any procedures contained herein.

3.7.1 Second-year Development Review

Objectives:

- a. To provide a mechanism by which senior colleagues can give guidance and advice to a second-year faculty member ("the reviewee") within their department(s) and/or interdisciplinary program without a formal written evaluation and without the procedure becoming part of the reviewee's personnel file.
- b. To include the reviewee in an open dialogue with senior colleagues about expectations for and progress towards the third-year evaluation.

Process:

- a. The reviewee's department chair (or lead department chair in the case of joint appointments, see 3.1.3) will be responsible for initiating the following process and scheduling the appropriate meetings.
- b. At least three reviewers, including the (lead) department chair, will be selected to serve in the Second-year Development Review. Reviewers will be selected from among the following eligible participants in the reviewee's (lead) department and, in cases of joint appointment, the reviewee's secondary department or program: tenured faculty; tenure-eligible faculty who have successfully completed the third-year evaluation; and non-tenure eligible faculty with more than four years of service. Reviewers will be selected by the reviewee and their (lead) chair in conversation with other eligible participants. When possible, in cases of joint appointments, the chair of the second department or interdisciplinary program will be selected as a reviewer. Eligible participants on leave are not expected to participate.

- c. For reviewees with fewer than three eligible participants, the reviewee's (lead) department chair and the reviewee will make a recommendation to the provost of one or more faculty from other departments who could serve as reviewers. The chair will provide a justification to the provost for the selection of the extra-departmental reviewers in terms of their ability to meaningfully address the reviewee's activities in the three criteria of teaching, professional activity and service (see 3.5). The provost will then name the extra-departmental reviewer(s).
- d. Normally, the Second-year Developmental Review takes place during the spring semester; however, the provost may approve modifications to this schedule, for example, in cases in which a reviewee is on FMLA leave. At the start of the spring semester, the department chair overseeing the review process, in consultation with the participating faculty, will set dates for (1) an initial meeting of all reviewers (excluding the reviewee) and (2) a second meeting of all participants (including the reviewee). The timing of the meetings must take place such that confirmation that the second-year review has taken place can be included in the reviewee's annual review materials. At least one week prior to the date of the initial meeting, the reviewee will make available to all review participants a current CV. The reviewee may choose to make additional documentation available but is not required to do so.
- e. A minimum of three and a maximum of five reviewers (as defined above in 3.7.1. d and e) (including the lead chair) will observe one of the reviewee's classes according to the procedures outlined in 3.7 prior to the initial meeting of reviewers. At the initial meeting, all the reviewers will discuss the reviewee's performance and any problems, recommendations and/or areas of concern.
- f. At the second meeting, the reviewee and the reviewers will discuss the reviewee's progress and plans in the three criteria of teaching, professional activity and service. The purpose of the meeting is to clarify the expectations and goals of the reviewee and of the department(s) and/or interdisciplinary program.
- g. At the close of the second meeting, each participant will sign the Record of Second-year Development Review Form (see the end of 3.7.1) indicating that the process has been completed. This will be attached to the reviewee's annual evaluation.
- h. Consistent with the developmental (as opposed to evaluative) nature of the second-year review, there will be no formal written record of the meetings.

MUHLENBERG COLLEGE
Record of Second-year Development Review

Date:

Name of Reviewee: _____

This document confirms that Name of Reviewee's Second-year Development Review has been completed in accordance with the guidelines outlined in the Faculty Handbook 3.7.1

SIGNED:

Participant

Reviewee

Participant

Participant

Participant

Participant

Participant

Participant

Participant

This record is attached to the reviewee's annual evaluation and forwarded to the provost's office.

3.7.2 Third-Year Evaluation

A third-year evaluation for all tenure-eligible assistant professors without credit for prior service will take place during their third year of appointment, normally during the spring semester; the provost may approve modifications to this schedule, for example, in cases in which a reviewee is on FMLA leave. The timing of this evaluation for faculty bringing in years of service will be agreed upon at the time of their appointment. The entire evaluative process is to be completed no later than May 1.

A third-year evaluation includes the following:

- a. The faculty member ("the candidate") prepares a personal statement to be placed in a third-year file. The statement reviews their work at the College to date and includes some assessment of strengths and weaknesses with respect to each of the three criteria of teaching, professional activity and service (see 3.5).
- b. The third-year file will also include an updated resume, examples of written course syllabi, exams and other written assignments, global data from formal student evaluations provided by the provost's office (i.e., prior to Fall 2019 question #40 on SIR; Fall 2019 forward, the IDEA raw average of the combined summative questions), evidence of professional activity and college service and whatever other information, letters or materials the candidate wishes to include pertaining to the three criteria for evaluation.
- c. A minimum of three and a maximum of five evaluators, including the (lead) department chair (see 3.1.3), will be selected to participate in the candidate's third-year evaluation. Evaluators will be selected from among the following eligible participants: tenured faculty members from the candidate's department and in the case of joint appointments, tenured faculty from the candidate's non-lead department and/or interdisciplinary program. Evaluators will be selected by the provost in conversation with the candidate, the chair and other eligible participants. When possible, in cases of joint appointments, the chair of the second department or interdisciplinary program will be selected as an evaluator. Eligible participants on leave are not expected to participate.
- d. For candidates with fewer than three eligible participants, the candidate's lead department chair and the candidate will make a recommendation to the provost of one or more tenured faculty members from other departments who could serve as evaluators. The chair will provide a justification to the provost for the selection of the extra-departmental evaluator(s) in terms of their ability to meaningfully address the candidate's activities in the three criteria. The provost will name the extra-departmental evaluator(s).
- e. In all cases, the candidate's (lead) department chair will be responsible for overseeing the evaluation process.
- f. Each of the evaluators will observe one of the candidate's classes following the procedures outlined in 3.7 prior to the third-year evaluation (excluding observations conducted for the second-year review).
- g. Prior to submitting their final letters to the (lead) department chair, all evaluators (see 3.7.2.c) will review the candidate's file and meet together to discuss the candidate's record.
- h. Each evaluator will submit a letter to the (lead) department chair evaluating the candidate's performance in each of the three criteria. The evaluator will write a carefully considered and thorough evaluation, giving specific evidence in support of their assessments of the candidate. For each criterion, the faculty member will provide a summative assessment evaluating the candidate's performance as outstanding, excellent, good, fair or poor. Prior to the evaluator's submission of the letter to the department chair, the candidate will have the opportunity to

meet with the evaluator and review the letter for the purpose of offering corrections to factual errors such as erroneous quotes of statements from the candidate's personal statement, course titles, the dates, numbers and the titles of presentations or other professional activity and/or college service. The candidate will indicate that this meeting has taken place with their dated signature at the bottom of the final version of the evaluator's letter. The candidate's (lead) department chair will carefully review and consider the comments of evaluators when writing their summative third-year evaluation letter. The (lead) department chair, will meet with the candidate for the purpose of discussing the letter and correcting any factual errors that may be present in the letter. The candidate will sign the final version of the chair's letter indicating that the meeting has occurred. The (lead) department chair will forward all evaluators' letters, including the chair's summative evaluation letter, to the provost's office to be included in the candidate's file.

- i. Written evaluation from peers, inside or outside of the College, concerning any of the three criteria, may be requested by the candidate, the department chair(s), interdisciplinary program director and/or the provost. Letters from untenured junior colleagues in the candidate's department or interdisciplinary program are normally not included in the third year evaluation file. The candidate may petition the provost for an exception to this rule.
- j. The provost will hold a joint conference with the candidate and the candidate's (lead) department chair and, when possible, in the case of joint appointments, the director of the interdisciplinary program or the non-lead department chair, to discuss the evaluation and the determination concerning continued tenure eligibility. A summary report of the results of this conference, written by the provost, along with the letters written by evaluators and by the department chair, will be placed in the candidate's personnel file.

3.7.3 Evaluation for Considering the Granting of Tenure

In the year designated for considering the granting of tenure (see Section 3.4.3), an eligible faculty member ("the candidate") will participate in an extensive evaluation. This evaluation will proceed as follows:

- a. Each spring semester the Faculty Evaluation Committee (FEC) will establish the closing date for candidates standing for tenure in the subsequent fall semester to submit their tenure evaluation files to the provost's office.
- b. In the spring semester prior to the candidate's standing for tenure, the provost and those members of FEC completing their term on FEC will convene a meeting with the group of candidates eligible for tenure to discuss the general evaluation procedures and the application of the three criteria of teaching, professional activity and service for tenure (see 3.5). Normally, a tenure-eligible faculty member may not be on leave during the fall semester of the year in which they stand for promotion. Under special circumstances, such as cases involving FMLA leave, the provost may grant exceptions to this requirement and, if needed, in consultation with FEC, modify the review calendar within the academic year in which a candidate is eligible to stand for tenure.
- c. A tenure-eligible faculty member who has elected to bring in prior services as specified in the provisions of their appointment letter must make a final decision to become a candidate for tenure and indicate this decision in writing to the provost by July 1. The evaluation process will begin at that point and once the process has begun it will proceed until a final decision is reached.
- d. A minimum of three and a maximum of five evaluators, including the candidate's (lead) department chair, will be selected to participate in the candidate's tenure evaluation. Evaluators will be selected from among the following eligible participants: tenured faculty members from the candidate's department and, in the case of joint appointments, tenured

faculty from the candidate's non-lead department and/or interdisciplinary program. Evaluators will be selected by the provost in conversation with the candidate, the chair and other eligible participants. When possible, in cases of joint appointments, the chair of the second department or interdisciplinary program will be selected as an evaluator. Eligible participants on leave are not expected to participate.

- e. For candidates with fewer than three eligible participants, the candidate's (lead) department chair and the candidate will make a recommendation to the provost of one or more tenured faculty members from other departments who could serve as evaluators. The chair will provide a justification to the provost for the selection of the extra-departmental evaluator(s) in terms of their ability to meaningfully address the candidate's activities in the three criteria. The provost will name the extra-departmental evaluator(s).
- f. In all cases, the candidate's (lead) department chair will be responsible for overseeing the evaluation process.
- g. Each evaluator will observe one of the candidate's classes following the procedures outlined in 3.7 (excluding observations conducted for the second-year development review and third-year evaluation).
- h. Prior to July 1, the candidate will choose five former students and the candidate's (lead) department chair will choose an additional five former students of the candidate and provide their names to the provost. The provost will then write to these ten Muhlenberg graduates requesting letters evaluating the candidate's teaching.
- i. The candidate will prepare a personal statement addressing each of the three criteria that includes planned future contributions to the college if tenure is granted.
- j. The provost will create and open to the candidate a tenure evaluation file in a password-protected electronic format, such as through the College's current online learning management platform. The provost will add to the file global data from formal student evaluations (i.e., prior to Fall 2019 question #40 on SIR; Fall 2019 forward, the IDEA raw average of the combined summative questions), as well as letters from former students (see 3.7.3.h). The candidate will add items to the file including their personal statement; their updated resume; examples of written course syllabi, exams and other written assignments; evidence of professional activity and college service; and whatever other information or materials the candidate wishes to include pertaining to the three criteria for evaluation. If the candidate feels it is important, the provost will make suitable arrangements to include in the file physical printed materials, such as books. Six weeks prior to the closing date (see 3.7.3.a), the completed tenure file will be made available to the evaluators.
- k. Written evaluation from peers inside or outside of the College concerning any of the three criteria may be requested by the candidate, the candidate's department chair(s), interdisciplinary program director and/or the provost. Letters from untenured junior colleagues in the candidate's department or interdisciplinary program are normally not included in the tenure evaluation file. The candidate may petition the provost for an exception to this rule.
- l. At least two weeks prior to the closing date, all evaluators (see 3.7.3 g), will review the candidate's tenure evaluation file and meet together, either in person or via teleconference, to discuss the candidate's record.
- m. At least one week prior to the file closing date (see 3.7.3), each evaluator (see 3.7.3 g) will submit a letter to the (lead) department chair evaluating the faculty member's performance in

each of the three criteria. The evaluator will write a carefully considered and thorough evaluation, giving specific evidence in support of their assessments of the candidate. For each criterion, the evaluator will provide a summative assessment evaluating the candidate's performance as outstanding, excellent, good, fair or poor. Prior to the evaluator's submission of the letter to the department chair, the candidate will have the opportunity to meet with the evaluator and review the letter for the purpose of offering corrections to factual errors, such as erroneous quotes of statements from the candidate's personal statement, course titles, the dates, numbers and titles of presentations or other professional activity and/or college service. The candidate will indicate that this meeting has taken place with their dated signature at the bottom of the final version of the evaluator's letter. The chair of the candidate's (lead) department (see 3.1.3) will carefully review and consider the comments of evaluators when writing their summative tenure evaluation letter. The (lead) department chair will meet with the candidate for the purpose of discussing the letter and for correcting any factual errors that may be present in the letter. The candidate will sign the final version of the chair's letter indicating that the meeting has occurred. Letters from evaluators and the department chair must include recommendations for or against the granting of tenure.

- n. The timeline presented below outlines the sequencing of actions to be taken by the evaluators with respect to the tenure file as they fulfill their responsibilities in the tenure evaluation process, referenced to the file closing date.

6 weeks	5 weeks	4 weeks	3 weeks	2 weeks	1 week	Last week	5 business days after file closing date	Decision
Provost's office makes candidate's file available to evaluators (read-only)	Evaluators review candidate's file	Evaluators review candidate's file	Evaluators review candidate's file	All evaluators have face-to-face or telephone conference	Evaluators' letters submitted to the candidate's (lead) department chair	Chair's summative evaluative letter completed. All evaluator letters delivered to the provost's office and to the candidate. Provost's office removes evaluators' access to the candidate's file. Provost is granted read-only access to the candidate's file.	Candidate retains read-only access to the file, but may submit additional responses and materials via the provost's office. Provost's office removes candidate from file and adds FEC members (read-only)	Provost's office restores candidates access as owner to the file and removes all others.

- o. During the months just prior to the tenure review, a representative of FEC shall observe the candidate's classes following the procedures outlined in 3.7 on no less than three different occasions. The representative will then prepare a written report for the Committee and this report will be shared with the candidate for them to review for any factual errors. The FEC classroom observation report is added to the candidate's file during the last week prior to the file closing deadline.
- p. The provost's office will remove file access from all evaluators on the file closing date. The candidate retains access to their file for five business days after the file closing date. Access for the candidate will be read-only during this time, but candidates may submit additional responses and materials to their file through the provost's office. Following these five business days, the provost's office will remove file access from the candidate and make the file available on a confidential basis to members of FEC.

- q. Each member of FEC will individually examine the final tenure evaluation file and then the Committee will interview the tenure candidate. The interview is an opportunity to clarify information in the file and further the Committee's understanding of the candidate's teaching, professional activity and service work. The candidate has the option to make opening and closing remarks. FEC has the discretion to ask the candidate for further concrete documentation to clarify any factual information in the candidate's file. Furthermore, the candidate may elect to submit to FEC any new, concrete documentation, for example, an editor's letter accepting an article for publication that changes or clarifies the status of material already in the file.
- r. Based on the information gathered in the tenure-evaluation process described above, FEC will prepare a written evaluation of the candidate's record and offers a recommendation for or against the granting of tenure. The strength of that recommendation will depend upon the results of a vote (secret ballot). This evaluation will address each of the three criteria, in sufficient detail to substantiate the Committee's decision. The evaluation is submitted to the provost and the president.
- s. The president and the provost will meet with FEC to discuss FEC's recommendations. In consultation with the provost, the president will prepare a recommendation concerning the granting of tenure.
- t. The FEC evaluation and the president's recommendation will then proceed to the board of trustees' Educational Policies and Faculty Affairs Committee for review. The Educational Policies and Faculty Affairs Committee will bring any positive recommendations concerning the granting of tenure and/or promotion before the full board. Tenure is granted only by action of the board.
- u. Following the action of the board, the provost will inform the candidate and the candidate's (lead) department chair of the results of the tenure evaluation process, after which all materials supplied by the candidate will be returned to them. If the decision is negative, the candidate has the right to request from the provost copies of all written evaluations and proceed with an appeal under section 4.3.2.1.
- v. The procedure for determining whether a department chair will be granted tenure and promotion are the same except that the provost or a tenured faculty member appointed by the provost takes the place of the department chair.

Classroom Observation Record

The purpose of this form is to document that required observations of faculty are occurring on schedule and in compliance with observation procedures. Faculty observers are encouraged to keep detailed notes on the class observations in their personal files for reference when writing tenure and promotion letters.

Name of Faculty Member Observed: _____
(Please Print)

Name of Faculty Observer: _____
(Please Print)

Course Observed: _____

Date(s): _____

Pre-class meeting date: _____

Post-class discussion date: _____

Observer's Signature

Observee's Signature

No later than one month after the observation date, the observer provides copies of the completed (signed) form to both the observee and the department chair. The department chair delivers a copy of the form to the provost's office, where it is placed in the candidate's personnel file. The chair keeps a copy for departmental records.

3.8 Evaluation Procedures for Promotion

Promotion is not automatic; in all cases it is awarded by the board of trustees upon the recommendation of the president and the board of trustees' Education Policies and Faculty Affairs Committee in recognition of excellent teaching, significant professional activity and service to the College within the context of the goals of the College.

3.8.1 Promotion to Assistant Professor

A tenure-eligible Lecturer who receives the terminal degree during the first three years of full-time academic service at the College is eligible for promotion to the rank of Assistant Professor. Upon receiving the degree, the tenure-eligible Lecturer should notify their department chair, who consults with the provost, who makes a recommendation to the president. This promotion does not need to be approved by the board of trustees.

3.8.2 Promotion to Associate Professor

An Assistant Professor who is a candidate for the granting of tenure is simultaneously a candidate for promotion to the Associate Professor rank; consequently, the procedures in Section 3.7.3, Evaluation for Considering the Granting of Tenure, are also used for the promotion review and pertain to recommendations concerning promotion to Associate Professor.

3.8.3 Promotion to Professor

Normally, an Associate Professor is first eligible to be considered for promotion to Professor during the eighth year following the award of tenure and promotion to the rank of Associate Professor. However, in the case of a faculty member who has held the rank of Associate Professor prior to the granting of tenure at Muhlenberg, this individual must serve at least five years as a tenured Associate Professor at Muhlenberg prior to standing for promotion to the rank of Professor. No faculty member may be on research leave or sabbatical from the college during the fall semester in the year in which they are a candidate for promotion. However, under special circumstances, such as cases involving FMLA leave, the provost may, in consultation with FEC, modify the review calendar within the academic year in which a candidate is eligible to stand for promotion.

- a. Each spring semester, in consultation with the provost, the Faculty Evaluation Committee (FEC) will establish the closing date for promotion candidates to submit their files to the provost's office. Each spring semester, the provost and those members of the Faculty Evaluation Committee completing their term on FEC will convene a meeting with candidates considering standing for promotion to discuss the general evaluation procedures and the application of the three criteria (see 3.5) for promotion. An eligible faculty member must decide whether they wish to become a candidate for promotion and indicate this decision in writing to the provost by July 1. The evaluation process will begin at that point and once the process has begun it will proceed until a final decision is reached.
- b. For promotion to the rank of Professor, a faculty member must present clear and tangible evidence of excellent teaching, professional activity appropriate to their field and college service, consistent with the descriptions given in sections 3.5.1, 3.5.2 and 3.5.3, respectively. All evidence presented in the file should represent achievements in the three criteria since the tenure review.
- c. The procedures described in Section 3.7.3, Evaluation for Considering the Granting of Tenure, are followed for the promotion review. However, only tenured Professors will be eligible to serve as evaluators in the evaluation of candidates for promotion to Professor. In writing evaluative letters for promotion reviews, evaluators must include recommendations for or against the granting of promotion to Professor (see 3.7.3.m).
- d. A minimum of three and a maximum of five senior colleagues (including the chair) (see 3.7.3 d, e, f and g) will observe one of the candidate's classes following procedures outlined in 3.7 in the

academic year in which the candidate stands for promotion. The observers will be selected by the candidate and chair in conversation with other senior colleagues.

3.8.3.1 Candidacy After Initial Eligibility

If an individual elects not to be a candidate for promotion to Professor when first eligible they may decide to be considered again during any subsequent academic year. Indication in writing of one's intention to be a candidate for promotion to Professor must be given to the provost by July 1.

3.8.3.2 Candidacy After Denial of Promotion

If an individual is denied promotion to Professor, they may decide to be considered again during any subsequent academic year. Indication in writing of one's intention to be a candidate for promotion to Professor must be given to the provost by July 1. During subsequent instances of standing for promotion to Professor after an initial denial, in addition to provisions for standing for promotion outlined in 3.8.3, the following procedures shall be in effect:

- a. FEC and senior colleague letter(s) concerning previous instances of standing for promotion will not be included in the packet of materials supplied by the provost's office for FEC consideration in its current deliberations regarding the candidate's case for promotion;
- b. as per 3.8.3f above, a minimum of three and a maximum of five senior colleagues (including the chair) must make one additional classroom visit (during the academic year in which the candidate is standing for promotion) prior to submitting an evaluation letter. The observers will be selected by the candidate and chair in conversation with other senior colleagues.
- c. all previous letters solicited from former students will be part of the provost's file considered by FEC and if more than three years have elapsed since the candidate last stood for promotion, the provost's office will solicit an additional 10 letters from different students following the procedures outlined in 3.7.3 k. These additional letters will become part of the candidate's file.

3.9 Evaluation of Non-Tenure Eligible Voting Faculty

All voting faculty engage in teaching, professional activity and service, as listed in 2.4.1 and any additional responsibilities for particular non-tenure eligible faculty shall be stated explicitly in their letters of appointment. Non-tenure eligible faculty will be evaluated annually in these three areas by their department chair keeping in mind that non-tenure eligible faculty are not normally expected to engage in professional activity with implications beyond their pedagogical work or to take on broad College service responsibilities or leadership roles, through many contribute significantly to the college by choosing to do so. If it is determined that the non-tenure eligible faculty member should not be reappointed, the last year of the existing letter of appointment will serve as the terminal year.

3.9.1 Promotion of Non-Tenure Eligible Voting Faculty

Non-tenure eligible voting faculty undergo consideration for promotion according to the process outlined in 3.8.3, Promotion to Professor, with the following differences.

- a. A non-tenure eligible voting faculty member is first eligible to be considered for promotion during the sixth consecutive year of full-time teaching at the College. unless they had prior service at that rank, or higher, at another institution. The faculty member and the College may count up to three years of prior service toward the total of six.
- b. Normally, no faculty member may be on leave from the college during the academic year in which they are a candidate for promotion. Under special circumstances, such as cases involving FMLA leave, the provost may grant exceptions to this requirement and, if needed, in consultation with FEC, modify the review calendar within the academic year in which a

candidate is eligible to stand for promotion. Lecturers may be promoted to Senior Lecturer and Assistant Professors may be promoted to Associate Professor.

- c. Promotion of non-tenure eligible voting faculty requires distinction in either professional activity or college service (not both).

In addition to evidence of excellent teaching, as described in 3.5.1 and of expected fundamental professional activity and college service, as described in 2.4.1, promotion files of non-tenure eligible faculty must include clear and tangible evidence of either

1. professional activity with implications beyond pedagogical work (see 3.5.2) or
2. broad college service responsibilities and/or leadership roles beyond the expectations outlined in 2.4.1 (see 3.5.3).

Though not required, non-tenure eligible faculty members standing for promotion may choose to include in their files evidence of both service activities that exceed fundamental expectations and professional activities that exceed fundamental expectations.

- d. A minimum of three and a maximum of five evaluators, including the (lead) department chair, will be selected to participate in the candidate's promotion evaluation. Evaluators will be selected from among the following eligible participants in the candidate's (lead) department and, in cases of joint appointment, the candidate's secondary department or program: tenured faculty, senior lecturers and non-tenure track associate professors. When possible, in cases of joint appointments, the chair of the second department or interdisciplinary program will be selected as an evaluator. Each evaluator will observe one of the candidate's classes, following the procedures outlined in 3.7, during the academic year in which the candidate is standing for promotion. Evaluators will be selected by the provost in conversation with the candidate, the chair and other eligible participants. Eligible participants on leave are not expected to participate.
- e. Evaluation letters (see 3.7.3 i), from evaluators and the candidate's (lead) department chair must include recommendations for or against the granting of promotion to Senior Lecturer or Associate Professor, as appropriate.
- f. Normally, the term of Senior Lecturer contract appointments will be three years subject to ongoing enrollment and financial exigencies considerations, with the possibility of renewal.

3.9.1.1 Candidacy After Initial Eligibility

If an individual elects not to be a candidate for promotion when first eligible, they may decide to be considered again during any subsequent academic year. Indication in writing of one's intention to be a candidate for promotion must be given to the provost by July 1.

3.9.1.2 Candidacy After Denial of Promotion

- a. A Lecturer or non-tenure eligible Assistant Professor who is unsuccessful in their initial application for promotion may reapply for promotion in the second year following initial denial.
- b. The lecturer or non-tenure eligible Assistant Professor may again apply for promotion no sooner than the second year following the second denial of promotion.
- c. A lecturer or non-tenure eligible Assistant Professor is permitted only three applications for promotion in a ten-year period.

3.10 Suspension or Termination of Faculty

3.10.1 Suspension or Termination for Cause

- a. Action to suspend or terminate the appointment of a faculty member, visiting faculty member or adjunct faculty member may be taken by the president for "Cause", which shall mean:
 1. failure or refusal without a valid excuse of the individual to perform their academic obligations; or
 2. such flagrant disregard of the policies or rules of the College or of the customs of scholarly communities as to render the individual unfit to continue as a member of the academic staff; or
 3. a criminal conviction; or
 4. incapacity or disability, whether physical or otherwise. This can only be implemented when the individual is deemed eligible to participate in the College's disability income protection program. Suspension may be only for the period of the disability.
- b. Suspension, termination or threat thereof, may not be used to restrain or interfere with faculty members, visiting faculty members or adjunct faculty members in their exercise of academic freedom or rights of citizenship.
- c. Suspension or termination for cause of faculty but not lecturers or adjunct faculty, shall be handled as provided in Faculty Resolution Procedures (see Section 4.3).
- d. A faculty member shall not be summarily suspended by the president during the above-mentioned proceedings unless, in the opinion of the president, immediate harm to themselves or others is threatened by the continuance of their employment. If any faculty member is summarily suspended, they shall continue to receive their compensation until the conclusion of the proceeding and a final determination by the president of the matter.
- e. If, following the completion of the proceedings, the resolution of the matter includes a suspension, the determination shall specify the term of any suspension and whether the faculty member's compensation shall be reduced or not paid beginning on the date of the final determination by the president through the said term of the suspension.
- f. However, should a faculty member's appointment be terminated for cause, unless the recommendation shall state that the faculty member has engaged (i) in gross personal misconduct that makes him/her unfit for association with students and (ii) shall include in its report a recommendation either that their compensation be terminated immediately upon their receipt of notification of termination or that it be continued for some lesser period, the faculty member's compensation shall be continued for one year from the date of receipt of notification by the president of termination of their appointment or if the faculty member holds an appointment for a term that ends in less than one year from the date of receipt of such notification, the faculty member's compensation shall be continued until the end of their term of appointment.

3.10.2 Removal of Faculty by Reason of Board Action to Reduce or Eliminate a Department or Program Due to Anticipated Financial Exigency or Curricular Change

- a. If the board of trustees of the College decides to reduce or eliminate a department or program because of curricular change or financial exigency, the president will seek to reassign to other faculty positions those faculty members displaced by the reduction or elimination. If such transfer is not deemed feasible, the president shall send a written notice by certified mail, return receipt requested, to each faculty member whose employment the College proposes to

terminate and who either has tenure at the time such notice is given or, by the operation of the College's tenure principles, is tenure eligible at the time of the proposed termination.

The president's notice to the faculty member shall state that the College is engaged in proceedings that may result in termination of the faculty member employment and, if it is finally decided that their employment will be terminated, such termination will become effective not less than one year from the date of such notice and that they may proceed pursuant to the Faculty Problem Resolution Procedures (Section 4.3).

In the case of adjunct faculty, the president also shall send a written notice by certified mail, return receipt requested, to each adjunct faculty on term appointment whose employment the College proposes to terminate because a department or activity of the College is to be reduced or eliminated for any reason, including financial exigency, prior to the expiration of the term of the appointment.

The president's notice to an adjunct faculty member shall state that the College is engaged in proceedings that may result in termination of the individual's employment and the date when the adjunct faculty member's appointment terminates if it is finally decided that their employment will be terminated.

- b. Termination of an appointment based on reduction or elimination of a department or program by the board of trustees should be demonstrated to the faculty by the president through a 90-day forecast of financial exigency. Any curricular change is to have been approved by the faculty according to normal procedures for curriculum assessment and modification. Further, any such reduction or elimination resulting in termination of faculty appointments should be discussed by the president with the Faculty Personnel and Policies Committee (FPPC) so as to substantiate any claimed 90-day forecast of financial exigency, to demonstrate the necessity of the termination and to consider alternative actions.
- c. If the employment of a faculty member is terminated under this section, their compensation shall be continued for one year from the date of the president's notice described above. In the case of an adjunct faculty member, compensation shall continue until the date the faculty member's appointment terminates or one year from the date of the notice, whichever occurs first. Until such termination date, the academic staff member will continue to work in their own field or on some other activity mutually agreed upon.

If the employment of a faculty member who has tenure is terminated under the provisions of this section, the released faculty member's position will not be filled by a replacement within a period of three years from the date of termination unless the released faculty member has been offered reappointment at the same rank with tenure and has declined.

3.10.3 Decreases in Compensation

Compensation of academic staff members may be decreased only in accordance with an express agreement between the faculty or adjunct faculty member and the College, because of the board of trustees' decision to reduce or eliminate a department or program or because of financial exigency. Such decreases shall be limited to the following: (a) simultaneous uniform percentage decreases in the compensation of all faculty members in the College or the adjunct faculty members and (b) simultaneous uniform percentage decrease in the compensation of a class of faculty or adjunct faculty member such as a particular rank or department.

No decrease shall be made except after consultation, initiated by the president, with the Faculty Personnel and Policies Committee (FPPC). Consultation shall cover such issues as the reasons for the curtailment of a department or activity, the existence in fact of a financial exigency, the

appropriateness of the selection of the particular class for compensation decrease, alternative actions and the like.

If after such consultation, the compensations of faculty or adjunct faculty members are decreased, with or without the concurrence of the Faculty Personnel and Policies Committee, the president shall notify the affected faculty or adjunct faculty members in writing, of (a) the fact that the compensation of all of the academic staff of the College or of a described group of the academic staff members have been simultaneously decreased; (b) the formula applied uniformly to determine the amount of the decrease; and (c) the reason for the action taken.

3.11 Resignation

Notification of resignation by a faculty member should be made in writing to the president of the College as early as possible. For Professors and Associate Professors, this should be no later than May 15 and for Assistant Professors, no later than June 1.

3.12 Retirement

Retirement status of full-time Muhlenberg College faculty presently requires attainment of age 60 and 10 years of active service for purposes of health insurance. Retirement status is not available to visiting and adjunct faculty members (see 2.4.2). Retirement benefits are described in section 5.17 and on the Human Resources webpage.

3.13 Phased Retirement

The College offers a voluntary plan for phased retirement open to full-time members of the faculty. The plan will be available to full-time faculty members who have served the College for at least 10 years and are at least 60 years of age before September 1 in the first year of the phased retirement period. Participants in the plan may distribute a six-course teaching load over two or three years and remain free of other College responsibilities, e.g., committee work. Participants will be paid a proportion of their normal salary and they may begin drawing their retirement benefit anytime during the phased retirement period.

Details on phased retirement are available in the Office of Human Resources.

3.14 Emeritus Status

Anyone who retires after having served as voting faculty at Muhlenberg College for 10 years or more will typically be granted Emeritus status, receiving title concomitant with final rank, e.g. Senior Lecturer Emeritus, Professor Emeritus, etc. Unless they request otherwise, Emeritus faculty will retain their college email accounts and will continue to receive college communications sent to non-voting faculty, including faculty meeting materials (see 2.5.2). The provost's office will send a letter acknowledging the faculty member's Emeritus status at the time the individual is first eligible for this status. Emeritus faculty may request additional benefits, such as continued use of office, lab, studio and/or digital server space, for ongoing professional projects. Such requests should be directed to the provost and may be granted after consultation with the department chair, vice president for human resources, president and others as appropriate if there are available college resources.

4. ACADEMIC STAFF RESPONSIBILITIES

4.1 Ethics

As part of the College community, faculty members must behave in an ethical manner. Ethical behavior includes fulfilling the requirements of one's appointment and enforceable college regulations, but also extends beyond enforceable rules. Faculty members are expected to act with honesty and integrity and in the best interest of the College and are expected to be governed by the highest standards of professional conduct. The Code of Ethics also seeks to minimize the potential for conflicts of interest on the part of faculty members. The Code of Ethics, described below, is intended to guide behavior and facilitate conversations about problematic situations. It is anticipated that such

conversations among faculty and their supervisors will preclude the need for formal sanctions; however, Section 4.1.3 provides guidelines should formal action be required.

4.1.1 Code of Ethics

These ethical guidelines were informed, in part, by the Statement on Professional Ethics adopted by the American Association of University Professors.

Faculty members:

- a. Must observe the stated regulations and policies of the College, provided these do not contravene the principles of academic freedom or the right to criticize and seek revisions.
- b. Must demonstrate due respect for all students as individuals, encourage their free pursuit of learning, foster honest academic conduct and adhere to proper roles as intellectual guides and academic advisors (see also handbook sections 4.1.1. h., 4.1.4 and 4.14).
- c. Must respect the confidential nature of the relationship between faculty member and student, recognizing that confidentiality is not absolute.
- d. Must ensure that evaluations of students reflect each student's true academic merit and achievement.
- e. Must be objective in professional evaluation of colleagues.
- f. Must accept an appropriate individual share of responsibility for faculty governance and committee service.
- g. Must refrain from any form of harassment of students, colleagues or other College associates (see also handbook sections 4.1.4 and 4.4).
- h. Must refrain from any form of exploitation of students, colleagues or other College associates.
- i. Must refrain from situations in which students compensate faculty members directly for professional, consultative or advisory services unless granted explicit approval by the provost.
- j. Must refrain from situations in which students' talents, skills or labor are used for a faculty member's significant personal gain.
- k. Must avoid using their official position or influence to further their personal gain or advancement or that of family members (including spouse, domestic partners and dependents) or personal associates, at the expense of the College or against College policy.
- l. Must avoid accepting from or supplying to any student, organization or person doing or seeking to do business with the College, a loan, gift or favor of more than nominal value. This includes loans, gifts or favors accepted for or supplied to a faculty member's family (including spouse, domestic partners and dependents) or personal associates.
- m. Must make only incidental use of College resources for purposes unrelated to the education, research, scholarship and public service missions of the College. Such resources include, but are not limited to facilities, personnel, students, equipment and confidential information.
- n. Must avoid creating the impression of officially representing Muhlenberg College when speaking or acting as private persons.

- o. Must not, without proper authority, give or release any information or data of a confidential nature, secured through one's position with the College, to a person or agency not authorized to receive such information. Information may be provided to school officials with legitimate educational interests (as defined by the Family Educational Rights and Privacy Act) if the official needs to review an education record in order to fulfill their professional responsibilities for the College.

Faculty members are expected to consult with the provost and colleagues, their professional code of ethics or other sources to determine the appropriateness of dealings that may cross the boundaries of teaching, advising, service or scholarship-related relationships (see also handbook section 4.1.2).

4.1.2 Conflicts of Interest

The following ethical guidelines regarding conflicts of interest apply to all faculty members who abide by the guidelines of the Muhlenberg Faculty Handbook. Further, this policy is intended to supplement, but not replace, the "Conflict of Interest Policy Statement for Muhlenberg College Officers and Other Persons with Substantial Influence," and the "Conflict of Interest Policy Regarding Family Members." Both of those documents are available from the Human Resources office and on the Human Resources website.

4.1.2.1 Definitions

A potential conflict of interest exists whenever a faculty member's personal, professional, commercial, legal, financial or other relationships, interest or activities have the possibility (either in actuality or in appearance) of:

- a. compromising a faculty member's judgment, objectivity, competence or effectiveness;
- b. biasing the nature or direction of scholarship;
- c. influencing a faculty member's activities and decisions with respect to teaching and student affairs, appointments and promotions, uses of College resources or other matters of interest to the College;
- d. engaging in activities resulting in a personal, family (including spouse, domestic partners and dependents) or personal associate's gain or advancement at the expense of the College;
- e. preventing the faculty member from carrying out the full range of responsibilities associated with their position; or
- f. interfering or competing with the College's educational, scholarly or service missions.

4.1.2.2 Reporting Conflicts of Interest

A faculty member must avoid or disclose to their immediate supervisor (e.g., department chair) and the provost all actual or potential conflicts of interest, including supplemental employment (see section 4.13: Supplemental Employment) and must not knowingly withhold or falsify disclosure of any potential conflict or act in a manner contrary to the best interest of the College as a result of such conflict. A faculty member must also provide additional relevant information concerning disclosed or undisclosed matters as may be requested by the provost for the purpose of evaluating actual or potential conflicts of interest. All disclosures must be made promptly as the conflicts arise or are identified. If the provost determines that there is a serious potential conflict of interest, the provost will consult with FPPC prior to rendering a judgment concerning the potential conflict of interest. Conflicts of interest, which fall under the purview of the "Conflict of Interest Policy Statement for Muhlenberg College Officers and Other Persons with Substantial Influence" must be reported to the chief financial officer and

treasurer and the College president, as described in the policy. In those instances, all procedures described in that policy will take the place of those described here.

4.1.3 Ethical Violations

Suspected violations of the ethical guidelines described in sections 4.1.1 and 4.1.2 must be reported to the faculty member's immediate supervisor (e.g., department chair) and the provost. The provost, in consultation with relevant parties including, but not limited to, the faculty member who committed the alleged violation and FPPC, will decide on an appropriate course of action. Faculty members may appeal any decisions or instructions established by the provost by following procedures outlined in handbook section 4.3. During the appeal process, however, the faculty member must abide by the decisions and instructions of the provost.

4.1.4 Professionalism in Relationships with Students

Faculty members must refrain from engaging in sexual or amorous relationships with Muhlenberg College students. These relationships can be exploitative or create a conflict between the interests of the College and the personal interest of the faculty member. Faculty members who engage in such relationships may be subject to disciplinary or legal action. A faculty member who believes their relationship merits an exception to the rule must disclose the relationship to and consult with the vice president for Human Resources and follow any directions given by the College concerning the matter. (For additional guidance, see the "Conflict of Interest Policy Regarding Family Members," available from the Human Resources office.)

4.2 Academic Freedom

Each member of the academic staff is entitled to freedom in the classroom in discussing subject matter and is expected to be fair and responsible in this regard. Each member of the academic staff is a citizen, a member of a learned profession and a member of an educational institution. When they speak or write as a citizen, they should be free from institutional censorship or discipline, but their special position in the community imposes special obligations of responsibility. These require a learned person and educator to constantly recognize that both the teaching profession and the College may be judged by such faculty or adjunct faculty member's actions and utterances. Accordingly, an academic staff member must always be informed, accurate, discreet and respectful toward the opinions of others and whenever appropriate, must make every effort to indicate that they are not speaking for the College (Bylaws Article V, Section 5C). Each member of the academic staff is entitled to full freedom in research and in the publication of the results, as long as such activities do not interfere with the performance of their other academic duties; but research for pecuniary gain shall be engaged only with the approval of the president of the College upon the recommendation of the provost (Bylaws Article V, Section 5B).

The College's position on the guarantee of academic freedom is informed by and relies upon the American Association of University Professors' "1940 Statement of Principles on Academic Freedom and Tenure, With 1970 Interpretative Comments" and "On the Relationship of Faculty Governance to Academic Freedom," May 1994.

4.3 Faculty Problem Resolution: General

This document sets forth procedures that members of the teaching faculty may use to resolve certain problems relating to their employment at the College. First, it sets forth procedures for resolving employment problems, which include problems of alleged discrimination based on institutional practices (as opposed to individual acts of alleged discrimination). Second, it sets forth procedures for resolving problems arising from individual acts of alleged discrimination or harassment by others within the College community. Employment procedures are provided with a view to obtaining reconsideration of official decisions and remedies for employment problems in general; discrimination procedures are provided with a view to obtaining remedies or redress from persons or agencies within the College community. A faculty member is encouraged to begin with Alternative Dispute Resolution (ADR) but may proceed with either or both problem resolution procedures as they think appropriate.

4.3.1 Definitions, Policies and General Procedures

- a. The procedures provided in this document are available to any member of the teaching faculty, i.e., any person employed full-time or part-time to teach courses that satisfy degree requirements. In the case of an administrator who also teaches, the procedures provided here shall apply to their teaching responsibilities.
- b. A problem within the scope of these procedures is defined as the claim by a member of the faculty, that applicable laws, rules or policies have been violated. Applicable laws, rules and policies shall include but not be limited to Muhlenberg College's announced rules, regulations and policies as approved by the faculty, the president and/or the board of trustees.
- c. All documentation/evidence gathered pursuant to the procedures of either an Alternative Dispute Resolution (or ADR, as described in Section 4.3.1.1) or a formal complaint will be kept in a confidential file separate from personnel files and released only at their request to a faculty member(s) and any member of the administration directly involved in the procedure and to the FPPC or a discrimination review board; and to others only pursuant to a subpoena or order of a court of competent jurisdiction or by statutory authority vested in governmental agencies. Personnel files of faculty members found to have engaged in improper conduct will contain a notation referring to the existence of a confidential file. All persons named in the documents of this file shall have the right to read the parts in which they are named and make a written response that will be included in the file.
- d. The FPPC shall consider all cases directed to it by a faculty member on any employment problem including, but not limited to, cases pertaining to tenure, promotion, termination (for just cause and not for cause) and academic freedom. In the case of complaints relative to salary and performance evaluation, FPPC's role shall be limited to a determination as to whether the established procedures of the College's decision-making process were followed. The FPPC shall convey its determinations of cases it has heard formally to the provost and the president. It may recommend the reconsideration of decisions; it may not reverse them.
- e. The provost shall convene a review board (as describe in Section 4.3.3.1, d and e) to consider all cases involving alleged discrimination filed by a faculty member. The review board shall convey its determination to the provost as a recommendation for action concerning a respondent or respondents.

4.3.1.1 Alternative Dispute Resolution Procedures ("ADR")

- a. The College recommends the use of informal procedures to address both employment and discrimination problems amenable to resolution in this way. Such informal procedures (hereafter referred to as Alternative Dispute Resolution Procedures or ADR) are described below. In most instances, all parties will benefit if the problem can be resolved informally. For this reason, faculty members are expected to identify problems and to seek resolution in an informal manner whenever possible. Depending on specific circumstances, the following approaches may be appropriate:
 1. Discussion (oral or written) between the parties involved with a view to resolving the problem.
 2. Carefully considered advice and support of others.
 3. The use of ADR (if all parties agree) presided over by the faculty member's department chair or if they are involved in the alleged violation by the provost. Under routine circumstances, this individual shall, within 14 days, investigate the matter and respond to the grievant in an effort to clarify, interpret and suggest changes that will lead to resolution of the problem.

- b. If the problem is resolved through ADR, its resolution shall be recorded by the person presiding over the matter, together with all relevant documents collected in a confidential file, separate from personnel files. The confidential file will be stored in the office of the provost and is subject to the disclosure procedures set forth in Section 4.3.1. c.
- c. Should ADR fail to resolve a perceived problem or be inappropriate to its solution, procedures described below allow for the filing of a formal employment or discrimination complaint. The policy of Muhlenberg College is to encourage faculty to file such complaints promptly. The College will provide equitable and prompt consideration and resolution. Under ordinary circumstances, the faculty member is required to submit a formal complaint consistent with Section 4.3.3 within ninety days of an alleged violation.

4.3.1.2 Formal Problem Resolution Procedures (For Disputes Other Than Tenure and Promotion)

- a. Should ADR fail to resolve a perceived problem or be inappropriate to its solution, procedures described below allow for the filing of a formal employment complaint with the Faculty Personnel and Policies Committee (FPPC) within thirty days of the conclusion of the ADR procedures or in a case in which ADR is inappropriate, within ninety days of the alleged incident. It is a faculty member's right to file such a complaint, thereby requesting a formal hearing by FPPC. The matter is considered to have become a formal employment complaint upon receipt of the complaint by the chairperson of FPPC. The FPPC is required to notify the provost or other appropriate administrator of the formal employment complaint and to deliver a copy of it to them.
- b. Although the request for review requires no particular format, the faculty member shall provide the following information to FPPC in writing:
 - 1. a descriptive statement of all the facts and circumstances that provide the basis for the request for review;
 - 2. date(s) of the incidents and time period of the problem;
 - 3. a description of attempts, if any were made, to resolve the problem on an informal basis and the results of these attempts;
 - 4. the signature of the faculty member and the date of the submission of a request for review.
- c. The entire FPPC, less its ex officio members, shall consider the request for review. Any member of the FPPC shall be disqualified from the review if their previously participated in the decision that forms the basis of the request for review. Such disqualification may be requested by the faculty member.
- d. When the college is in regular session, the FPPC will normally convene to review the matter within 14 days after receipt of a written request for review. Otherwise the committee will meet at its earliest convenience but no later than 14 days after the beginning of the next regular term.
- e. All hearings will be conducted in closed session except in cases pertaining to academic freedom, where public sessions may be requested by the faculty member.
- f. It is the responsibility of the faculty member to establish a prima facie case, except for matters of termination for just cause or termination not for cause as provided in Sections 4.3.2.3 and 4.3.2.4. If the FPPC determines that the evidence does not warrant a formal review, the procedure will be terminated and the faculty member will not be allowed to appeal the decision.

- g. Decisions and recommendations of the FPPC will be made by majority vote, except that approval of two members of the committee will suffice to accept a request for formal review. The vote count (ayes, nays, abstentions) by which the committee adopts its finding and recommendations to the president will become part of the record and will be transmitted with the finding and recommendations.
- h. If in the judgment of the committee, the facts merit a formal review (hereinafter "formal review" or "review"), FPPC shall have access to any relevant documents, minutes, memoranda and other evidence and shall call whatever witnesses it deems necessary to reach a conclusion.
- i. At least five days before the date set for the review, written notice of the time, place and purpose of the review shall be given to the person who has filed the request for review and to others who will be involved in the review.
- j. The faculty member shall have the right to choose a representative from among the members of the faculty or staff of the College, but shall not have legal counsel present at the review.
- k. The parties to the request for review and their representatives shall have the right to call and examine witnesses, introduce written evidence, cross-examine any witness regarding any relevant matter and make opening statements and summations whether orally or in writing.
- l. The person filing the request for review may be required by the FPPC to be present. Failure to do so upon request of the FPPC waives their rights under the procedures.
- m. If the FPPC, on behalf of the College, deems it necessary to obtain legal counsel, the chair of FPPC shall consult with College counsel.
- n. The review need not be conducted strictly according to rules of evidence or procedures applicable in courts of law; the chairperson shall make a determination as to the actual procedures to be used at the review and the relevance of any matter. Any relevant matter upon which responsible persons customarily rely in the conduct of serious matters may be considered.
- o. Within 14 days of the completion of its investigation, FPPC will communicate in writing its findings and recommendations (along with the vote count of ayes, nays and abstentions) to the faculty member and the president of the College. Members of the FPPC who dissent from the finding or recommendations of the majority may report their dissent in writing. Their separate report(s) will be included with the majority finding and recommendations transmitted to the president and will become part of the record of the proceedings.
- p. When the College is in regular session, within 21 days of receipt of the FPPC's findings and recommendation, the president will respond to the faculty member and to other affected parties with their written determination of the issues, the rationale and resulting actions. Otherwise, the president will respond at their earliest convenience but not later than 21 days after the beginning of the next regular term.
- q. The written determination of the president shall be regarded as final.
- r. The record of the proceedings shall include all exhibits offered and a written summary of the testimony taken during the review: the FPPC's findings and recommendations sent to the president; and the president's determination. The FPPC, faculty member or administration may request a stenographic record at the requesting party's expense.

- s. Appropriate arrangements shall be made for the safekeeping and confidentiality of records of review proceedings in the Office of the Provost.

4.3.1.3 Employment: Special Considerations in Tenure and Promotion Cases

Faculty appeals regarding tenure or promotion shall be directed to the Appeals Committee for Tenure and Promotion Issues (hereafter referred to as the Appeals Committee) and proceed as follows. In brief, the Appeals committee will first decide if there is sufficient prima facie evidence to warrant a formal review of the process involved in the tenure or promotion decision. If the Committee decides in the affirmative, a formal review is conducted by the Appeals Committee in order to determine if the established procedures of the College's decision-making processes were followed and if adequate consideration was given to the individual's qualifications. If the Appeals Committee determines that the procedures were not followed and/or that adequate consideration was not given, then an Ad Hoc Committee of the board of trustees reconsiders the decision and then makes a recommendation to the Education Policies and Faculty Affairs Committee (EPFAC); EPFAC makes a final recommendation to the board of trustees. The Appeals Committee does not determine if tenure or promotion is warranted, nor does it recommend that the original tenure or promotion decision be overturned.

If the Appeals Committee grants an appellant a hearing, it will conduct its business in a timely manner so that the steps and procedures outlined below can be completed prior to the October meeting of the board of trustees.

Consistent with 2.3.2, the provost serves as facilitator and procedural arbitrator for tenure or promotion appeals. A faculty member appealing a tenure or promotion decision ("the Appellant") may also direct procedural questions to the chair of FPFC and/or the chair of the Appeals Committee.

- a. It is a faculty member's right to request a formal review of their tenure or promotion decision. The request for the formal review must be made in writing to the chair of the Appeals Committee within thirty calendar days of being informed of negative decision. The Appeals Committee chair will forward the request for formal review to the relevant department chair(s), the chair of the provost, the president and all involved parties, as designated by the Appeals Committee chair (or Acting chair), in consultation with the Appellant, within two business days of their receipt of the request for formal review.
- b. Although the request for a formal review requires no particular format, the Appellant shall provide the following information in writing to the Appeals Committee chair.
 - 1. a statement of the grounds upon which the Appellant bases their appeal;
 - 2. a descriptive statement of the facts that provide the basis for the formal appeal;
 - 3. a list of documents or other written material that the Appellant contends supports their appeal;
 - 4. the signature of the Appellant and the date of the request.

The burden of proof shall be on the Appellant.

- c. Within fourteen calendar days after receiving a written request for a formal review, the Appeals Committee chair will convene the Appeals Committee, in closed session, to consider and make a determination about the request.
- d. Normally, the Appeals Committee will operate with seven members. In circumstances where multiple recusals are necessary, it may operate with as few as five members. In the event that both the chair and vice chair recuse themselves, the regular members of the committee will elect an Acting chair from among their number.

- e. It is the responsibility of the Appellant to establish a prima facie case. If, after considering the review request, at least two members of the Appeals Committee vote in the affirmative, the Committee will conduct a formal review. Otherwise, the appeal will not proceed. The Appeals Committee chair will send notice of the Committee's decision to the Appellant, the relevant department chair(s), the chair of FEC, the provost, the president and each involved party within four calendar days.
- f. Following notice by the Appeals Committee that a hearing has been granted, the Appellant, the relevant department chair(s), the chair of FEC, the provost, the president and each involved party will have ten calendar days to submit a list of any witnesses and documents they intend to introduce at the hearing. The parties will include a brief statement to establish the relevance of the witness/document to the case. Witnesses must have contributed to the appellant's tenure or promotion process or be providing information regarding the process and any documents and information obtained from the witnesses must be material to the tenure or promotion decision.
- g. The Appeals Committee shall have access to any relevant documents and other evidence and shall call whichever witnesses it deems necessary to reach a determination.
- h. To avoid introducing new information not available in the tenure or promotion file at the time of review, no material may be taken from or new material added to the candidate's file.
- i. The Appeals Committee will set a date for a formal hearing and unless all parties agree to a shortened timeline, will send written notice of the time, place and purpose of the hearing to the parties and witness at least ten calendar days in advance. The Appeals Committee will conduct its hearing in a timely manner sufficient for all procedures and steps to be concluded by the October board meeting of the board of trustees. If the Appeals Committee finds that established procedures of the College's decision-making procedures were not followed or that inadequate consideration was given to the appellant's qualification, then an Ad Hoc Committee of the board of trustees reconsiders the decision and next makes a recommendation to the Education Policies and Faculty Affairs Committee (EPFAC). EPFAC will make a final recommendation to the full board of trustees at the October board meeting.

The Appellant shall have the right to choose a representative from among the members of the faculty or staff of the College to serve as their advocate; but shall not have legal counsel present at the hearing.

- j. Parties to the request for appeal and their representatives shall have the right to call and examine witnesses, introduce documents, cross-examine any witness regarding any relevant matter and make opening statements and summations whether orally or in writing. In most circumstances, the hearing will proceed in the following manner:

I. Opening statements

The Appellant

Other parties who wish to make an opening statement, such as the department chair, the chair of the FEC and the president

II. Appellant's witnesses questioned by the Appellant

Cross-examined by

Other parties who wish to cross-examine

The Appeals Committee

III. Other parties' witnesses questioned by the party calling the witness

Cross-examined by

The Appellant

Other parties who wish to cross-examine

The Appeals Committee

IV. Rebuttal witnesses questioned by the party calling the witness

The Appellant

Other parties who wish to question

The Appeals Committee

Cross-examined by

Other parties who wish to cross-examine

The Appeals Committee

V. Closing statements

Other parties who wish to make a closing statement

The Appellant

- k. All parties to the hearing are expected to follow these or any other procedures established by the chair, to maintain professional decorum and to participate in good faith, in a manner consistent with the mission and principles of the College. The chair or acting chair of the Appeals Committee has the discretion to require any party who disrupts the hearing or inhibits testimony to leave the proceedings. Also, the chair or acting chair may temporarily suspend the proceedings to restore order.
- l. The Appellant is required to be present during all testimony. Failure of the Appellant to be present may act as a waiver of the Appellant's rights under these procedures. Involved parties may be present during all testimony but their presence is not required. Parties called as witnesses are expected to be available for both questions and cross-examination.
- m. The review and the Appeals Committee hearing need not be conducted strictly according to rules of evidence or procedures applicable in courts of law. Determinations regarding any appropriate deviations from the above procedures and the relevance of any matter will be made by the Appeals Committee. It will evaluate relevance based on whether the testimony or evidence relates materially to the tenure and promotion decision; that is, it must be so substantial and important that it could affect the outcome of the case. The provost's office will arrange to have the hearing transcribed at the College's expense.
- n. Neither the Appellant nor other parties to the dispute will be present during the deliberations or conversations of the Appeals Committee. The chair of the Appeals Committee may consult with College counsel as needed. Decisions and recommendations of the Appeals Committee will be made by majority vote (with the exception of the initial decision regarding request for appeal, which, as explained above, only requires two votes in the affirmative).
- o. Within fourteen calendar days of the completion of the hearing, the Appeals Committee will communicate in writing its findings and recommendations (along with the vote count of ayes, nays and abstentions) to the Appellant, the relevant department chair(s), the chair of FEC, the provost, the president and each involved party. Members of the Appeals Committee who dissent from the findings or recommendations of the majority may report their dissent in writing. Their separate report(s) will be included with the majority findings and recommendations and will become part of the record of the proceedings.

- p. If the Appeals Committee determines that either the College's decision-making processes were not followed and/or adequate consideration was not given to the individual's qualifications and the Appellant's case is therefore valid, the president will submit the Appeals Committee's findings and determinations plus the record of the proceedings along with any minority reports to the chairperson of the board of trustees, within seven calendar days. The chairperson of the board of trustees will then appoint an Ad Hoc Committee to reconsider the tenure or promotion decision.

The Ad Hoc Committee shall not include members of the Educational Policies and Faculty Affairs Committee (EPFAC).

The record of the proceedings shall also include:

- the Appellant's request for review and
 - all exhibits offered at the review and the written transcript of the review.
- q. The Ad Hoc Committee will consider the Appellant's tenure and/or promotion case according to the applicable criteria and procedures outlined in 3.5, 3.7.3, 3.8 and/or 3.9, consulting with the provost as needed. Members of the Ad Hoc Committee do not make classroom observations or interview the candidate.
- r. The Ad Hoc Committee will report its findings, which will include a recommendation either for or against the granting of tenure or promotion, to the board chair with copies to the president and the provost.

If the Ad Hoc Committee finds in favor of the Appellant, the tenure or promotion case will be reviewed by the EPFAC, as described in 3.7.3.u, for final recommendation to the full board of trustees at the October board Meeting following the initial negative decision. Tenure or promotion is granted only by action of the board of trustees upon the recommendation of EPFAC. The provost will communicate the outcome of the case to all parties involved.

If tenure or promotion is granted, the Appellant's title and salary will be revised and adjusted accordingly.

- s. All documents used in the course of proceedings following an appeal pursued under section 4.3.1.3 shall be returned to the provost's office for safe-keeping.
- t. The following is a procedural summary of the major steps in the appeals process for reference.

Faculty member (appellant) has right to request formal review in writing within 30 calendar days of being informed of negative decision.

Appeals Committee:

- within two business days after receiving request, forwards request to involved parties.
- within fourteen days after receiving request, convenes to consider request and to determine whether formal review will be granted.
- within four calendar days of making a decision to either grant or deny a formal review, sends notice to informed parties.

If Appeals Committee agrees to a formal review and agrees to grant an appeal hearing:

- Involved parties [including the appellant, relevant department chair(s), chair of FEC, provost, president and others] have 10 calendar days to submit list of witnesses and documents they intend to introduce to hearing.
- The Appeals Committee will set a date for a formal hearing, providing at least 10 calendar days written notice to all involved parties (unless all parties agree to a shortened timeline).

Appeals hearing

After hearing:

- within 14 calendar days of completing the hearing, the Committee will communicate findings and recommendations in writing to all involved parties
- if Appeals finds that the Appellant's case is valid, the president will submit the Appeals Committee's findings and determination, plus the record of the proceedings, to the chairperson of the board of trustees within 7 calendar days.
 - an Ad Hoc Committee of the board of trustees will reconsider the decision and make a recommendation to the Education Policies and Faculty Affairs Committee (EPFAC), after which
 - EPFAC will make a final recommendation to the board of trustees at the October Trustee's meeting. The final determination will be conveyed to the appellant by the provost.

If tenure or promotion is granted:

- Title and salary will be revised and adjusted accordingly.

4.3.2 Appeals of Termination: Special Provisions

4.3.2.1 Employment: Special Provisions in Termination for Just Cause

In appeals of termination for just cause, the administration will have the burden of proof by a preponderance of evidence in accordance with the conditions set down for such termination (Section 3.10.1). Following the close of the administration's evidence, the FPPC will decide if a prima facie case has been made. If no prima facie case has been established, the FPPC shall conclude the review and make a recommendation in favor of the faculty member to the president. If a prima facie case has been established, the review will continue in accordance with the regular procedures set forth in Section 4.3.1.2. Termination will not be regarded as final until this appeal process has been completed. If the FPPC determines that the faculty member's evidence does not warrant a formal review, the proceedings will be terminated and the faculty member not permitted to appeal that decision.

4.3.2.2 Employment: Special Provisions in Termination Not for Cause

In appeals of termination not for cause, the administration will have the burden of proof by a preponderance of evidence in accordance with the conditions set down for such termination (Section 3.10.2). In particular, the administration will have the burden of establishing at least a prima facie case:

- a. of financial exigency, of the existence and extent of the condition;
 - b. for elimination or reduction of a department or program, of a bona fide formal discontinuance of a program or department utilizing appropriate procedures of the College;
- or

- c. for termination in the case of prolonged physical or mental illness, of medical evidence that the faculty member is unable to continue to fulfill the terms and conditions of the appointment.

Following the close of the administration's evidence, the FPPC will decide if a prima facie case has been made. If no prima facie case has been established, the FPPC shall conclude the review and make a recommendation in favor of the faculty member to the president. If a prima facie case has been established, the review will continue in accordance with the regular procedures set forth in Section 4.3.1.2. Termination will not be regarded as final until this appeal process has been completed. If the FPPC determines that the faculty member's evidence does not warrant a formal review, the proceedings will be terminated and the faculty member not permitted to appeal that decision.

4.3.2.3 Employment: Special Provisions in Cases of Academic Freedom
Muhlenberg College adheres to the AAUP's definition of Academic Freedom ("On the Relationship of Faculty Governance to Academic Freedom," May 1994). "The academic freedom of faculty members includes the freedom to express their views:

- (i) on academic matters in the classroom and in the conduct of research
- (ii) on matters having to do with their institution and its policies and
- (iii) on issues of public interest generally and to do so even if their views are in conflict with one or another received wisdom."

The assertion of academic freedom cannot shield the individual from whatever liability might otherwise exist for libel, slander or malicious defamation. Formal appeals regarding violations of academic freedom follow the procedures defined in Section 4.3.1.2 of this document. If, however, the president is involved in an alleged violation of academic freedom, FPPC will communicate in writing its findings and recommendations to the chairperson of the board of trustees and to the chairperson of the board's Committee on Education Policies and Faculty Affairs. A copy of the FPPC's findings and recommendations will be sent to the president.

4.4 Equal Opportunity and Nondiscrimination Policy

Muhlenberg College is dedicated to providing a diverse, equitable and inclusive educational and work environment for all students, faculty and staff. The College has developed the Equal Opportunity and Nondiscrimination Policy to reaffirm this dedication. The approved policy can be found at <https://www.muhlenberg.edu/policiesandprocedures/equityandtitleiv/equalopportunityandnondiscriminationpolicy/>.

Additionally, the Faculty Equal Opportunity Complaint and Resolution Procedures can be found at <https://www.muhlenberg.edu/policiesandprocedures/equityandtitleiv/eoandnondiscriminationproceduresfaculty/>.

4.5 Alcoholic Beverages Policy

Muhlenberg College complies with all federal, state and local laws that regulate the possession, use and sale of alcoholic beverages and expects its academic staff and employees to also comply with such laws and regulations. In addition, due to the increased liability associated with the provision of alcoholic beverages, Muhlenberg College will not allow off-campus individuals or groups using the College's facilities to have alcoholic beverages available at their events. "Off-campus" is defined as not being directly related to the business of the College. For example, faculty and staff events such as wedding receptions are to be considered "off-campus" for the purposes of this policy. This policy is in force throughout the calendar year and noncompliance during an event will result in cessation of the function.

4.6 Policy on Safety and Security

The College, in its effort to comply with federal, state and local legislation, requires the cooperation of professional staff in the storage, use and disposal of hazardous materials and in maintaining laboratory safety.

Employee and student “right to know” policies and procedures are in effect. Crime statistics are reported regularly and accessible to employees and students. These programs are overseen by the Director of Campus Safety with the assistance of appointed chemical hygiene officers and designated faculty and staff.

4.7 Animal Care and Use Policy

The use of live vertebrate non-human animal(s) (excluding service animals) in any College related activity must be brought to the prior attention of the chair of the Institutional Animal Care and Use Committee (IACUC). A formal IACUC approval process may be required.

4.8 Intellectual Property

It is the policy of Muhlenberg College to create an environment that encourages the generation of new knowledge by faculty, staff and students, and facilitates the transfer of useful inventions and writings to society. To motivate the development and dissemination of intellectual property, the College seeks to ensure that the creators receive proper credit and financial rewards for their work. Unless otherwise provided herein, the general policy of the College is that Intellectual Property is the property of the Creator.

4.8.1 Definitions

College: Muhlenberg College

Covered Individual: Any person employed by the College in any capacity, including faculty and staff, and all students enrolled in the College at any time while the person is engaged in the work in question.

Creator: The creator or creators of an item of Intellectual Property.

Exempted Scholarly Works: Exempted Scholarly Works are those Scholarly Works to which the College waives or releases any ownership or copyright it may have in favor of the Covered Individual who authored those works. Exempted Scholarly Works are works created in the course of scholarly activity. These include, but are not limited to, books, textbooks, articles, popular nonfiction, novels, poems, musical works, dramatic works, pantomimes and choreographic works, pictorial, graphic and sculptural works, motion pictures and other similar audio-visual works, notes, data and sound recordings. This also includes any artifacts related to teaching including syllabi, assignments and exams. Unless otherwise provided, Scholarly Works are considered exempt from this policy unless such Scholarly Works are subject to contractual restrictions, are created by Substantial Use of College Resources or are developed at the direction of the College with College funds or resources.

Faculty: Any full-time or part-time member of the College faculty.

Gross Proceeds: All amounts received from licensing, sales or other developments of the creator's product or service, less only returns, discounts and indirect sales taxes.

Intellectual Property: Includes any patentable invention, any copyrightable subject matter or valuable technology. It also includes trade secrets, works of art, inventions or creations that might normally be developed on a proprietary basis because copyright or patent protection is not available.

Net Proceeds: Gross Proceeds, less all related direct costs and expenses.

Staff: Any full-time or part-time employee of the College except students and faculty. For purposes of this policy, librarians and non-faculty members of the College administration are considered as staff.

Student: Any full-time or part-time student, regardless of whether the student receives financial aid from the College or from outside sources.

Scholarly Works: Scholarly works are works authored by Covered Individuals as part of or in conjunction with, their responsibilities, if any, in teaching, research or scholarship. With regard to course materials, no distinction is made between on-line or blended courses and courses with traditional media and methods, unless an explicit agreement is made for other arrangements.

Substantial Use of College Resources: The College's resources are to be used solely for College purposes. For example, this excludes uses for personal gain or personal commercial advantage and for non-College purposes. Substantial Use of College Resources exists when resources are provided beyond the customary professional, technological and technical support supplied by the College and extended to faculty, staff and students for the completion of their underlying disciplines, programs or projects. The term does not include incidental support, including research start up funds, customarily provided to faculty in connection with their work responsibilities. For the avoidance of doubt, the College will not construe its provision of offices, library resources, laboratories, sabbatical leaves, computers or IT support services to faculty in the ordinary course of their faculty responsibilities, including teaching or research, as constituting a "Substantial Use of College Resources" unless that support is furnished by the College for the purpose of supporting directed or commissioned work or as otherwise agreed upon in writing. Substantial Use of College Resources also excludes incidental or minimal use of College Facilities, such as College facilities that are available without charge to the public or use of resources or facilities where fees for such use (excluding tuition) have been paid.

4.8.2 Policy

4.8.2.1 Applicability

This policy applies to all Covered Individuals (as defined herein) including, but not limited to, faculty, staff and students.

4.8.2.2 Ownership and Determination of Rights to Intellectual Property

Unless otherwise provided herein, the general policy of the College is that Intellectual Property is the property of the Creator. If a situation is not covered by any specific provision of the following policies, then provision 1 applies.

- a. Ownership to intellectual property not covered by any of the following provisions below are granted to the Creator.
- b. Where research is subject to an agreement between an external sponsor and the College that restricts the disposition of rights to intellectual property, the rights will be handled in accordance with that agreement.
- c. The College and Creator are encouraged to enter into prior agreements with each other that specify the disposition of rights to specific items of intellectual property. In such cases, the provisions of the agreement take precedence over the more general provisions of these policies.
- d. If Creator is employed by the College specifically to produce certain types of intellectual property, the College owns the rights of such property. This provision

does not cover work done by Faculty as part of their normal academic pursuits as Exempted Scholarly Works.

- e. In cases where the College provides resources, funds or facilities for a particular research project that requires Substantial Use, it may choose to act as a sponsor for that research and therefore own the rights of such property. In such cases, the College must specify in advance the ownership of any intellectual property arising from that project.
- f. The Creator of a particular item of intellectual property may normally choose to publicly disclose the creation. In cases where the Creator's ownership interests are limited by an external agreement, College sponsorship or the particular item was created with Substantial Use of College Resources, the Creator must notify the College or the sponsor prior to public disclosure and obtain advanced written approval for the disclosure.
- g. The Creator retains all rights to Exempted Scholarly Works. This provision applies regardless of any College sponsorship of the work but it may be modified by specific prior agreement between the Creator and the College as described in Provision 3. Similarly, the use of externally sponsored or College sponsored resources does not alter this provision, unless the creator is effectively notified in advance of such limitations to their rights according to the provisions of Provision 1.
- h. The rights to Intellectual Property created by individuals working as consultants to outside firms are generally retained by those firms; if not, such rights go to the Creator. Under College policy such work must not make Substantial Use of College Resources except by explicit prior arrangement, otherwise the College may assert an interest in the intellectual property created with Substantial Use of College Resources. Any member of the College community who is engaged in consulting work or in business is responsible for ensuring that provisions in their agreements are not in conflict with this policy of the College or with the College's commitments. The College's rights and the Covered Individual's obligations to the College are in no way abrogated or limited by the terms of such agreements. Each Creator of intellectual property should make their obligations to the College clear to those with whom they make such agreements and should ensure that they are provided with a current statement of the College's intellectual property policy.

4.8.2.3 Disclosure of Intellectual Property

Except for Exempted Scholarly Works, Covered Individuals must immediately disclose all Intellectual Property, including inventions, to the College's Chief Financial Officer and Treasurer. Delay in disclosing such Intellectual Property may compromise the ability to secure Intellectual Property rights or registrations. If a Covered Individual is uncertain as to whether an invention, design, creation or the like constitutes Intellectual Property, then such person should consult promptly with the College's Chief Financial Officer and Treasurer.

4.8.2.4 Use of Certain Intellectual Property

To ensure that the College's continued use of certain Intellectual Property that is developed for College courses or curriculum is not jeopardized, the College retains a non-exclusive, royalty-free, perpetual license to use such materials for educational purposes. In the case of Scholarly Works, including Exempted Scholarly Works, the College retains a royalty-free, non-exclusive license to practice and use such materials where such material:

- a. is intended to maintain instructional continuity in exigent circumstances (such as a medical emergency, personal emergency or unavailability to teach for any reason),

with the non-exclusive license limited to the duration of the exigent circumstances;

- b. is intended to be utilized by multiple instructors, and in such instance such non-exclusive license shall be perpetual; and/or,
- c. is subject to specified accreditation and/or licensure review and/or approval, and in such instance such non-exclusive license shall be perpetual.

In the case of software, the license includes access by specified College personnel to the source listing, with the requirement that these sources will not be disclosed to third parties. All Covered Individuals must execute any documents necessary to protect such licenses related to software.

4.8.2.5 Income Sharing

Where the College retains a right to Intellectual Property under this policy, and no written agreement addresses the distribution of income related to such right, the College shall receive a royalty of 50% of all Gross Proceeds from the exploitation of the property, with the exception that the first \$10,000 of royalties or other income will be retained by the Creator. If the College and Creator(s) enter into prior agreements with each other that specify the disposition of rights to specific items of Intellectual Property, the provisions of the agreement take precedence over this policy.

At the time the Intellectual Property is disclosed to the College's Chief Financial Officer and Treasurer or at any time thereafter, the College may request that the Creator decide whether they will exploit the Intellectual Property or grant the rights to the College, and execute documents to pass on the title. In the event of such a request, the decision must be made within one year of the request or the Creator will automatically lose their rights in favor of the College. In such cases, the Creator will receive 50% of the Net Proceeds received by the College from the commercial exploitation of the Intellectual Property.

Unless otherwise addressed within a written agreement, Intellectual Property created by a student or students and that is not directly related to sponsored research is exempt from the obligation to pay a fraction of gross proceeds to the College, but not from the non-exclusive license provision.

4.8.3 Administration/Grievance Procedure

A Covered Individual dissatisfied with the implementation of any procedure or process under this policy is urged to seek satisfactory settlement by contacting, in writing, the parties involved. If informal discussions following written grievance do not generate satisfactory settlement, any grievance regarding the interpretation of this policy or any dispute about a specific decision shall be submitted to the College's provost in writing no later than fifteen (15) days after the submitted written grievance.

The grievance shall be reviewed within thirty (30) days by a panel that will make a recommendation to the president who will make a final decision based on the findings of the panel. The panel will be composed of the following individuals: 1) three faculty members selected by the College's Faculty Personnel and Policies Committee; 2) two administrative staff selected by the College's president (the president's senior staff are excluded from serving on the panel); and – only in the event a Covered Individual is a current student of the College, 3) two matriculated students selected by the Student Government Association.

In the event a Covered Individual does not accept the decision of the president, the aggrieved may submit an appeal, in writing, to the Executive Committee of the Board of Trustees no later than fifteen (15) days after being informed of the final decision of the president. The Covered Individual

must state in detail the reasons for the appeal, identify the persons or body against whom the appeal is directed, and may propose a settlement of the issue.

Upon receipt of the appeal, the Chair of the Board of Trustees shall provide notice of the appeal to all parties. The board of trustees will form an ad hoc committee to review the matter and to make a recommendation to the full board, who will then make a final decision regarding the matter no later than thirty (30) days after receiving the appeal. Internal remedies will be waived if a request is not timely made to the panel or board of trustees.

4.9 Academic Year Defined

Every member of the academic staff shall be available for service to the College during the academic year. The academic year is defined as that 9-month part of the contract year extending from the period prior to the actual beginning of classes needed for the organization of the year's work, the actual terms of instruction, the Commencement period and whatever time thereafter may be needed to conclude the work of the school year.

4.10 Attendance at College Ceremonies

All voting members of the faculty are expected to participate in the College ceremonies scheduled throughout the academic year, particularly the Opening Convocation, the Honors Convocation, the Baccalaureate Service and Commencement. Academic regalia for these occasions may be rented through the Bookstore.

4.11 Work Load

4.11.1 Teaching Loads and Assignments

The teaching load for a full-time faculty member at Muhlenberg is equivalent to three courses per semester. Courses will normally meet at least three contact hours per week. Variations to this pattern will be recommended by the department chair to the provost when the semester's schedule is prepared.

Teaching loads must be approved by the department chair and the provost. To the extent possible, the provost and the department chairs will attempt to assign teaching loads that are equitable over a period of two semesters. Factors such as number and nature of preparations, number of contact hours and enrollment may be considered in determining equitable loads.

In computing the work load of academic staff members teaching laboratories, a two-third course credit will be awarded for a three-hour laboratory assignment. Laboratories of shorter duration shall have teaching loads computed proportionally.

A one-third course credit will be awarded for a one-hour recitation assignment. Faculty or adjunct faculty instructing team-taught courses shall normally be awarded a fractional teaching load credit.

4.11.1.1 Courses and Units

A course is a unit of instruction in a discipline. Such a unit may include lecture, discussion, recitation, computer work and laboratory work. A course may be used to satisfy general academic requirements as well as major and minor requirements. For certain designated courses, only one-half course units will be awarded.

4.11.1.2 Location and Time of Classes

All classes will be held at the times and places provided by the Registrar. Prior to constructing a final schedule, the Registrar will consult with each academic department chair. Special requests will be considered and the Registrar will attempt to incorporate these into the schedule. Once a final schedule is established, however, further alterations are very unlikely.

4.11.1.3 Class Schedules

When constructing a faculty or adjunct faculty member's class schedule, the primary consideration is what contributes to effective teaching and the overall instructional needs of the College and the department subject to the availability of classroom and other teaching schedules. Faculty and adjunct faculty members are to arrange their other responsibilities in ways which retain the flexibility necessary for suitable class schedules. department chairs will consider special requests to the degree that they do not impede effective teaching or interfere with the instructional needs of students, the department and the College. Final approval of all class schedules rests with the provost.

4.11.2 Other Responsibilities

Each faculty member is expected to be available to students outside of class. In addition to assisting students with their academic work, faculty advise individual students on a course of study and often help with career planning, as well as internship and job searches. Regular weekly office hours are particularly important for promoting contact with students and must be of sufficient duration to permit full access for advisees and students enrolled in the faculty member's courses. These hours reserved for students should be indicated in course syllabi and clearly posted. During periods of academic advising for course registration, office hours are typically increased.

4.12 Faculty Absences

Illness or college business away from campus sometimes necessitates absences from class and rescheduling is certainly appropriate. When an absence is anticipated, the students should be notified in advance and provisions made for substitute instruction or out-of-class assignment.

4.12.1 Emergency College Closings

As a general policy, Muhlenberg College will remain open and fully operational during snowstorms or emergencies of any nature. However, under dire circumstances the president or a designated representative will make the decision as to whether the College will close or remain open.

4.13 Supplemental Employment

Since academic appointment involves a full-time commitment to the duties and responsibilities of the profession, the College expects that obligations to Muhlenberg will assume absolute priority in the full-time academic staff member's professional life. Acceptance of employment elsewhere by full-time members of the academic staff, including consulting, shall not interfere with these obligations and is subject to the review and approval of the department chair and the provost.

4.14 Responsibilities to Students

4.14.1 Assessment

The faculty has endorsed the following policies concerning assessment:

- a. All means of assessment shall be conducted by faculty and students in accord with sound educational policy and high ethical principles. This includes overt representation of grading policies on syllabi, return of work in a reasonably prompt manner and at a minimum the return of some graded material prior to the semester's withdrawal ("W") date.
- b. Individual faculty members are responsible for administering assessment in accordance with the principles and regulations of the Academic Integrity Code.
- c. The provost is empowered and directed by the faculty to implement its policy in the area of assessment.
- d. Means of assessing a student's efforts and progress should be assigned periodically through a semester.

- e. Faculty should inform students of grading policies. Normally, any test or exercise that is to count for more than 20% of the final grade should be specified on the course syllabus. In any event, faculty should provide students with reasonable notice of any assignment that counts for a substantial percentage of the final grade.
- f. The Family Educational Right to Privacy Act (FERPA) requires that faculty maintain the privacy of students' academic records, including grades. Consequently, instructors should not post grades alongside students' names or social security numbers. If a faculty member wishes to leave graded material in a public place for student to pick up, they should secure students' written permission to do so.
- g. Cases of academic dishonesty, as described in the Academic Integrity Code, shall be reported to the Dean of Academic Life according to the procedures in the Academic Integrity Code.

4.14.1.1 Final Examinations and Other Culminating Experiences

- a. Except in unusual circumstances there shall be a final exam or other appropriate culminating experience in all courses.
- b. Normally, the final examination or culminating experience should not count for more than 50% of the course grade.
- c. Final examinations and other graded materials, if not returned to the student in some manner that ensures receipt by the student, should be kept on file by the instructor until the end of the semester following that for which the material was submitted.
- d. Final exams should be administered during the final exam period at the assigned time, not during the last week of classes. Similarly, culminating experiences in performance classes should be scheduled for the designated Performance Day rather than during the last week of classes.
- e. Individual faculty members are responsible for administering their final exam or other culminating experience in accordance with the Academic Integrity Code.
- f. The College uses a student-schedule-based method to create the final exam schedule. Once students' schedules are fixed, the Registrar will plan an optimized final exam schedule based on avoiding conflicts for students.
- g. Students are not required to take more than two exams a day. A student has a final exam conflict if they have two exams scheduled at the same time or if they have more than two exams schedule on one day. The student must register the conflict with the Registrar by 4:00 p.m. on the last day to withdraw from class with a grade of W. Once the student has completed this process, their responsibility for resolving the conflict is fulfilled. The Registrar will notify all faculty members involved in the conflict and request that they work together to resolve the conflict. If the faculty members cannot resolve the conflict, the Registrar will do so. The Registrar will inform all affected parties of the resolution.
- h. The last day of classes in the fall semester is a Friday. Friday afternoon, beginning at 2:00 p.m., will be set aside for culminating experiences in performance classes. The Friday after classes end during the spring semester will be set aside for culminating experiences in performance classes. This pertains only to courses that have a performance emphasis. Saturday and Sunday will be study days.
- i. All other finals will be scheduled in three-hour blocks Monday through Friday of the week following the last week of classes. Instructors must determine and communicate in

advance to students the length of time allowed for their final exam, within the maximum allotted time of three hours.

- j. Grades are due at 4:00 p.m. on the Monday after finals end.

4.14.2 Adherence to the Policies of the Academic Integrity Code

Muhlenberg College has established an Academic Integrity Code because of its belief that academic honesty is a matter of individual responsibility and that, when standards of honesty are violated, each member of the community is harmed. As a prerequisite for matriculation, each student must pledge to adhere to the provisions of this Academic Integrity Code. On all work submitted for a grade, students shall write and sign the following pledge: "I pledge that I have complied with the Academic Integrity Code in this work." It is against College policy for a faculty member to accept any work submitted for a grade without the student having signed the full statement of the Academic Integrity Code.

Violations of the Academic Integrity Code include cheating during examination, plagiarism, collusion, false information and helping or hindering others.

Subject to the policies set forth in Section 4.14.1 above, each faculty member shall identify the procedures to be used for classroom examinations and other assignments in each course.

Class tests and final examinations should be proctored. Proctoring is defined as having a faculty member present in the room. Proctoring is the responsibility of the faculty member teaching the course, but in special situations that responsibility may be delegated to faculty colleagues or adjunct faculty.

Faculty members should refer to the Student Handbook for a complete listing of regulations regarding the Academic Integrity Code.

4.14.3 Recognition of Student Rights

4.14.3.1 Student Records (Privacy Act)

In accordance with the Family Education Rights and Privacy Act of 1974 (20 USCA 1232g et seq.), Muhlenberg College recognizes the rights of students to have access to specified records and to prevent their release to certain third parties without their personal authorization.

4.14.3.1.1 Directory Information

Students may request that directory information consisting of such data as the student's name, telephone number and address, major field of study, participation in activities, dates of attendance and degrees and awards received, be withheld and released only upon written authorization even though this information is public in nature. Such requests are made to the Registrar.

4.14.3.1.2 Educational Records

Educational records refer to the files, documents and other materials which contain information about students and which are maintained by the College.

Within forty-five days of a written request to the appropriate office, current and former students may inspect and review these education records, with the exception of recommendations dated prior to January 1, 1975. Procedures for questioning the faculty accuracy of an educational record are outlined in the Student Records Policy. Furthermore, the College may not, except in specific instances as provided in the legislation and the Student Records Policy, release education records or information therein without the written consent of the student. Muhlenberg may release without restriction information

which cannot be identified with particular students. An example of such data would be statistical information abstracted from education records.

Students who request and obtain access to education records have the right to an official explanation and interpretation of their content. Muhlenberg College will also provide a student access to a log of those, excluding faculty, staff and administration members, who have requested or obtained access to the student's education records. In addition, the College releases education records and/or information contained therein on the condition that the recipient will hold it in confidence and will release it only upon written consent of the student.

4.14.3.1.3 Confidential Records

A third category of student records is not open to student inspection. These records include statements submitted by parents in order to qualify for financial aid; recommendations dated prior to January 1, 1975, provided that such recommendations are used solely for the purpose for which they were originally intended; personal notes of faculty members and administrators which are in their sole possession and which are not accessible or revealed to any other persons except a substitute; and counseling and health records which are created, maintained and used only in connection with the treatment of a student and which are available to no one other than persons providing such treatment or to other professionals of the student's choice.

4.14.3.1.4 Waiver of Rights

Students may waive their rights to review recommendations submitted in connection with the application for admission to any other educational institution, for employment or for honorary recognition. This waiver shall be valid on condition that the student upon request shall be notified of the names of all persons making recommendations and that such recommendations shall be used solely for the purpose for which they were intended. Waivers may not be required as a pre-condition for admission to Muhlenberg College nor for the receipt of any service provided by the College.

4.14.3.1.5 Students' Social Security Numbers

Students' social security numbers are provided by the College for internal use only. Any other use constitutes an abuse of students' privacy rights. Course rosters, grading documents and any other materials that include social security numbers are not to be circulated among students or posted for any reason or under any circumstances.

5. SALARIES AND BENEFITS

5.1 Policies and Procedures

The Human Resources Office is responsible for disseminating information on all benefits and for processing applications. While it is expected that the benefit program will be ongoing, Muhlenberg College reserves the right to interpret, modify or discontinue part or all of the program at any time.

5.2 Appointment Year

Faculty and full-time adjunct faculty letters of appointment are for the academic year as described in Section 4.9. However, accomplishing certain duties relating to the academic year, including but not limited to continuing committee activities, academic advising and administering academic programs and departments may require work and services by voting faculty and visiting faculty members during June, July and August.

5.3 Salary Payments

5.3.1 Full-Time Academic Staff

While each full-time faculty member's letter of appointment is for the academic year, salary payments are made in 12 monthly installments on the 20th of each month beginning in September. If the 20th falls on a Saturday, this pay will be received on the 19th. If the 20th falls on a Sunday, this pay will be received on the 21st. Checks may be picked up at the Chief Budget and Accounting Officer's Office on payday. Checks not called for one hour before the administrative offices close for the day will be mailed to the home address.

Employees may make arrangements with the Chief Budget and Accounting Officer for direct deposit of pay to a bank or other financial institution. Such deposits are available on payday.

5.3.2 Salary Payments – Adjunct Faculty

Adjunct faculty members employed for one semester or less will be paid monthly during the actual period of their employment. Those on one-year temporary appointments usually are paid in 12 monthly installments but may request that the June salary payment include, in addition, those amounts normally paid in July and August.

5.4 Salary Deductions

A new employee of the College must complete a W-4, "Employee's Withholding Exemption Certificate" in the Human Resources Office. A new W-4 certificate may be filed at any time. Withholding is required from salary payments for federal income tax, social security, state income tax and an annual \$52 City of Allentown Emergency and Municipal Services Tax (EMST). The EMST is levied regardless of one's place of residence and is deducted from the first check of the new year or from the first salary payment if employment begins after the first of the year.

Voluntary deductions may be made for an employee's share of group health insurance premiums on either a pre-tax or post-tax basis. Also, voluntary deductions may be made for pledged contributions to United Way or other programs of the College. Voluntary salary deductions for pre-tax supplemental contributions to the TIAA retirement program may be arranged in the Human Resources Office (see Section 5.8.1).

5.5 Remuneration for the Division of Graduate and Continuing Education (GCE)

Remuneration of the adjunct faculty members for GCE teaching is paid in equal monthly installments usually October through December for the fall term and February through May for the spring term. (Remuneration for the summer sessions is paid in full, usually in the month following the start of the course.) For members of the full-time academic staff, GCE remuneration will be added to their regular monthly salary payments. Voucher sections of checks will show the actual breakdown.

Unless a course is part of the academic staff member's regular teaching load, the instructor will receive remuneration based upon a schedule that is established by the vice president and executive director of School.

Consideration, including but not limited to enrollment, can lead to the cancellation of a course by the vice president and executive director or deans of the Division of Graduate and Continuing Education.

5.6 Leaves

5.6.1 Sabbatical Leave

The purpose of a sabbatical leave is to provide an occasion for scholarship, research or creative activity that contributes to the professional competence and teaching ability of the recipient. Therefore, the granting of a sabbatical leave is not automatic. A sabbatical leave will be granted only to a faculty member who will have a continuing appointment with the College after the end of the leave and who, at the time of notification of approval for the leave, has not made a commitment inconsistent with their return to the College.

Approval of an application for scholarly leave is contingent upon adequate fiscal and personnel resources being available to meet instructional assignments and other departmental responsibilities. If exigencies require, it may become necessary to deny or postpone leaves already granted.

Subject to the above and at the discretion of the board of trustees, a faculty member may be granted a sabbatical leave not more often than once during each seven-year period following the attainment of tenure or promotion at Muhlenberg. Sabbatical leaves (and most other leaves, including Class of 1932, 5.6.2 and Donald B. Hoffman, 5.6.3) may not be taken in consecutive academic years. Normally, an application for a sabbatical leave is submitted early in the fall semester of the academic year preceding the year during which the leave is to be granted (taken). A faculty member must be tenured or non-tenure-eligible faculty who have been promoted at Muhlenberg before applying for a sabbatical leave. A sample timeline is provided for reference.

Spring '00	<i>Faculty member is awarded tenure</i>
Fall '00	<i>Faculty member is first eligible to apply for an initial sabbatical leave.</i>
Spring '01	
Fall '01	<i>From Fall '01 to Spring '07, faculty member may be granted (take) an initial sabbatical leave following a successful application during the fall semester of the previous academic year.</i>
Spring '02	
Fall '02	
Spring '03	
Fall '03	
Spring '04	
Fall '04	
Spring '05	
Fall '05	
Spring '06	
Fall '06	<i>In Fall '06, faculty member is first eligible to apply for a second sabbatical leave as long as he or she is not on leave during the Fall '06–Spring '07 academic year.</i>
Spring '07	
Fall '07	<i>From Fall '07 to Spring '14, faculty member may be granted (take) a second sabbatical leave following a successful application during the fall semester of the previous academic year.</i>
Spring '08	
Fall '08	
Spring '9	
Fall '9	
Spring '10	
Fall '10	

Spring '11

Fall '11

Spring '12

Fall '12

Spring '13

Fall '13

Spring '14

In Fall '13, faculty member is first eligible to apply for a third sabbatical leave as long as he or she is not on leave during the Fall '13–Spring '14 academic year.

In the above timeline, the faculty member would typically also first become eligible to stand for promotion to professor during the Fall '07 – Spring '08 academic year and so might choose not to apply for a Fall '07 sabbatical leave so as to remain eligible to stand for promotion in Fall '07 (per handbook section 3.8.3.a).

A faculty member applies for a leave by submitting an electronic copy of a proposal, along with an up-to-date vita, to the chair of FDSC, who will forward it to the provost's office. Proposals must carry the endorsement of the department chair concerned with respect to (a) the merit of the project and (b) the feasibility of the proposer's replacement in the department. In addition, the following guidelines will assist faculty in submitting competitive proposals:

1. that the narrative statement of the proposals be no longer than 1,000 words;
2. that the proposal be related to the discipline taught by applicant; and
3. that the proposal state precisely how the research or project will be carried out and present a timetable for achieving desired results (e.g., publication, new teaching, etc.).

Leave proposals are reviewed by the president, the provost and the Faculty Development and Scholarship Committee. Each proposal is evaluated on the basis of its potential for:

1. contributing to a specific field of knowledge;
2. increasing the faculty member's knowledge of teaching subject matter; and/or
3. improving the academic course(s) taught by the faculty member.

After completing its review, the Faculty Development and Scholarship Committee (FDSC) submits a written recommendation concerning each application to the president and the provost. This recommendation includes a ranking of the proposals in order of merit for use only in years when there is an insufficiency of funds for all acceptable proposals. The president and the provost review the proposals and the Committee recommendations, then meet with the Committee to discuss the merits of each proposal and the feasibility of granting a leave. The president makes the final determination. Names of the successful applicants as well as brief abstracts of their leave proposals are presented to the Educational Policies and Faculty Affairs Committee of the board of trustees. A sabbatical is granted for one-half year at full salary or for one year at half salary. During the leave, the College will contribute to the faculty member's full benefit program.

The scholarship and professional development opportunities that the faculty member gains by the proposed sabbatical experience should be of value to the College. As a result, the recipient of a sabbatical leave is obligated to return to the College for a least one year of service after each leave. Should a faculty member granted a sabbatical leave accept an appointment at another institution or resign while on sabbatical leave or prior to completing their one year of service at the College following the sabbatical leave, they shall pay the College an amount equal to all the costs, expenses and salary paid to them by the College during the leave.

A faculty member granted a sabbatical leave is obligated to carry out the program of study, research or creative activity which was proposed in their request for the leave. Within three months after the start of the first semester following the completion of a faculty member's sabbatical

leave, they must submit to the provost a brief written report of their activities and accomplishments while on leave.

5.6.2 Class of 1932 Research Professorship

The Class of 1932 Research Professorship provides released time at full pay and full benefits for up to one year for a faculty member to pursue research or other creative work. To be eligible, an applicant must be a full-time Muhlenberg faculty member who has:

1. tenure;
2. taught at Muhlenberg for at least three years;
3. agreed to return to Muhlenberg for one full year of teaching following the year of the Professorship;
4. submitted an application detailing a research project;
5. been teaching (and not on another kind of leave) the year before the Professorship; and
6. not received the award within the last five years.

The Professorship is granted contingent upon fiscal and personnel resources being available to meet instructional assignments and other departmental responsibilities. If exigencies require, it may become necessary to deny or postpone the leave for the Professorship. Application guidelines and other information about the Professorship are available in the office of the provost. The review and selection process is the same as that described for the granting of a sabbatical leave.

5.6.3 Donald B. Hoffman Research Fellowship

The purpose of the Donald B Hoffman Research Fellowship is to encourage faculty members to engage in scholarship, research or other creative work which results in publication, presentation or exhibition. The Fellowship provides a two-course reduction in teaching load for both semesters of an academic year and is rotated every other year between Natural Sciences/Mathematics and Humanities/History. **To be eligible, an applicant must be a full-time Muhlenberg faculty member who:**

1. **is sabbatical eligible;**
2. **taught at Muhlenberg for at least three years;**
3. **agreed to return to Muhlenberg for one full year of teaching following the year of the Professorship;**
4. **submitted an application detailing a research project;**
5. **been teaching (and not on another kind of leave) the year before the Professorship; and**
6. **not received the award within the last five years.**

The Fellowship is granted contingent upon adequate fiscal and personnel resources being available to meet instructional assignments and other departmental responsibilities. If exigencies require, it may become necessary to deny or postpone the leave for the award. Application guidelines and other information about the Fellowship are available in the office of the provost. The review and selection process is the same as that described for the granting of a sabbatical leave.

5.6.4 Leave of Absence

Except for leaves that are required by the Family and Medical Leave Act of 1993 (5 USCA 6381 et seq.) leaves of absence for faculty members shall be granted at the discretion of the board of trustees and upon written application by the individual. Normally such leave of absence shall be without salary.

Deadlines for application and receipt of such leaves of absence are usually the same as those described above for sabbatical leaves. Some variations are permitted in the case of leaves of absence, however, because of the greater variety of circumstances under which such leaves may be sought and received.

During such leave of absence, an employee's coverage in any of the benefit plans will be continued only if the employee pays the entire cost of such benefits.

In the case of leaves that are entitlements provided by the Family and Medical Leave Act of 1993, the eligible employee shall be entitled to such leaves in accordance with the terms of the Act and all employment and benefits protection provided by said Act, any other policies of the College whether set forth herein or elsewhere which are more restrictive to the contrary notwithstanding.

5.6.5 Disability

Disability occurs when illness or injury not compensable under Workers Compensation prevents the employee from continuing to work. The Human Resources Office administers the disability program of the College.

Occasional daily absences due to illness or injury are not recorded and are fully paid.

5.6.6 Sick Leave

Full salary may be paid for the first two weeks of an extended absence, regardless of the full-time employee's length of service to the College. Following this two-week period, full salary may be continued for the number of weekdays that have been accumulated under the College's sick leave program.

Under the College's sick leave program, full-time members of the academic staff (see Section 2.4.1.1 of this handbook) accumulate paid sick leave for use during extended absences due to injury or illness. Accrual is at the rate of five weekdays for each full or partial year of service to the College and may accumulate to a maximum of 130 weekdays. Sick leave is paid at full salary. No payments will be made for accumulated sick leave at retirement or separation from employment.

Such leaves are granted in accordance with the Family and Medical Leave Act of 1993.

5.6.7 Short-Term Disability

Disability occurs when illness or injury, not compensable under Workers' Compensation, prevents and eligible employee from continuing to work for greater than five days. The following represents a summary of the plan.

Eligibility requires one year of employment, minimum 1248 hours/year. Human Resources administers the short-term disability program and works with the employee and the employee's physician to certify the request for short-term disability leave. Eligible employees receive ten days of leave upon hire and five days with each service anniversary that follows. (Upon policy implementation, employees with prior service received additional leave based on years of service with the College.)

Payment under the short-term disability policy is based on the time available in the individual's short-term disability leave bank. For approved disability absences, wages will be paid at 100% while short-term disability remains available. If an employee's short-term disability bank has been exhausted, payment of wages will continue at 60% of the individual's current base salary up to a maximum of \$4000 per month. Employee benefits (health insurance, life insurance, retirement contributions, etc.) will remain in force during a short-term disability absence as if the employee were actively at work. Employee contributions to benefit plans, where applicable, will also continue during any approved short-term disability leave.

Short-term disability for the employee's own Serious Health Condition, as defined under the Family Medical Leave Act (FMLA), is considered concurrent absence under FMLA. Short-term disability accumulation is capped at 130 days (26 weeks). An application for long-term disability is required for any disability leave exceeding 26 weeks.

For more information and to request short-term disability leave, please contact Human Resources.

5.6.7.1 Pregnancy and Childbirth Leave

Pregnancy and childbirth leave is available to employees eligible for FMLA (unpaid leave) and the College's parental leave program (paid leave, see Section 5.6.9 and 5.6.10 for information regarding FMLA and paid parental leave).

Childbirth is a covered disability under the College's short-term disability plan. The disability period for a maternity leave is determined by the attending physician's certification of disability and is typically six or eight weeks following the date of delivery.

Short-term disability leave as a result of childbirth may also be combined with paid parental leave and FMLA benefits. For example, while 12 weeks of unpaid leave is available under FMLA, six or eight of those weeks may be paid leave under the short-term disability program based on the type of delivery and four weeks may be paid under the parental leave program.

5.6.8 Long-Term Total Disability

Total disability occurs when illness or injury not compensable under Workers Compensation prevents a full-time employee from continuing to work.

After one year of employment at the College, persons who are employed at least 24 hours weekly or 1248 hours per year in a single assignment are covered by total disability insurance. The College pays the entire premium.

Benefits begin on the first of the month following six consecutive months of total disability and continue during such disability as certified to the insurer. Certain age restrictions apply.

The long-term disability insurance provides a monthly income benefit, an annuity premium benefit equal to the amount being paid to a TIAA-Cref retirement plan at the time of disability and payment of premiums for continuation of existing group life insurance coverage.

5.6.9 Family Medical Leave Act (FMLA)

Faculty employed for one year and a minimum of 1250 hours/year are eligible for 12 weeks of unpaid, job-protected leave in a 12-month period under FMLA for the following reasons:

- For the employee's own qualifying serious health condition that makes the employee unable to perform the employee's job;
- The birth of a child or placement of a child for adoption or foster care (per 5.6.10 below, four weeks of FMLA leave for this purpose may be paid as a parental leave benefit);
- To bond with a child (leave must be taken within one year of the child's birth or placement);
- To care for the employee's spouse, child or parent who has a qualifying serious health condition;
- For qualifying exigencies related to the foreign deployment of a military member who is the employee's spouse, child or parent.

Requests for FMLA and other questions about FMLA may be directed to Human Resources.

5.6.10 Paid Parental Leave

Faculty who have been employed for one year and a minimum of 1248 hours/year, are eligible for up to four weeks of paid parental leave in conjunction with approved FMLA leave (see 5.6.9 above).

The paid parental leave portion of FMLA may be taken during any time within one year following the birth or adoption of a child. Faculty eligible for paid parental leave may opt for a one-course reduction during a single term in lieu of four weeks of paid time off.

The provost and the vice president of human resources are jointly committed to making it possible for faculty to take paid parental leave and share in the responsibility of helping departments and programs plan for faculty absences.

5.7 Social Security Payments

The College matches each employee's contribution to social security. The social security contribution by the employee is paid through payroll deduction.

5.8 TIAA-CREF Retirement Annuity Plan

All full-time employees shall be enrolled in the Teachers Insurance and Annuity Association (TIAA) retirement program on the first day of the month following the completion of two years of full-time service. This preliminary service period is waived for any new employee who, at the time of employment at Muhlenberg, has a fully vested annuity contract that is in force and to which the previous employer is contributing.

Under the College retirement plan, the College makes contributions to individual TIAA-CREF retirement annuity contracts for each eligible employee. No employee contribution is required. Employees receive information from the Human Resources Office regarding the annuity contract applications they must complete when they become eligible to participate. Descriptive materials on the retirement program and the various options available may be secured from the Office of Human Resources.

5.8.1 TIAA-CREF Supplemental Retirement Annuity Program

Under a salary reduction agreement made through the Office of Human Resources, in accord with section 403b of the Internal Revenue code, full-time employees may, from the time of employment, request that the College reduce their salary on a monthly schedule and purchase supplemental annuities from Teachers Insurance and Annuity Association.

5.9 Group Life Insurance Plan

All full-time employees who work at least 24 hours per week or 1248 hours per year in a single assignment are enrolled in the group life insurance program on the first day of the month which coincides with or next follows 12 months of employment. Muhlenberg College pays the entire premium for this insurance, the benefits of which are based upon the employee's current salary and age.

5.10 Group Health Insurance

Employees who work full-time may choose to enroll themselves and/or their dependents, including a qualified, same-sex domestic partner, in any of the health insurance plans offered by the College. The program is voluntary with the College paying approximately 80% of premium costs for the selected plan and the employee paying the balance on a pre-tax basis through payroll deduction.

Employees who do not need health insurance sign a waiver indicating they have coverage through another plan, such as that provided by a spouse.

The enrollment period is within 30 days of employment. After that, an open enrollment period is held each year with changes and new enrollments taking effect January 1, the College's anniversary date with each carrier. More information is available in the Human Resources Office.

5.11 Dental Insurance

Dental insurance is available to all full-time employees, dependents and a qualified same-sex domestic partner. The plan provides coverage for preventive care and simple restorations (fillings) for adults and children. The plan also provides access to discounts on periodontics, endodontic and orthodontic services. The full cost is paid by the employee through payroll deduction.

Initial enrollment is available for 30 days after employment. Additionally, an open enrollment period is held each year with enrollments taking effect January 1.

5.12 Worker's Compensation

All employees of the College are covered by Workers' Compensation, which pays for covered medical expenses incurred from accidents or illnesses that are job-related. Any accident at the place of work must be reported promptly to the Department of Campus Safety, the Human Resources Office and the supervisor. An employee's failure to submit accident reports promptly may result in denial of a claim or benefits or delay in the payment of benefits.

5.13 College Tuition Support

Tuition scholarship programs are intended to provide the means for the dependent children of eligible employees to attain a college education. These programs also provide eligible employees of the College and their spouses the opportunity for self-improvement. All support is limited to undergraduate courses. Details of the scholarship programs, including information regarding eligibility criteria and participating institutions, are available in the Human Resources Office.

5.14 Travel Accident Insurance

Effective upon employment, a "principal sum" indemnity for death or specified personal injuries while away from home on a trip "on business of the College" is provided for all full-time members of the academic staff.

Protection against liability for personal injury arising out of or in the course of employment as a teacher at the College is also provided to all full-time members of the academic staff.

5.15 Relocation Allowance

The College provides a relocation allowance for newly-employed full-time academic staff members if they are coming to Allentown or vicinity from out of town. The amount available is based on mileage according to a schedule established by the chief financial officer and treasurer of the College. Reimbursement of costs up to the maximum allowance is made on the basis of submitted expense receipts after a new faculty member arrives on campus.

5.16 Death Benefit

If a full-time academic staff member should die before retirement, the College provides a death benefit in addition to group life insurance. The amount of the benefit is based on length of service. If the faculty member has been continuously employed for less than one year at the time of death, the amount is equal to two weeks of current salary and is in addition to what has been earned. If the faculty member has been employed for one to five years of continuous service, the amount is equal to one month of current salary. If the faculty member has been continuously employed for five or more years, the amount is to equal two months of current salary.

Payment is to be made to the surviving spouse of the faculty member or to the contingent beneficiary(ies) named on the College's group life insurance contract. Such payment is not considered to be taxable income either by the state of Pennsylvania or the Internal Revenue Service and no withholding shall take place on the entire amount.

5.17 Post-Retirement Benefits

With regard to the post-retirement benefit program, Muhlenberg College reserves the right to change, modify or discontinue the program or eligibility requirements and will provide appropriate notification in case of any such change.

5.17.1 Group Life Insurance

A full-time employee retiring subsequent to November 30, 1983 at age 60 or older who has been employed by the College for at least 10 consecutive years will be carried by the College on group life insurance coverage according to the schedule in effect at the time of retirement.

5.17.2 Retiree Health Insurance Premium Reimbursement Plan

Eligible retirees are those who were hired before January 1, 1996 and retire at age 60 or older with at least 10 years of continuous service. Under this plan, the retiree selects health insurance of their choice and the College reimburses a portion of the health insurance premiums paid by the retiree (and, if married at the time of retirement, their spouse). Eligibility for premium reimbursement continues for the lifetime of both the retiree and the spouse. The dollar amount of reimbursement is reviewed annually and may be adjusted after considering medical cost increases and the financial health of the College.

5.17.3 Tuition Scholarships for Dependents

Eligible retirees retain tuition scholarship benefits for their dependents, subject to specific limitations. Complete guidelines for tuition scholarship benefits are available in the Human Resources Office.

6. ACADEMIC AND RESEARCH RESOURCES

6.1 Computer Services

All members of the academic staff have access to computing resources through either their department or through the Office of Information Technology. In addition, there are a number of computers located in various offices, classrooms and laboratory facilities.

Policies on computer access, duplication of copyrighted system and privacy of data, which were approved by the faculty on April 24, 1987, are available from the Office of Information Technology.

6.2 Cultural Events Program

Most cultural events on campus are open to the public free of charge. For those events for which admission is charged, Muhlenberg faculty members and faculty from LVAIC colleges often receive a discount upon presentation of a valid identification card.

6.3 Faculty Research and Professional Growth Grants

The purpose of the faculty research fund is to stimulate faculty research and professional growth which is related to the enrichment of the quality of academic life at Muhlenberg College. The fund is available to all full-time faculty members and is used to award grants in the following categories:

a. Summer stipends:

1. Research and study that will lead to publication, exhibition or performance.
2. Creation of new programs or courses.
3. Enrichment of current courses.
4. Professional development.

Recipients of these stipends must agree to devote at least eight weeks of full-time work to their projects and not accept other professional obligations during this period.

A limited amount of funds is available for summer stipends that will cover a four-week period.

Collaborative proposals with two or more faculty members presenting an application, each of whom applies for an eight-week or a four-week period, will also be considered.

b. Direct expenses:

1. Direct expenses for research related to publication

2. Direct expenses for dissertation expenses of faculty Ph.D. candidates.

A limited amount of these funds is also available throughout the academic year upon application to the provost.

Seven copies of proposals should be submitted to the provost and the application will be evaluated by the provost and the Faculty Development and Scholarship Committee.

All recipients of such grants agree that within three months of the termination of the period during which the funds were expended they will present a written report of the results of the project to the provost. The applicants further understand that they may be called upon to discuss some of the results of the project before an informal faculty colloquium.

6.4 Departmental Enrichment Grants

Grants are available from the provost's office for the purpose of enriching programs within a department. The grants are intended to strengthen a department's work by encouraging and supporting the faculty and the students in the department. Equipment purchases will normally not be available within the grant. A description of the project plus an itemized list of anticipated expenses and timetable should be submitted to the provost for approval. At the conclusion of the work, a brief report on the results of the project as well as expenses should be submitted to the provost.

6.5 Student Research Grants

Funds are available for students to present their research both on campus and at other professional meetings. Application may be made by departments to present the work of distinguished students on campus in order to cover expenses of such occasions. Application may also be made by a faculty member or department who recommends that a student or students present their work at a professional meeting. A description of the undertaking plus an itemized list of anticipated expenses should be submitted to the Dean of Academic Life for approval. At the conclusion of the work a brief report on the results of the project as well as expenses should be submitted to the Dean.

6.6 Pre-Doctoral Loans

Full-time faculty members are eligible to apply for cancelable loans to pursue doctoral studies on a part-time or full-time basis. The loans are made jointly by the College and the Department for Higher Education of the Evangelical Lutheran Church of America. Further information is available from the provost.

6.7 Procurement of Grants

Grants from external agencies or organizations for the support of research, scholarship, professional and creative activities, as is the case for all gifts and grants to the College should be pursued with the assistance and approval of the Director of Corporate, Foundation and Government Relations in the Advancement Office, which is responsible for arranging to secure any approvals and signatures required for submission of grant proposals. The Director of Corporate, Foundation & Government Relations provides information and assistance for faculty members in identifying potential grant sources and preparing proposals.

6.8 Professional Travel

Funds for faculty travel to professional meetings and conferences are made available on a departmental basis. Each department chair decides how best to use available funds. If a full-time faculty or adjunct faculty member is reading a paper or serving as an officer at a professional meeting, they may apply to the provost for travel expenses beyond funds available in the department budget. Members of the academic staff requesting such payment for travel expenses must obtain approval from the department chair and the provost and must secure the proper forms from the office of the

provost. Expenses must be itemized and receipts furnished for such major items as food, transportation and lodging.

6.9 The College Library

The Harry C. Trexler Library has an on-line catalog, collection development programs and a full-time staff. The Library's mission is to:

1. acquire, preserve and make available for use a collection of recorded knowledge supporting the College's curricular goals;
2. teach students and assist faculty to find and use our Library's resources and information resources elsewhere; and
3. foster and encourage learning and scholarship.

For a comprehensive overview, the academic staff may find these library publications available at the Information Services desk useful: A Guide to the Libraries (Handbook); The Topic Guides Series (The Research Paper, On-Line Services, Periodicals, Microforms, Interlibrary Loan and Government Documents); and the Women's Studies College Guide: Cressman Library. Information on selected services — information and instruction, lending, reserves, interlibrary loan and collection development — is located in Appendix D attached to this handbook.

7. CAMPUS SERVICES

7.1 Administrative Offices

During the academic year, the administrative offices at the College are open 8:30 a.m. to 5:00 p.m. weekdays. During the summer months, special arrangements may be made and announced to all employees.

The administrative offices are closed in observance of the following holidays: Labor Day, two days for Thanksgiving, Christmas Day, New Year's Day and three days during the Christmas/New Year's break, Martin Luther King, Jr. Day, Good Friday, Memorial Day and Independence Day. Some administrative offices remain open on Labor Day, Martin Luther King, Jr. Day and one of the days during the Christmas break. The Human Resources Office issues a listing of these offices each year.

7.2 Athletic Facilities

The members of the academic and administrative staffs and their families with a valid ID card are entitled to use the facilities of the Life Sports Center, including the gymnasium, Field House, racquetball courts, the weight-training room and swimming pool. Hours of operation are posted by the Athletic Office. During the academic year, use of the swimming pool by the academic and administrative staffs is restricted to certain hours. Staff members may request a temporary visitor's pass for one guest.

7.3 Blood Bank

Any employee is eligible to become a member of the blood insurance program. This insurance covers all blood products provided to employees and their family members through the Miller Memorial Blood Center. Employees and family members are encouraged to donate at one of the facilities of the Center and/or at one of the mobile blood units scheduled on campus each year. However, contribution of blood is not required for this coverage.

7.4 Bookstore

The Berg Bookshop is institutionally owned and operated by college employees. Located conveniently on the lower level of Seegers Union, they supply all course materials required by faculty for the student body. Textbooks are available in the following formats: new editions, used copies, eBooks/digital delivery and rental options. Books authored by Muhlenberg Faculty are also available for sale, as well as

art and photography supplies. The website www.bergbookshop.com is operated by the store and offers comparison pricing with other major book retailers, along with Muhlenberg clothing and insignia items.

Faculty may place their textbook adoptions through the website. E-mail notification will be sent each prior semester for adoption due dates. A timely response is required in order to offer students the best pricing available during buyback periods.

7.5 Classroom Supplies

Examination books are available from the Print Shop at any time and need not be requisitioned. Only academic and administrative staff members may pick up examination books at the Print Shop.

7.6 Classroom and Office Equipment

Equipment items are those defined as being capital in nature with a useful life of at least several years. Equipment requests must be included in the requested annual departmental budgets. All equipment requests must be approved by department chairs. The administration assists in the prioritizing of purchases. The Finance and Business Office determines the best vendor to utilize for the purchase and handles the associated details.

7.7 Public Relations Office

Members of the academic staff are encouraged to contact the Public Relations Office about their professional and community activities so that a news release can be prepared and issued to the appropriate news media. Newsworthy activities include faculty publications, presentations of papers, serving as panelists, critics or reactors at professional conferences, election to officer positions or boards of professional and civic organizations and research or studies undertaken (individually or with Muhlenberg students).

Members of the academic staff are urged to contact the Public Relations Office when they are in conversation with any reporter regarding a story. The Public Relations Office can often assist the reporter and capitalize on the contact the faculty member is providing.

In addition, the Public Relations Office provides services for production of brochures, programs or fliers for college-sponsored events.

7.8 Dining Facilities

All Seegers Union dining facilities are open to faculty and academic staff members. These facilities include Wood Dining Commons and the General's Quarters.

7.9 Duplicating Services

The Copy Center handles all requests for photocopying, including black and white copies, color copies, lab manuals, posters, brochures and business cards. Request forms, which may be obtained in the Copy Center, should be attached to all originals. As much time as possible should be allowed for the completion of the work. Examinations must be submitted at least 48 hours before the examination is scheduled.

7.10 Photocopying

Authorized College work also may be photocopied without charge on machines conveniently placed throughout the campus. College-related work volume is coded on departmental copies keys or on tally sheets provided near each machine.

Personal photocopying may be done at \$.10/copy at the machines located in the Print Shop lobby, in the lower level of Seegers Union and in the Trexler Library Lobby.

7.11 Emergency Medical Services

Employees injured on the job should visit the College Health Center during office hours or contact the Department of Campus Safety (Emergency Ext. 3110, Non-Emergency Ext. 3112). If necessary, Campus Safety will arrange transport to an appropriate medical facility. For all work-related injuries, an accident report must be completed promptly to ensure eligibility under the worker's compensation insurance maintained by the College. Should a medical emergency occur during office hours, employees may seek assistance at the Health Center with the understanding that the primary mission of the Health Center is to address the health needs of students, not to provide primary care to employees. Beyond work-related injuries and medical emergencies, the Health Center provides additional employee services related to selected Employee Wellness Programs (such as influenza shots, blood tests, etc.), announcing them as they are scheduled.

7.12 Identification Cards

The Office of the Director of Seegers Union & Campus Events issues identification cards to all full-time and part-time academic staff members and members of their families for the purpose of admission privileges to local College athletic contests and other College events. The identification card also functions as a Trexler Library card. Eligibility to an identification card is certified by the Human Resources Office. Upon separation from employment, all ID cards must be returned to Human Resources.

7.13 Mail Service

U.S. mail is delivered each weekday. Information regarding the receipt and mailing of packages may be obtained from the mail clerks. Departmental budgets are charged the cost of mailing materials that relate to the operation of the College; personal mail must be paid for by the sender. All departments have a five-digit account number which is used for charging. All outgoing mail must be labeled with the five-digit number.

7.13.1 Intra-campus Mail

Intra-campus mail is delivered to each department daily. Special intra-campus envelopes for this purpose may be obtained from the Print Shop.

7.14 Media Services

The Media Services Department, located in the Ettinger building room 008, is available from 8:00 a.m. to 8:00 p.m. every academic day to service instructional video, audio-visual and presentation equipment needs. Upon scheduled request, Media Services personnel will deliver, set-up and test, the required equipment. Media Services also provides production consultation and training services. To reserve equipment, please complete the Media Services online request form. This form is located under the equipment request tab on the OIT and Media Services' websites. For other requests or questions, please call the Manager of Media & Technology (Ext. 3457) or use e-mail. If you are experiencing an equipment problem in a classroom contact the Faculty/Staff Support Desk, Ext. 3566. For information regarding media software (films, videotapes, etc.) available and reservations for classroom B02, contact the supervisor of Trexler Library Public Services Assistant (Ext. 3501).

7.15 Parking

Limited parking space is available for the academic staff at the parking lots located behind the Trumbower Science Building, Trexler Library, Baker Center for the Arts and Seegers Union. Parking is assigned to specific lots determined by a lottery conducted annually by the Department of Campus Safety. Human Resources provides new employees with information on how to register their vehicles online. The Department of Campus Safety provides a parking tag free of charge for authorized vehicle(s) with a designation of a campus parking location. Improperly parked or unregistered vehicles are subject to ticketing and fines.

7.16 Seegers Union

Reservations for events in Seegers Union can be made by completing a Facilities Reservation Request Form through the office of Seegers Union and Campus Events. Forms may be obtained at the Information Desk or online at http://start/General/Seegers/facilities_reserve_form.html. Questions should be directed to teamseegers@muhlenberg.edu or calling (484) 664-3494.

7.17 Student Employees

Academic departments may apply to the Financial Aid Office for the service of one or more students who have applied for campus employment. Time sheets for each student must be kept and approved by the supervisor and forwarded to the Chief Budgeting and Accounting Officer.

Students are also available for departmental service on a part-time hourly pay basis provided funds for this purpose have been included in departmental budgets. The selection of students is made by the supervisor and pay is determined by a scale published and supervised by the Director of Financial Aid. Some departments have limited funds for hiring students with highly developed specific skills regardless of financial need.

Each department is responsible for time-keeping and must submit reports to the Chief Budget and Accounting Officer at the end of each pay period; payments are made to students through the Chief Budget and Accounting Officer's Office.

7.18 Student Services

Faculty members may wish to refer students to the Chaplain, the Director of Student Health Services, the vice president for college life and dean of students, the Residential Services staff or the Counseling Services staff for special counseling.

The Career Center assists in finding part-time or summer work for students and arranges workshops, seminars and interviews for students.

Specialists in health and/or drug and alcohol problems are provided in the Student Health or Counseling Services.

The Academic Resource Center coordinates assistance for students through tutoring, study-skills seminars and individual academic counseling. The ARC maintains a list of persons available for tutoring students who require help. Faculty members are requested to advise good students of their eligibility to serve as tutors and to inform students who need tutoring of the availability of this service. This office also coordinates assistance for students who have special needs or disabilities.

7.19 Telephone Service

Telephone service is available for every faculty member. The main number for the College, (484) 664-3100, is answered by dispatchers in the Department of Campus Safety on a continuous basis. Faculty members are encouraged to have callers dial their direct line phone number (rather than the main number for the department or the College) to minimize the number of calls that must be transferred.

7.19.1 Toll Calls

Business calls are charged to individual departments on a monthly basis. Personal calls are the responsibility of the caller and are to be paid to the Cashier in the Chief Budget and Accounting Officer's Office.

7.20 Facsimile (FAX) Service

Facsimile machines are available for business use in many offices across the campus. Faculty departmental administrative assistants will direct employees to the appropriate location for work-related facsimile transmission. For personal use, a facsimile machine is available in the College bookstore.

7.21 Use of College Facilities

Faculty and adjunct faculty members wishing to use classrooms at times other than during regularly scheduled class periods must contact the office of the Director of Seegers Union & Campus Events. A “master calendar” is maintained, listing all reservations for special use of space throughout the College.

7.22 Use of College Vehicles

Several vehicles are available for use on College business. Reservation must be made through the supervisor of grounds as far in advance as possible.

7.23 College Keys

All academic staff members are issued keys appropriate to their needs for access to the buildings and offices where they work. Academic staff members are personally responsible for safeguarding all keys issued to them by the College, including access card keys used for certain buildings and offices. Should your keys be lost or stolen, immediately report the circumstances of the loss to the Department of Campus Safety. They will conduct the appropriate investigations and arrange for replacement of locks or reprogramming of security systems as appropriate. Upon termination, all College issued keys are to be returned to the department chair.

7.24 Campus Safety

The College endeavors to provide a safe and secure environment for its students and employees. The academic staff and administrators are asked to report any incidents which may indicate criminal activity to the Department of Campus Safety. Crime statistics are reported regularly and are accessible to employees and students. The Department of Campus Safety also handles fire emergencies, entry to locked buildings and transport of workers injured on the job.

8. ORGANIZATIONS AND ACTIVITIES

8.1 American Association of University Professors (AAUP)

The Muhlenberg chapter of the AAUP engages in discussion and action to maintain and advance both institutional and professional standards. The focus of meetings ranges from state and national educational issues to particular areas that concern Muhlenberg’s program.

8.2 Amdur Faculty Club

All faculty and management staff members are eligible to become members of the Amdur Faculty Club, with facilities at the Hoffman House at 325 N. 23rd Street. The Amdur Faculty Club hosts many College affairs, including holiday, spring-recess and end-of-the-year functions. Dues are payable on a semester or yearly basis.

8.3 Muhlenberg College Alumni Association

Members of the faculty are welcome and urged to attend alumni cultural and social gatherings. Alumni of all ages welcome opportunities to meet and reminisce with members of the College faculty.

8.4 Phi Beta Kappa

The Pi Chapter of Phi Beta Kappa of Pennsylvania was installed at Muhlenberg College in February 1968. The Society exists to promote excellence in the liberal arts and sciences and to recognize outstanding achievement and scholarly attainment in these studies. The names of seniors elected as members-in-course are announced annually at the Honors Convocation in April and those of juniors in the fall.

9. REVISION OF THE HANDBOOK

Revisions of the Faculty Handbook go into effect upon their approval by the board of trustees unless otherwise specified. Revisions to the Faculty handbook will be posted at the provost’s website within two weeks of their approval by the board of trustees. Each revision to the handbook will be followed by brackets containing the date on which the change was approved by the board and where specified, the date at which the provision will go into effect. All revisions to the handbook will be highlighted in the text for a period of

one year following their insertion into the handbook. All such dates and highlights will be removed at the conclusions of the one-year period.

10. LIST OF ACRONYMS

AAUP	American Association of University Professors
ADA	Americans with Disabilities Act
ADR	Alternative Dispute Resolution
AIC	Academic Integrity Code
AJB	Academic Judicial Board
APC	Academic Policy Committee
BAC	Budget Advisory Committee
CFO	Chief Financial Officer
CIO	Chief Information Officer
CC	Curriculum Committee
CCCL	College Committee on Campus Life
CCFA	College Committee on Fitness and Athletics
CCTDL	College Committee on Technology and Digital Learning
DIAAP	Dean for Institutional Assessment and Academic Planning
DDL	Dean for Digital Learning
DLT	Digital Learning Team
EMST	Allentown Emergency and Municipal Services Tax
FCT	Faculty Center for Teaching
FDSC	Faculty Development and Scholarship Committee
FEC	Faculty Evaluation Committee
FERPA	Family Educational Rights and Privacy Act
FPPC	Faculty Personnel and Policies Committee
FYS	First Year Seminar
IACUC	Institutional Animal Care and Use Committee
IJCU	Institute for Jewish Christian Understanding
IPO	Institute of Public Opinion
IRB	Institutional Review Board
MBC	Muhlenberg Broadcast Community
MCEMS	Muhlenberg College Emergency Medical Services
MCTV	Muhlenberg College Television
MDA	Muhlenberg Dance Association
MILE	Muhlenberg Independent Living Experience
MMA	Muhlenberg Music Association
MTA	Muhlenberg Theatre Association
ODS	Office of Disability Services
OIT	Office of Information Technology
PHAM	Peer Health Advocates at Muhlenberg
SASS	Sexual Assault Support Services
SGA	Student Government Association
SJB	Social Judicial Board
TCC	The Career Center